

MOS spectroscopy of protocluster candidate galaxies at $z = 6.5$

R. Calvi,^{1,2★} J. M. Rodríguez Espinosa,^{1,2★} J. M. Mas-Hesse,³ K. Chanchaiworawit,^{4,5★}
R. Guzman,^{4,6} E. Salvador-Solé,⁶ J. Gallego,⁷ A. Herrero,^{1,2} A. Manrique⁶
and A. Marín Franch⁸

¹*Instituto de Astrofísica de Canarias, E-38205 La Laguna, Spain*

²*Depto. de Astrofísica, Universidad de La Laguna, E-38206 La Laguna, Spain*

³*Centro de Astrobiología - Depto. de Astrofísica (CSIC-INTA), E-28850 Madrid, Spain*

⁴*Department of Astronomy, University of Florida, 211 Bryant Space Science Center, PO Box 112055, Gainesville, FL 32611-2055, USA*

⁵*National Astronomical Research Institute of Thailand, 260 Moo 4, T. Donkaew, A. Maerim, Chiangmai 50180, Thailand*

⁶*Institut de Ciències del Cosmos, Universitat de Barcelona, UB-IEEC. Martí Franqués 1, E-08028 Barcelona, Spain*

⁷*Depto. de Astrofísica y CC de la Atmósfera, Universidad Complutense de Madrid, Spain*

⁸*CEFCA, Plaza san Juan 1, E-44001 Teruel, Spain*

Accepted 2019 July 26. Received 2019 July 22; in original form 2019 February 15

ABSTRACT

The epoch corresponding to a redshift of $z \sim 6.5$ is close to full re-ionization of the Universe, and early enough to provide an intriguing environment to observe the early stage of large-scale structure formation. It is also an epoch that can be used to verify the abundance of a large population of low luminosity star-forming galaxies that are deemed responsible for cosmic re-ionization. Here, we present the results of follow-up multi-object spectroscopy using OSIRIS at Gran Telescopio Canarias of 16 Ly α emitter (LAE) candidates discovered in the Subaru/XMM Newton Deep Survey. We have securely confirmed 10 LAEs with sufficient signal-to-noise ratio of the Ly α emission line. The inferred star formation rates of the confirmed LAEs are on the low side, within the range $0.9\text{--}4.7 M_{\odot} \text{ yr}^{-1}$. However, they show relatively high Ly α rest frame equivalent widths. Finally we have shown that the mechanical energy released by the star formation episodes in these galaxies is enough to create holes in the neutral hydrogen medium such that Lyman continuum photons can escape to the intergalactic medium, thus contributing to the re-ionization of the Universe.

Key words: galaxies: clusters: general – galaxies: evolution – galaxies: formation – galaxies: high-redshift – galaxies: star-formation – cosmology: dark ages, reionization, first stars.

1 INTRODUCTION

The last decades have seen a strong interest for studies of the early Universe. Many people have shown that using characteristic spectral signatures, such as the Lyman α (Ly α) line of Ly α emitters (LAEs) and the prominent rest-frame UV continuum of Lyman-Break galaxies (LBGs), is effective in searching for distant large-scale structures and protoclusters beyond $z \geq 3$ (e.g. Kakiichi et al. 2018; Naidu et al. 2018). Additionally, there is increasing evidence that low luminosity star-forming galaxies in the early Universe were the main culprits for the re-ionization of the Universe (Ouchi et al. 2009; Bouwens et al. 2010; Robertson et al. 2015), which was mostly completed by $z \sim 6$ (e.g. Fan et al. 2006; Inoue et al. 2018).

It has been proposed that the best place to detect these UV-dominated sources in the Epoch of re-ionization is around bright quasi-stellar objects (QSO), radio galaxies, or intense starburst galaxies. These sources are signposts of enhanced matter concentration or, possibly, a galaxy cluster in the process of formation – a protocluster (e.g. Le Fevre et al. 1996; Carilli et al. 1997; Pentericci et al. 1997; De Breuck et al. 2002; Venemans et al. 2004; Overzier et al. 2006).

This paper is part of an observational program aimed to (1) explore the LAEs population near the end of the Epoch of re-ionization; and (2) search for the clustering properties of these high- z galaxies. We have observed the field around two luminous $z \sim 6.5$ star-forming LAEs (separated by ~ 300 pkpc) discovered by Ouchi et al. (2010) in the Subaru/XMM Newton Deep Survey (SXDS). We have performed deep photometric and spectroscopic observations of the region containing these two LAEs, using the instrument called OSIRIS at the Gran Telescopio Canarias (GTC). Paper I (Chanchaiworawit et al. 2017) gives a complete description

* E-mail: rcalvi@iac.es (RC); jmr.espinosa@iac.es (JMRE); krittapas@ufl.edu (KC)

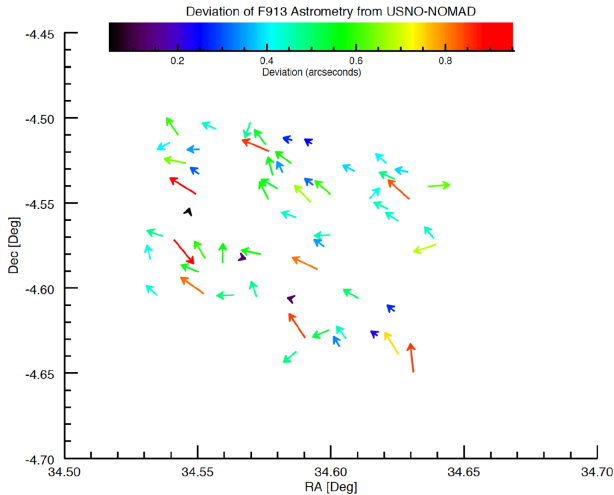


Figure 1. Differences in astrometry between the F913 image and the USNO-NOMAD catalogue (Monet et al. 2003). The colours of vectors represent the differences in astrometry in units of arcseconds. The vectors point to the positions of USNO catalogue’s stars with F913’s positions as references.

of the photometric observations, including strategy, data reduction, sample selection, interlopers filtering, and statistical analysis. This paper (Paper II) deals with the follow-up multi-object spectroscopy of a subset of the LAE candidates reported in Paper I. A third paper (Chanchaiworawit et al. 2019) discusses the clustering properties of these LAEs, the mass of the overdensity, and its growth via linear spherical collapse. In particular, we have shown that the sources discussed in this paper belong to a protocluster (Chanchaiworawit et al. 2019). In Chanchaiworawit et al. (2019) we have discussed (1) the presence of a virialized core, and (2) the evolution of the whole protocluster, which will virialize by $z \sim 0.84$, and by $z = 0$ will reach a mass comparable to that of the Coma cluster (Chanchaiworawit et al. 2019). The size of the protocluster, encompassing these sources, is $10873 \pm 1679 \text{ cMpc}^3$.

While this paper was being prepared, Higuchi et al. (2019) as part of the SILVERRUSH survey, did present a large photometric survey and spectroscopic follow up including our field as a subregion of the SXDS field. That work presented spectroscopic results of a number of sources in common with our LAE candidates. The redshift measurements of the common sources are consistent within 1σ uncertainty, as we will show later.

This paper presents the results of two observing runs of multi-object spectroscopy (MOS) with OSIRIS/GTC. Sections 2 and 3 describe the observations and the data reduction and how we dealt with the noise in these deep and low signal-to-noise observations. Section 4 describes the detected sources and their properties. Finally, Section 5 discusses the main results. We adopt the Λ CDM concordant Universe model ($\Omega_\Lambda = 0.7$, $\Omega_M = 0.3$, and $h = 0.7$). Magnitudes are given in the AB system (Oke & Gunn 1983).

2 OBSERVATIONS

The photometric observations took advantage of three medium-band filters from the SHARDS program (Pérez-González et al. 2013), namely the F883(33.6), F913(27.8), and F941(33.3) filters. The filter names give information on the central wavelength of each filter in nanometre. The values in parenthesis indicate the width of each filter also in nanometre. The redshifted ($z = 6.5$) Ly α

emission line would appear as a detection in F913, while it would be non-detected in the F883 filter nor in any bands bluewards of F883. In order to reach an adequate level of sensitivity allowing the study of the number density and clustering of such a faint population, we observed through the filters, F883, F913, and F941, with total exposure times in each band of 12.25, 10.78, and 11.30h, respectively. The exposure times per frame in F883, F913, and F941 were 350, 400, and 300 s, respectively. We used a 6-point dithering pattern, tracing a parallelogram with 8 arcsec base and 16 arcsec height. The dithering pattern was used in order to obtain complete information of the fluctuation pattern and strength of the sky background. The photometric observations were carried out during semesters 2011B and 2012B on the GTC, with OSIRIS in its imaging mode. The median seeing at $\sim 9000 \text{ \AA}$ and the median air-mass during the observing runs were 0.7 and 1.20 arcsec, respectively. Our survey resulted in 45 LAE candidate sources selected photometrically in the SXDS-North field. We categorized them into two classes based on their F913 flux profiles: class-I candidates would exhibit flux profiles resembling compact galaxies in the F913 filter, with the peak of the profile near the centre, and almost circular in shape; class-II LAE candidates show signs of deviations from the ideals of class-I, such as having noise contamination, or peak flux skewed from the centre. Extensive details of the photometric observations and data processing are given in Chanchaiworawit et al. (2017).

2.1 Mask design

We used the following strategies to select the best set of LAE candidates, guiding stars, and filler objects for the spectroscopic follow-up. First, we performed a reliability test of our astrometry during the photometric selection. By cross-checking the stars’ Right Ascension (RA) and Declination (Dec) against the values reported in the USNO catalogue (Monet et al. 2003), we assessed both systematic or random shifts in astrometry. The systematic shift between the two catalogues was $\Delta_{\text{sys}} = 0.5 \text{ arcsec}$, while the random shift was negligible ($\Delta_{\text{rand}} < 0.1 \text{ arcsec}$) as shown in Fig. 1. The correction to systematic shift was achievable by aligning multiple guiding stars within the OSIRIS-MOS mask. With the very small random shift ($\Delta_{\text{rand}} \ll \text{seeing}$), we are confident that slits on the OSIRIS-MOS mask can correctly target the faint LAE candidates and filler objects.

Out of the 45 LAE candidates photometrically selected from the SXDS-North field (Chanchaiworawit et al. 2017), we chose 16 objects to fill one OSIRIS-MOS mask. We included the LAE candidates that fit within the FOV of $7.5 \text{ arcmin} \times 4 \text{ arcmin}$ to guarantee full spectral coverage between $\lambda = 8500\text{--}9500 \text{ \AA}$. The selection of the sources was made to achieve spatial uniformity and a good distribution across all the F913 magnitude bins. However, to optimize the success rate of the program, we gave higher priority to class-I LAE candidates first. Then, we filled the available spaces of the MOS mask with class-II LAE candidates. We also used six guiding stars with z' -band AB magnitude between 18 and 19 magnitude. The MOS mask included one already confirmed luminous LAE from Ouchi et al. (2010), ID NB921-N-79144, or C1-01 in our nomenclature, and three filler objects. In the end, the MOS mask consisted of 26 objects in total (1 known LAE (Ouchi) + 16 LAE candidates + 6 guiding stars + 3 fillers), without spectral superpositions from any pair of slits. The 2 arcsec-aperture circular holes were used for the guiding stars and for centring the mask with high precision. The science object slits are rectangular with 1 arcsec width, which is about the typical seeing of spectroscopic nights at

Table 1. Details of the OSIRIS-MOS observation runs. The coordinates refer to the centre of the field. The observations consisted of 36 individual observing blocks (OBs), of 1 h each. Each OB consisted of three science frames with an exposure of 1150 s each. The rest was dedicated to overheads and calibrations. The observations were performed in the second half of 2016 and 2017.

Obs. Date	R.A. (hh:mm:ss)	Dec. (dd:mm:ss)	Grism	Int. Time (s)	Seeing
2016.10-12	02:18:18.4	−04:34:45.0	R2500I	90 000	≤1 arcsec
2017.09	02:18:18.4	−04:34:45.0	R2500I	43 200	≤1 arcsec

the GTC, and 15 arcsec in length to optimize spectral resolution and facilitate the quality of sky subtraction. We designed the mask to optimize the number of LAE candidates seen in a single pointing. A single pointing was preferred to allot all of the observing time to just one mask.

2.2 MOS observations

The observations were carried out in service mode (queue mode) in two separate semesters (2016B and 2017B; see Table 1) at the GTC with OSIRIS, the GTC’s optical imager and spectrograph (Cepa et al. 2003) in its MOS (Multi-Object Spectroscopy) mode. We used the R2500I grism, which produces a spectral resolution of 2.7 \AA at $\lambda = 9000 \text{ \AA}$. The OSIRIS field of view (FOV) is a mosaic of two CCDs with a small gap in between. The FOV is $7.5 \text{ arcmin} \times 6.0 \text{ arcmin}$ in MOS mode, with a plate scale of $0.127 \text{ arcsec per pix}$. To get the full spectra into the CCD chips the sources should be located within $7.5 \text{ arcmin} \times 4 \text{ arcmin}$. With the 2×2 binning that we adopted, the plate scale became $0.254 \text{ arcmin per pix}$. The design of the mask was done with a special software tool – the OSIRIS Mask Designer Tool (MD). For the mask design, we aimed to optimize the number of objects in the available FOV. The mask consisted of 20 rectangular slits, 1 arcsec-wide and at least 15 arcsec-long (up to 25 arcsec-long) for LAE candidates and fillers. There were also six circular holes of 2 arcsec in diameter for up to six stars, used for aligning the mask on the sky. We did not use any dithering pattern along the slit. The resulting spectra cover a spectral range between 6500 and 9700 \AA . Fig. 2 shows part of the MOS mask used in our program with the slits oriented in the north–south direction (i.e. a position angle of -90 deg on the sky).

3 DATA REDUCTION

The spectra were processed using IRAF routines (Tody 1986). The process included de-bias, flat fielding, cosmic rays removal, extraction of spectra from individual slits, wavelength calibration, and sky subtraction. Given the low signal-to-noise (S/N) and complex nature of the observed spectra, we decided to perform the data reduction independently at both participating institutions – the Instituto de Astrofísica de Canarias (IAC) and the Department of Astronomy, University of Florida (UF).

3.1 The IAC data reduction

The number of Observing Blocks (OBs) obtained from the first run (2016) was 26. However, six of them presented important defects, and specifically the Ly α line of the C1-01 source (Ouchi’s source) was not visible. So for further analysis, we only used the 20 remaining OBs. We reduced and calibrated the frames with IRAF

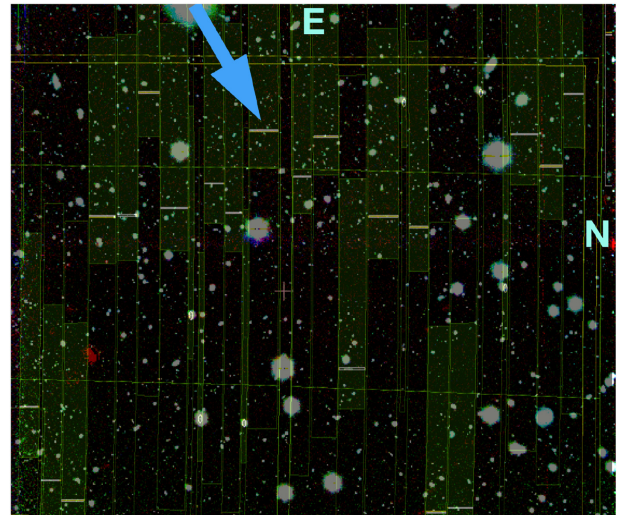


Figure 2. The mask used for the MOS in the OSIRIS/GTC observations. The mask includes 20 science objects and 6 guiding stars. The blue arrow indicates the slit associated with C1-01, one of the confirmed LAEs from Ouchi et al. (2010), included for comparison.

routines (Tody 1986). As the sources were expected to be quite faint, we tried our best to not introduce additional noise at this stage of the data reduction. Each OB, consisting of three frames, was first median averaged. The bias subtraction and flat-fielding had been performed before for each OB separately. Cosmic rays were removed by median combining of the three frames. We used a reference flat for each OSIRIS’ CCD to normalize differential gains and correct geometrical distortions. Finally, we removed the vertical distortions in both CCDs using two reference coordinate maps, then we extracted the individual spectra from the corrected images. The wavelength calibration process was done individually for each of the 17 LAE slits (three slits were fillers), using a list of sky lines. As the Far-Optical to NIR sky is full of OH emission lines the wavelength calibration was performed by matching the observed sky emission features with the optical-NIR sky emission lines from the LRIS catalogue compiled by Sergey Zharikov of Universidad Nacional Autónoma de México (UNAM).¹

After the 2D spectra were wavelength calibrated with 17 or 18 sky lines, we performed the sky subtraction. First, utilizing the IRAF’s BACKGROUND task, we fitted and subtracted the spectra with a cubic-spline function of fourth order as well as a Chebyshev polynomial of fourth order along the spatial direction. During the fitting process, we excluded a small region equivalent to the typical seeing, where the LAE should be. This method omitted the flux of the faint object from the background, thus avoiding removing it along with the sky. If an emission feature could be visually spotted, we extracted a 1D spectrum by summing the flux along a 5-pixel window (1.25 arcsec). If residuals from the first sky subtraction were visible, we smoothed the spectra using the IRAF’s BOXCAR task. This task smooths out the images using a rectangular-filter of fixed dimensions (usually $3 \times 3 \text{ pix}^2$, rarely $4 \times 4 \text{ pix}^2$).

There were 11 OBs in the observing run of 2017B. We did not find any defective OBs during the second semester of the spectroscopic follow-up. The data reduction and calibration processes were performed in a similar fashion. Since the frames from 2016B and 2017B

¹<http://www.astrossp.unam.mx/resast/standards/NightSky/skylines.html>

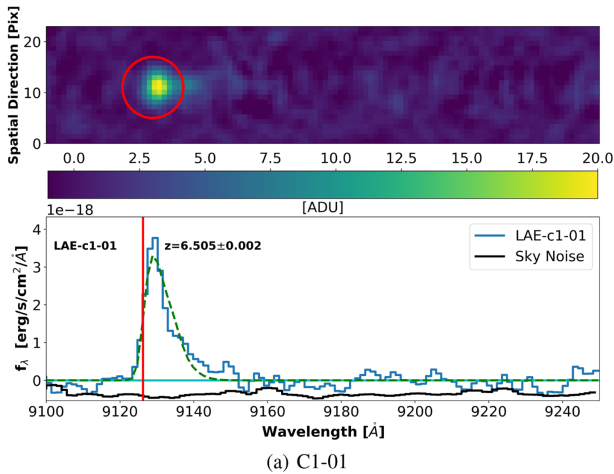


Figure 3. 2D and 1D spectra of LAE-c1-01. The blue line is the collapsed 1D spectrum. The vertical red solid line indicates the measured spectroscopic redshift. The corresponding redshift value and its uncertainty are written next to the red solid line. The black solid line is the 1D sky background, scaled down so it does not overlap with the LAE’s 1D spectrum.

were slightly shifted from each other, they were analysed separately. Only after the individual spectra were extracted they were combined to maximize the signal-to-noise ratio (S/N). To accurately identify the detections of LAEs, along the spectral direction, we adopted the following guidelines: (1) the detections should be located between $9000 \text{ Å} \leq \lambda \leq 9280 \text{ Å}$ (the width of the F913 filter); (2) they should not exhibit any continuum or emission blue-wards of the Ly α line; (3) there should not be prominent sky lines near the potential lines.

The spectrum of the OUCHi source (C1-01 in our code) was readily spotted. That spectrum is shown in Fig. 3. Note that the redshift is somewhat different, because our computation of the skewness, i.e. we have not used the peak of the Ly α line to derive the redshift as will be explained below. The spectrum is none the less much better than the one in Ouchi et al. (2010), thus the fluxes and luminosities are better determined here.

3.1.1 The NoiseChisel approach

The visual inspection is a subjective process. Due to the faintness of the LAE candidates, relying solely on this approach may not be the most reliable and robust way to declare a detection. Thus, we used the *NoiseChisel* code (Akhlaghi & Ichikawa 2015) to assess the reliability of our identifications and to guide our eyes in selecting possible identifications. The code implements both ‘noise-based’ and ‘noise-parametric’ techniques for detecting faint objects, including very low-surface brightness regions of a bright object buried under the noise. A detailed description of the code can be found in Akhlaghi & Ichikawa (2015). Briefly, the basic idea behind this algorithm is that it assumes that contiguous pixels of signal, beyond what is expected from cosmic rays, are indications of actual sources. Therefore, the code check for large patches of contiguous pixels, while keeping unchanged the noise fluctuations. *NoiseChisel* requires only a few constraints and does not rely on any regression analysis. This ability allows faint clumps of data with $S/N \leq 4$ to be accurately identified (with 99 per cent confidence level). *NoiseChisel* mask the sky-lines. However, it does not mask the high-frequency background noise or residuals. Given that the sky lines were always at the same wavelength and only their intensity differs between different slits, we built a unique mask for all frames

and just changed the ratio height/length of the regions that need to be masked for each slit (as long as the centroids were accurately defined). This step allowed us to adjust the width of the mask to match the sky lines in each frame.

We only considered regions mostly free from sky-lines. So we masked out the prominent sky lines and trimmed the pixels at the edges of the images. Then, we were left with a clean image for the *NoiseChisel* code to detect low S/N emission clumps. The optional values to run the code are listed in Table 2. We considered for most of them the default parameters of GNU Astronomy Utilities 0.3. We just modified the following parameters: *tilesize*, *openingngb*, *dthresh*, *detsminarea*, *detquant*, *dilate*, and *interpnumngb*.

The code, then, assesses the probability for each contiguous clump of pixels of being real. An example of a 2D spectrum with masked sky lines and the final spectrum showing the detected clumps of pixels is illustrated in Figs 4(a) and (b), respectively. Attending to the most probable clumps, we selected the clumps that matched the expected position of the LAE candidates on 2D spectra and checked for the signature of Ly α emission. Therefore, the final selection for a positive detection of an LAE were made by combining the results of *NoiseChisel* and visual inspection.

3.2 The UF’s data reduction

As already mentioned in Section 2.2, the proposed OSIRIS-MOS observations were carried out on two different semesters (2016B–2017B) due to the extended integration time required to achieve optimal S/N for all objects. The reduction processes of the spectroscopic frames were done in two stages (1) CCD processing and (2) spectroscopic calibration. We started the process by stitching two OSIRIS CCDs together for all science and calibration frames, using the GTCMOS pipeline (see also Gómez-González, Mayya & Rosa-González 2016) developed and maintained by Divakara Mayya of the Instituto Nacional de Astrofísica, Óptica y Electrónica (INAOE). We obtained a master bias image from median stacking. Using the master bias image, all science and flat frames were bias-subtracted (de-biased). The flat frames were median combined and normalized to obtain a master normalized flat image. Then, we flat-fielded the science frames, cleaned out bad pixels, and cosmic rays using the IRAF’s COSMICRAYS task, and sliced them into 2D strips corresponding to the individual slits.

At this point, we started the spectroscopic calibration process by performing the wavelength calibration for each slit. We decided that the automated script of the GTCMOS pipeline may not be sufficient for our purpose of detecting very faint emission lines. Thus, we performed the following spectroscopic calibration using IRAF routines on the individual slit frames. The slit frames were aligned and median combined to obtain the master slit image. We used the plentiful OH sky emission lines ranging from 7400 to 10 500 Å in the master slit image to perform wavelength calibration. The wavelengths of prominent sky emission lines were also obtained from the LRIS catalogue of NIR sky emission lines. The sky emission lines used in the calibration process are between 25 and 40 lines per frame. We fitted the dispersion function of each slit image by a Chebyshev polynomial of fourth order and applied the fitted solution to rectify all frames of the individual slits.

Due to the expected low S/N of the science targets, we took extra cautions in sky subtraction processes by introducing iterative sky subtraction methods. We performed the first iteration of sky subtraction on the rectified 2D spectra by smoothing the frames with a $3 \text{ pix} \times 3 \text{ pix}$ box and fitting Chebyshev polynomial of fourth order along the spatial direction with 2σ -clipping. We combined the first

Table 2. Optional values of the NoiseChisel code as applied to our set of spectra.

Input	hdu	1	Extension name or number of input data.
	khdu	1	HDU containing Kernel image.
	minskyfrac	0.7	Min. fraction of undetected area in tile.
	minnumfalse	100	Minimum number for S/N estimation.
Tessellation (tile grid)	tilesize	25,25	Regular tile size on dim.s (FITS order).
	numchannels	1,1	No. of channels in dim.s (FITS order).
	remainderfrac	0.1	Fraction of remainder to split last tile.
	workoverch	0	Work (not tile) over channel edges.
	interponlyblank	0	Only interpolate over the blank tiles.
	interpnumgb	1	No. of neighbors to use for interpolation.
	largetilesize	200,200	Sim. to <code>-tilesize</code> , but for larger tiles.
Output	tableformat	txt	Formats: 'txt', 'fits-ascii', 'fits-binary'
Operating modes	minmapsize	1000000000	Minimum bytes in array to not use ram RAM.
	continueaftercheck	0	Continue processing after checks.
Detection	mirrordist	1.5	Max. dist. (error multip.) to find mode.
	modmedqdiff	0.01	Max. mode and median quant diff. per tile.
	qthresh	0.3	Quantile threshold on convolved image.
	smoothwidth	3	Flat kernel width to smooth interpolated.
	erode	2	Number of erosions after thresholding.
	erodengb	4	4 or 8 connectivity in erosion.
	noerodequant	0.9331	Quantile for no erosion.
	opening	1	Depth of opening after erosion.
	openingngb	4	4 or 8 connectivity in opening.
	sigmaclip	3,0.2	Sigma multiple and, tolerance or number.
	dthresh	-0.1	Sigma threshold for Pseudo-detections.
	detsnminarea	5	Min. pseudo-detection area for S/N dist.
	detquant	0.89	Quantile in pseudo-det. to define true.
dilate	1	Number of times to dilate true detections.	
Segmentation	segsnminarea	15	Minimum area of clumps for S/N estimation.
	segquant	0.95	S/N Quantile of true sky clumps.
	keepmaxnearriver	0	Keep clumps with peak touching a river.
	gthresh	0.5	Multiple of STD to stop growing clumps.
	minriverlength	15	Minimum len of useful grown clump rivers.
	objbordersn	1	Min. S/N for grown clumps as one object.

sky-subtracted frames to form the master science image for each slit. We looked for any detection within the same position along the slit, within the 9000 to 9280 Å range (corresponding to the width of F913 band), and sufficiently far away from sky emission lines. We recorded the xy -positions of the potential detections for all slits. Then, the second iteration of sky subtraction used the Chebyshev polynomial of sixth order (or higher depending on the length of the slits—15–20 arcsec) to fit and obtain the background by omitting ± 3 pixels around the recorded positions of the initial detections.

Next, we performed the sky subtraction by shifting the position of the initial detections by ± 1 pixel to find the best solution that maximize the S/N of the detection, while minimizing residuals of sky emissions. The best version of sky-subtracted frames of each slit were average combined with 3σ clipping. Then, we collapsed the reduced 2D spectrum of each object into 1D spectrum. We relied on an iterative process of fine-tuning to obtain the best possible quality of the LAEs' 1D spectra which optimize S/N and minimize sky contamination in both spectral and spatial directions. Considering the observed physical diameter of LAEs of up to ~ 1 arcsec and the typical seeing of spectroscopic nights, which was ≤ 1 arcsec, we used an extraction window of ~ 1.5 arcsec to sum up fluxes along the spatial direction of all pixels within this window. The size of the extraction window allowed us to optimize the total flux counts and minimize possible sky contamination. The process started with locating the position along the slit of the centroid of each emission

line. Then, the extraction window was defined as ± 3 pix along the y -axis from the centroid. So, the total flux of an LAE or LAE candidate is the sum along the y -axis of this extracted spectrum. Since not all science frames were useful, we discarded all the science slit frames in which we could not detect the bright LAE from Ouchi et al. (2010). This was likely due to drifting and pointing problems of those particular frames. In the end, we combined a total of 61 science frames (from the 20 OBs in 2016B and 11 OBs in 2017B) to obtain the highest S/N 2D spectra for the LAE and LAE candidates at $z = 6.5$ of our program.

3.3 Spectral quality assessment

After the two institutions performed their own data reduction independently, we compared the quality of the final reduced spectra on the basis of the S/N of the detections, and sky residuals. An example of the comparison and quality assessment process is illustrated in Fig. 5, using one of the newly confirmed LAEs, namely LAE-C1-15, as representative. First, we compare the sky residuals by focusing on the rectangular boxes labelled A and B as shown in Fig. 5. The UF's reduced 2D spectra demonstrated suppressed sky residual along the spectral direction (both blue- and red-wards of the Ly α emission features) as compared to the IAC's counterparts. The result suggested the effectiveness of iterative sky subtraction

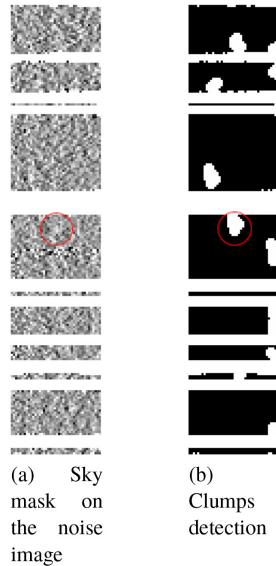


Figure 4. Example of *Noise Chisel* detection process on LAE-c1-15. Left-hand panel: a part of the 2D spectrum with the sky emission lines masked. Right: the detected clumps with S/N larger than the preset threshold. The grey-scale shows higher ADU counts in lighter region. The red circles indicate the detected source.

processes. While the leftover sky residuals exhibited by the IAC’s 2D spectra suggested that the non-iterative sky subtraction might be too aggressive or underestimating the sky levels under the LAEs, which were omitted during the fitting process. The sky residuals might be the indirect results of the wavelength calibration with insufficient number of sky lines as well.

Next, we focus on the RMS noise along the spatial direction as indicated in the C and D boxes. The noise levels in these regions dictate the S/N of the detection. We found no significant difference between noise levels in these regions between the IAC’s and UF’s spectra. Thus, we concluded that the S/N of $\text{Ly}\alpha$ emission features from both institutions are similar. However, note that some of the IAC’s 2D spectra show signs of ‘ghost’ or negative trench in either C or D box. This, again, is indicative of an overestimated background and likely an aggressive sky subtraction.

3.4 Flux calibration

The last step before we could analyse the spectroscopic results was to put the observed spectra into a physical unit. A spectroscopic standard, G191-B2B, was observed in both GTC semesters with extremely high S/N . We reduced the spectroscopic standard (henceforth, the standard) frames in the same manner as the science frames, except the need for iterative sky subtractions. However, since the standard was taken with the long-slit mode and a wider slit width (2.5 arcsec), different flat frames corresponding to the standard were used. The flat-fielded, de-biased standard frames were then cut to be only 400 pixels wide and 2020 pixels long ($100 \text{ arcsec} \times 2800 \text{ \AA}$). This reduced size allowed for quicker wavelength calibration, while maintaining the spectral range of interest and optimizing the sky subtraction. The wavelength calibration on the standard frames was performed similarly to the science frames. However, the broader slit width produced lower spectral resolution. Identifying centroids of sky emission lines suffered larger uncertainties. Nevertheless, the much larger spatial width allowed for an adequate efficiency for wavelength calibration. The sky subtraction process was done with

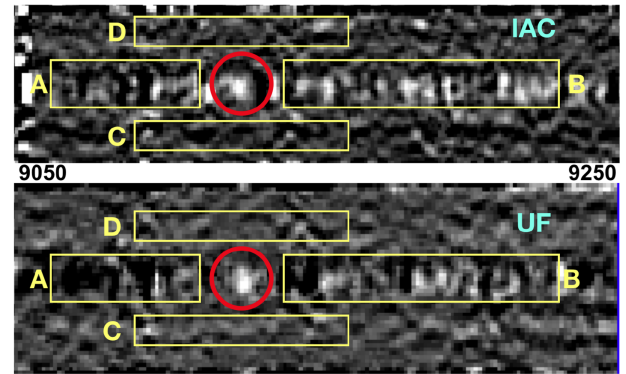


Figure 5. An example of the quality of the final reduced spectra between the IAC’s and UF’s reduction processes. The upper and lower panels are the 2D spectra of the detected LAE-C1-15 from the IAC’s and UF’s sides, respectively. The red circle shows the detected $\text{Ly}\alpha$ emissions. The yellow rectangles labelled A and B represent the region blue- and red-wards of the $\text{Ly}\alpha$ line along the spectral direction, respectively. While the yellow rectangles labelled C and D represent the region adjacent to the LAE along the spatial direction.

a single iteration, since we could conveniently locate the position of the standard star on the frames.

Considering the brightness of G191-B2B, we used 2 arcsec extraction window, sufficient to sum up all the standard’s flux into the 1D spectrum. Knowing the exposure time of the standard, we matched the 1D spectrum of the spectroscopic standard in the unit of ADU s^{-1} to the available flux density catalogue of Oke (1990). We obtained the flux transformation relation from ADU s^{-1} to $\text{ergs s}^{-1} \text{ cm}^{-2} \text{ \AA}^{-1}$. We, thus, achieved the flux calibrated 1D spectra of the LAE candidates, ready for analysis and measurement.

Both the IAC’s and UF’s methods have already proven to be quite robust by demonstrating the measured $\text{Ly}\alpha$ flux of LAE-C1-01 in excellent agreement with the photometrically estimated values from previous studies (e.g. Ouchi et al. 2010; Chanchaiworawit et al. 2017) as shown in Table 3.

4 RESULTS

This section presents the set of final spectra we consider adequate, which correspond to low luminosity sources undergoing strong star bursts. We have measured their fluxes, star formation rates (SFRs), and luminosities. Finally, using the $\text{Ly}\alpha$ escape fractions computed in Chanchaiworawit et al. (2019), we have calculated the intrinsic $\text{Ly}\alpha$ Luminosities, which we have used to estimate the UV continuum from these sources, using the typical ratios of starburst galaxies. Finally, we calculate the mechanical energy that these sources are releasing into the medium.

4.1 The final spectra

The final collection of sources detected with various degree of confidence from both the IAC’s and the UF’s catalogues is presented here. Both methods have pros and cons. Thus, we reached the following compromise. We rely on the results of the IAC’s *Noise Chisel* as a guide to identify the location of the $\text{Ly}\alpha$ emission features. Then we tried to agree on the quality of the spectra. To that end we adopted a set of criteria to rank the detected sources into three categories – excellent (A), acceptable (B), and marginal (C). The LAE candidates were ranked by their spectroscopic reliability

Table 3. List of all confirmed LAEs with their parameters; Columns: (1) name.; (2) & (3) R.A. and Dec.; (4) spectroscopic redshift; (5) photometrically estimated Ly α luminosity; (6) spectroscopically measured Ly α flux; (7) Skewness (alpha parameter); (8) spectroscopically measured Ly α luminosity; (9) detection grade: A = secured detection, B = sound detection, C = marginal detection. Note that for Ouchi's source we are adding the name given in Ouchi et al. (2010).

Object	R.A. (hh : mm : ss) (2)	Dec. (dd : mm : ss) (3)	z^{spec} (4)	$L(\text{Ly}\alpha)^{\text{phot}}$ (10^{42} ergs s^{-1}) (5)	$F(\text{Ly}\alpha)^{\text{spec}}$ (10^{-17} ergs $\text{s}^{-1} \text{cm}^{-2}$) (6)	Skewness alpha (7)	$L(\text{Ly}\alpha)^{\text{spec}}$ (10^{42} ergs s^{-1}) (8)	Grade (9)
LAE-C1-01(NB92-N79144)	2:18:27.0300	-4:35:08.267	6.508 \pm 0.002	11.3 \pm 1.4	3.11 \pm 0.62	4.51 \pm 1.45	15.0 \pm 0.05	A (OUCHI)
LAE-C1-05	2:18:08.2186	-4:38:00.294	6.592 \pm 0.002	7.4 \pm 1.8	0.24 \pm 0.05	2.37 \pm 2.16	1.2 \pm 0.3	B
LAE-C1-11	2:18:22.4661	-4:36:54.651	6.564 \pm 0.003	3.2 \pm 0.6	0.57 \pm 0.11	2.98 \pm 1.58	2.8 \pm 0.6	B
LAE-C1-13	2:18:26.8276	-4:31:21.075	6.548 \pm 0.002	3.5 \pm 0.8	1.05 \pm 0.21	4.21 \pm 1.43	5.1 \pm 1.0	A
LAE-C1-15	2:18:22.5997	-4:35:27.820	6.512 \pm 0.002	4.9 \pm 1.7	0.47 \pm 0.09	2.38 \pm 0.56	2.3 \pm 0.5	A
LAE-C2-20	2:18:21.8270	-4:32:53.379	6.499 \pm 0.002	4.9 \pm 0.9	0.29 \pm 0.06	3.25 \pm 1.60	1.4 \pm 0.3	B
LAE-C2-29	2:18:25.1010	-4:31:02.156	6.549 \pm 0.017	4.7 \pm 1.5	0.67 \pm 0.13	2.19 \pm 0.97	3.3 \pm 0.7	A
LAE-C2-35	2:18:06.7520	-4:32:22.535	6.564 \pm 0.005	1.9 \pm 1.2	0.35 \pm 0.07	26.6 \pm 3.2	1.6 \pm 0.8	B
LAE-C2-40	2:18:28.9901	-4:30:45.280	6.556 \pm 0.002	3.8 \pm 1.3	0.83 \pm 0.17	19.5 \pm 832.9	4.1 \pm 0.8	A
LAE-C2-43	2:18:24.1854	-4:35:40.977	6.604 \pm 0.002	4.8 \pm 1.4	0.20 \pm 0.08	6.37 \pm 4.67	1.0 \pm 0.2	B
LAE-C2-46	2:18:29.0698	-4:36:41.021	6.553 \pm 0.002	3.9 \pm 1.3	0.49 \pm 0.10	2.66 \pm 1.76	2.4 \pm 0.7	B

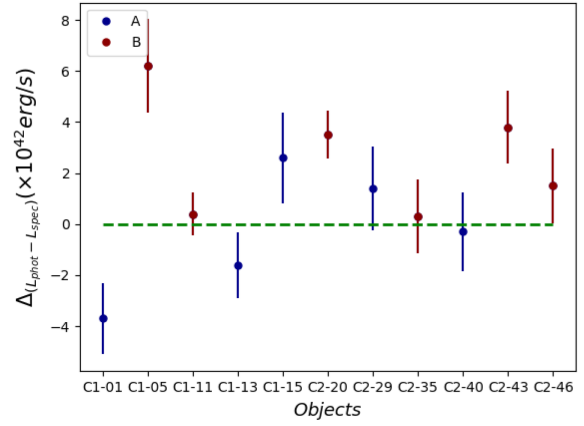


Figure 6. The difference between the photometric, measured in the filter F913, and spectroscopic luminosities for both A (blue square points) and B (diamond red points) sources. Notice that the Ouchi source (C1-01) and the C1-05 show the largest discrepancies.

grades (A, B, and C, with A being the most reliable). The grading criteria were based on (1) the shape of their spectra resembling a P-Cygni profile in the 1D spectra; (2) the sizes of Ly α emission features are about 1 arcsec (\sim seeing of a spectroscopic night), but neither too extended nor too compact in 2D (to discern the real detection from sky and cosmic ray residuals); (3) the locations of emission lines are fairly far away from strong sky emission lines or their wings and; (4) the Ly α luminosity measured spectroscopically must be comparable to the photometric estimate (see Fig. 6). If a detection satisfies all of the four criteria, it is given the letter grade ‘A’. If a detection fails any one or more criteria, it is given grade ‘B’ or ‘C’, respectively.

There are four LAEs in grade A, namely C1-13, C1-15, C2-29, and C2-40 as shown in Fig. 7. Furthermore, there are six LAEs in grade B, namely C1-05, C1-11, C2-20, C2-26, C2-35, C2-43, and C2-46 as shown in Fig. 8. These 10 newly confirmed LAE candidates (grades A and B) are considered spectroscopically confirmed and will serve as the basis for further analysis. Note that some LAEs in grade B and most of LAEs in grade C usually fail to meet some quality criteria, namely the proximity to some sky lines or the lack of consistency between the spectroscopically measured and photometrically estimated fluxes. These results are to be expected for low S/N detections, such as in our case of LAEs at $z = 6.5$. Nevertheless, these criteria do not exclude the possibility that some of grade C LAE candidates can be real LAEs at $z = 6.5$. The decision to move forward with the most reliable 10 LAEs is our choice to be conservative, especially when dealing with such complex and low S/N data. The rest of LAE candidates (grade C sources) also exhibit various degrees of detection as shown, for instance, in Fig. 9. For example, C1-06, C1-07, C2-17, and C2-26 show distinguishable detections on the 2D spectra and signs of the P-Cygni profile on the 1D spectra. However, two of them were on top of the wings of prominent sky emissions and fail to match the photometrically estimated Ly α fluxes, which may be due to the proximity of the sky lines. Hopefully with better resolution they can be confirmed in the future. Overall, the spectroscopic success rate is as expected. We have confirmed 10 out of the 16 LAE candidates, which is a success rate of 62.5 per cent or non-detection rate of 37.2 per cent. This percentage is slightly above 1/3, which is the spuriousness rate previously determined in the photometry (Chanchaiworawit et al. 2017).

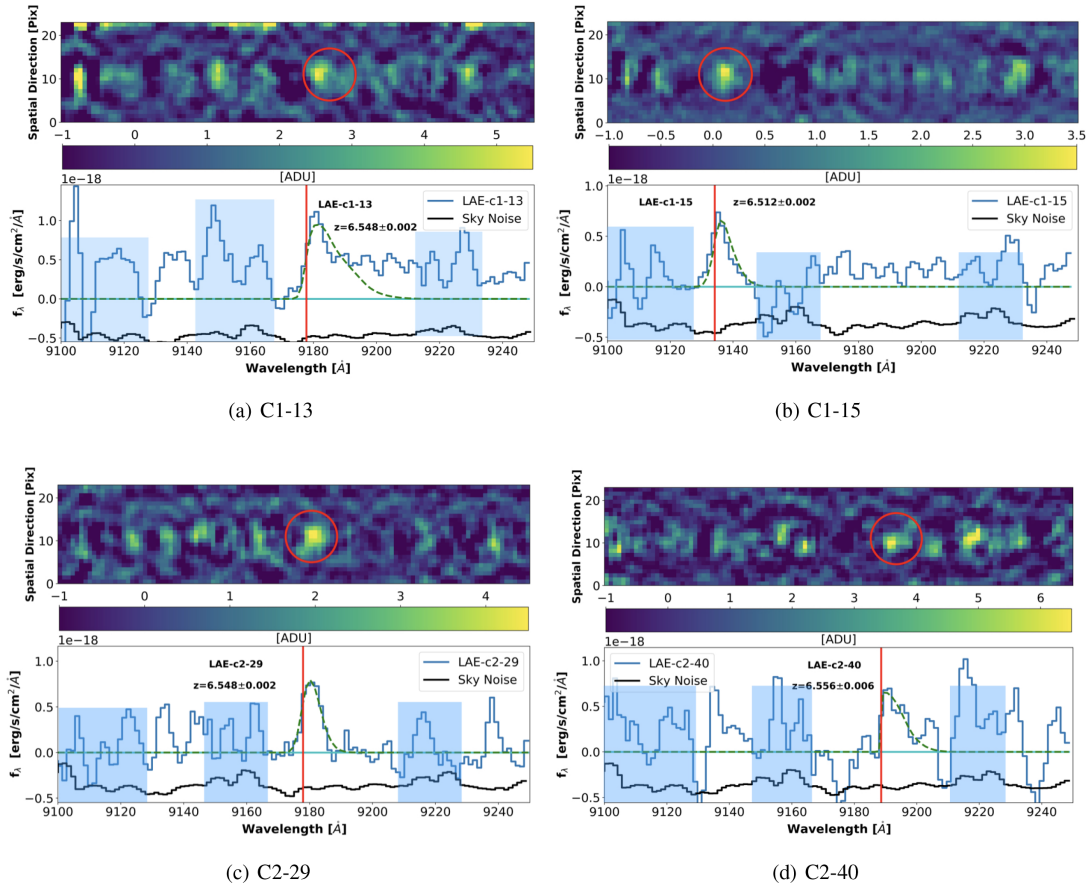


Figure 7. 2D and 1D spectra of spectroscopically confirmed LAEs with the letter grade A. The blue solid lines are the extracted 1D spectra. The vertical red solid line indicates the spectroscopic redshifts of each object. The black solid lines are the sky background, measured using the same extraction window as the Ly α emission features, but scaled down to avoid interfering with the object’s spectrum. The shaded blue areas indicate those regions where the sky lines are prominent.

Note that some LAE candidates are in common with the newly published results from the SILVERRUSH survey (Higuchi et al. 2019), namely C1-11, C1-13, C2-26, C2-27, C2-43, and C2-46. While C2-47 was observed spectroscopically by SILVERRUSH it was not in our mask. Moreover, C2-26 and C2-27 are in our grade C objects, as they were close to a sky line. The redshift measurements from the two programs are in good agreement, within 1σ uncertainty, considering the different method of measuring the redshift of the emission line (i.e. measure at the peak of the observed Ly α emission or at the centroid of the Gaussian after applying skewness, see Section 4.2). The fact that some of our sources have been spectroscopically detected by other authors gives credibility to the spectra we have obtained. Fig. 10 shows a histogram with the redshifts (line-of-sight velocities) distribution. Note that there is a relatively smooth distribution albeit skewed towards a redshift close to $z = 6.52$, which is consistent with the expected redshift of the protocluster discussed in Higuchi et al. (2019) and Chanchaiworawit et al. (2019).

4.2 Ly α flux and redshift measurements

Traditionally, a Gaussian distribution or Normal distribution function suffices in fitting an emission line. Nevertheless, one of the critical features of the Ly α emission line at high redshift is the asymmetry of the line profile due to the high optical depth of

interloping neutral hydrogen gas. Thus, using a normal symmetric Gaussian profile to fit these emission lines would be inappropriate (Mas-Hesse et al. 2003; Verhamme et al. 2006). However, making assumptions on the neutral hydrogen (HI) optical depth, the interloping intergalactic medium (IGM), and the intrinsic Ly α luminosity is beyond the scope of this paper. Indeed, any assumption made here would create a bias and introduce more uncertainty to our calculations. Thus, we choose the skew Gaussian profile for the fitting function, since it can be tweaked and twisted to fit arbitrary shapes of the detected Ly α emission lines without relying on any astrophysical assumption. Thus, we fitted the 1D spectra of all spectroscopically detected LAEs with a skew Gaussian distribution function with a form as shown in equation (1),

$$f(x') \sim \frac{2}{\sigma} \Theta(\alpha x') N(\sigma^2, x_0) \times H + B, \quad (1)$$

where, $\Theta(\alpha x')$ and $N(\sigma^2, x_0)$ denote the modifying function with skewness factor, α , and the normal distribution parts of the function (O’Hagan & Leonard 1976; Mudholkar & Hutson 2000; Azzalini 2013). The parameters, x_0 , H , and B in equation (1) are the median (before applying skewness) of the normal distribution, scale height (height of the distribution), and base value (in case of non-zero median sky background and/or continuum). The modifying function, which skews the traditional normal distribution to the left

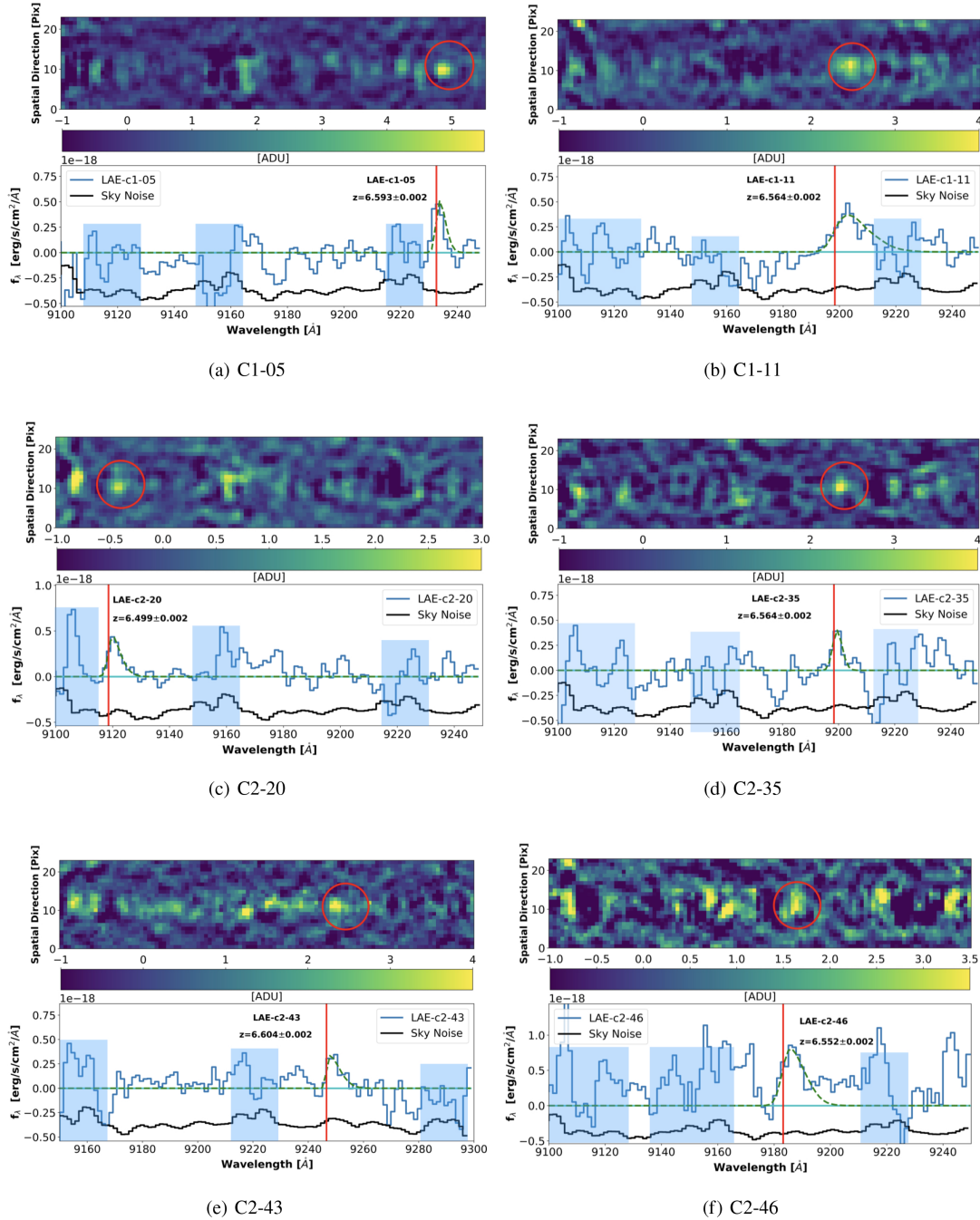


Figure 8. 2D and 1D spectra of spectroscopically confirmed LAEs with the letter grade B. The blue solid lines are the extracted 1D spectra. The vertical red solid line indicates the spectroscopic redshifts of each object. The black solid lines are the sky background, measured using the same extraction window as the Ly α emission features, but scaled down to avoid interfering with the object’s spectrum. The shaded blue areas indicate those regions where the sky lines are prominent.

or right has the form shown in equation (2),

$$\Theta(\alpha x') = \frac{1}{2} \left[1 + \operatorname{erf} \left(\frac{\alpha(x - x_0)}{\sqrt{2}\sigma} \right) \right]. \quad (2)$$

The reason behind choosing this function in fitting the Ly α emission line is not only to avoid making assumption on the astrophysical processes of LAEs at $z = 6.5$, but also the fact that the modifying function does a perfect job in mimicking the transmission functions of Ly α photons at high redshifts, especially within the EoR and

when $\alpha \gg 1$. We utilized the *scipy.optimize* package in *Python* to fit this skew Gaussian distribution function to the observed 1D spectra of our LAE detections.

Now that the best-fitted skew Gaussian functions to all observed Ly α emission lines were available, we obtained the total Ly α flux by simply integrating the best-fitted functions. However, before integrating, we subtracted the base of the best-fitted function to get rid of non-zero sky background or any continuum that might show up in the fitting process. In most cases, however, the base was close

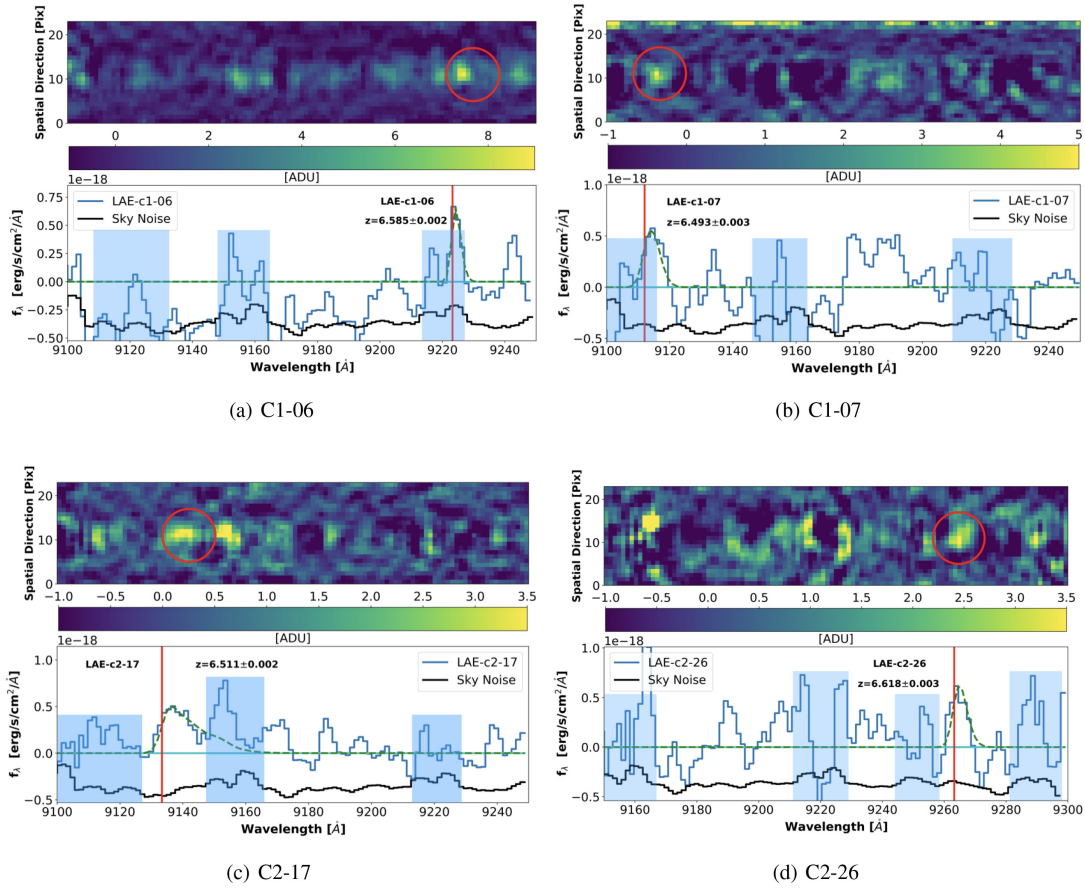


Figure 9. 2D and 1D spectra of the LAE candidates with the letter grade C. The blue solid lines are the extracted 1D spectra. The vertical red solid line indicates the spectroscopic redshifts of each object. The black solid lines are the sky background, measured using the same extraction window as the Ly α emission features, but scaled down to avoid interfering with the object’s spectrum. The shaded blue areas indicate those regions where the sky lines are prominent.

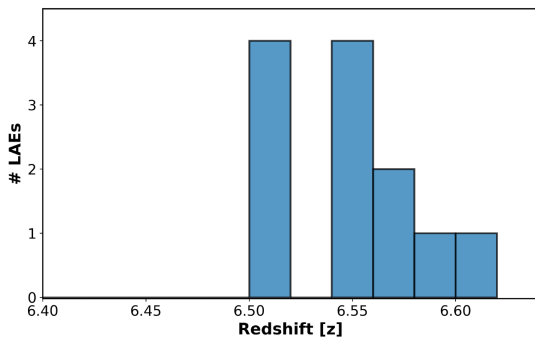


Figure 10. Redshift distribution of the 10 new spectroscopically confirmed LAEs and two previously confirmed by Ouchi et al. (2010). The bin size is $\Delta z = 0.02$.

to zero. The Ly α luminosity was then computed as expressed in equation (3),

$$L_{\text{Ly}\alpha}^{\text{obs}} = (4\pi d_L^2) \times F_{\text{Ly}\alpha}, \quad (3)$$

where d_L is the luminosity distance at $z = 6.5$, which is 63,326.5 Mpc. We can then calculate fluxes and observed Ly α luminosities of LAEs with this formalism, where the flux uncertainties can be directly assessed from the goodness of fit. In case of the redshift measurements, the best-fitting x_0 value and its uncertainty give us the centre wavelength of what would be the Ly α emission

line before passing through a high-optical depth IGM. Thus, we can calculate the redshift of each LAE by simply, $z_{\text{spec}} = \frac{x_0}{1216 \text{ \AA}} - 1$. The measured redshifts, the observed Ly α fluxes, the skewness values, and the observed Ly α luminosities (both spectroscopically measured and photometrically estimated) are presented in Table 3.

4.3 Consistency test of the final spectra

A co-added (stacked) spectrum was done by shifting all ten confirmed spectra to the same lambda-position ($\lambda = 9130 \text{ \AA}$) using their peaks as reference points and performing 3σ clipping average of all frames. The stacked spectrum is shown in Fig. 11. It exhibits enhanced S/N as compared to the individual galaxy spectra. The stacked image shows an asymmetric emission line, with skewness factor 2.93 ± 1.39 , while the noise of the continuum has substantially decreased, essentially because the noise and residuals from the sky line subtraction when averaged tend to zero. We compare the mean Ly α luminosity obtained from averaging the Ly α luminosities of all grade A and B LAEs ($L_{\text{Ly}\alpha}^{\text{mean}}$) with the extracted Ly α luminosity of the stacked LAE ($L_{\text{Ly}\alpha}^{\text{stacked}}$). Both values agree within the noise, the $L_{\text{Ly}\alpha}^{\text{mean}}$ is $2.52 \pm 1.33 \times 10^{42} \text{ ergs s}^{-1}$, while the value of $L_{\text{Ly}\alpha}^{\text{stacked}}$ is $2.00 \pm 0.50 \times 10^{42} \text{ ergs s}^{-1}$. Since both $L_{\text{Ly}\alpha}^{\text{mean}}$ and $L_{\text{Ly}\alpha}^{\text{stacked}}$ are in good agreement within 1σ uncertainty, we are confident that we are not measuring anything other than the Ly α lines. Indeed, the asymmetry of the stacked line is noticeable.

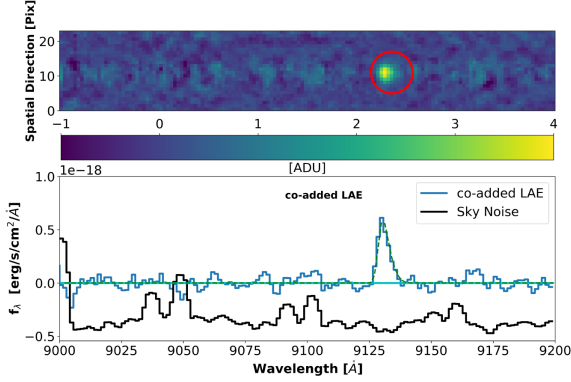


Figure 11. The co-added 2D and 1D spectrum of the 10 LAEs at $z = 6.5$ with reliable detections (LAEs with the letter grades A and B). The blue solid line is the 1D spectrum. While the black solid line is the sky background. The skewness factor is 2.93 ± 1.39 .

If sky lines were contaminating the stacked spectrum we would expect a more symmetric line, which is not the case for our grade A and B LAEs. Therefore, we have high confidence in the 10 (both grades A and B) spectroscopically confirmed LAEs that we have detected.

We also compared the photometric and spectroscopic magnitudes in the filter F913 for our 10 confirmed LAEs (Fig. 6). We find that while for the grade A LAEs there is little difference between the two magnitudes, for the grade B LAEs the differences are more relevant. The sources that differ most are Ouchi’s source C1-01 and C1-05.

5 DISCUSSION

5.1 $\text{Ly}\alpha$ equivalent widths and escape fractions

Deriving the $\text{Ly}\alpha$ rest-frame equivalent widths of the LAEs could be just a simple task, if any continuum flux would have been detected. However, in our case, the UV continuum was not detected, beyond 2σ level, for any of the LAEs, not even in the photometric observations, except for three objects (Chanchaiworawit et al. 2017). Thus, the only sound solution to estimate the rest-frame equivalent widths of the LAEs is to give lower limit values. The lower limit of the $\text{Ly}\alpha$ rest-frame equivalent width can be calculated as shown in equation (4),

$$EW_{0, \text{Ly}\alpha}^{\text{lower}} = \frac{1}{1+z} \times \frac{F_{\text{Ly}\alpha}}{f_{\text{cont}}^{2\sigma}}, \quad (4)$$

where $EW_{0, \text{Ly}\alpha}^{\text{lower}}$, z , $F_{\text{Ly}\alpha}$, and $f_{\text{cont}}^{2\sigma}$ are the lower limit of $\text{Ly}\alpha$ rest-frame equivalent width, redshift of an LAE, total $\text{Ly}\alpha$ emission flux, and the 2σ non-detected flux density level, respectively. We measured the value of $f_{\text{cont}}^{2\sigma}$ by averaging 2σ RMS noise levels between 50 and 100 \AA behind the $\text{Ly}\alpha$ emission lines on the 1D spectra of all 10 newly confirmed LAEs, which yield the value of $2.5 \times 10^{-19} \text{ ergs s}^{-1} \text{ cm}^{-2} \text{ \AA}^{-1}$. The derived lower limit values of $\text{Ly}\alpha$ rest-frame equivalent widths for all LAEs observed spectroscopically are listed in Table 4.

Note that Inoue et al. (2018), as part of the SILVERRUSH program, have demonstrated in a simulation that hosting halo masses are closely related to $\text{Ly}\alpha$ production and the amount of neutral hydrogen gas. They compute $\text{Ly}\alpha$ escape fractions of LAEs at $z = 6.6$ that yield an excellent agreement with the observations of the SUBARU/HSC survey (Higuchi et al. 2018; Inoue et al.

2018; Konno et al. 2018). We have thus adopted these simulations to estimate the $f_{\text{esc}, \text{Ly}\alpha}$ based on the observed $\text{Ly}\alpha$ luminosities and an a priori LAE halo mass distribution (a detailed calculation is presented in Chanchaiworawit et al. 2019). The $\text{Ly}\alpha$ escape fractions of the confirmed LAEs and LAE candidates estimated from their $\text{Ly}\alpha$ luminosities are listed in Table 4. The average $\text{Ly}\alpha$ escape fraction of the 10 LAEs is 0.19 ± 0.09 , which is in good agreement with the literature (e.g. Ouchi et al. 2010; Inoue et al. 2018). Hayes et al. (2010), for example, predict an average $\text{Ly}\alpha$ escape fraction at $z = 6.6$ of around 0.2.

5.2 $\text{Ly}\alpha$ star formation rates

To compute the SFR, we have followed two different approaches. First we have assumed the standard Kennicutt calibration (Kennicutt 1998), assuming Case B recombination and a Salpeter initial mass function (IMF) with a mass range of 0.1 to $100 M_{\odot}$, considering a $\frac{F_{\text{Ly}\alpha}}{F_{\text{H}\alpha}}$ flux ratio of 8.7. This method follows a traditional and straightforward way of computing SFRs, but it should be noted that it is based on the assumption of a star formation episode producing stars at a constant rate during tens of Myr, until the birth and death of the most massive ionizing stars reach an equilibrium. We first derived the SFR for each LAE directly from its observed $\text{Ly}\alpha$ luminosity. No attempts were made to correct for internal extinction (within the LAEs) as we do not have ways of determining the extinction. Besides, the expected extinction of LAEs at $z \sim 6.5$ should not be high. Note that the SFRs thus obtained are very sensitive to the assumption of the IMF and the range of masses used. For instance, Oti-Floranés & Mas-Hesse (2010) have shown that changing the mass ranges through the variety of choices available in the literature could vary the $\text{Ly}\alpha$ luminosity by a factor of 4, implying that the SFRs derived from the $\text{Ly}\alpha$ luminosities need to be related to a given specific IMF and mass range.

The stars producing the $\text{Ly}\alpha$ flux and continuum do so before any scattering or absorption processes within the neutral medium. Therefore, the intrinsic $\text{Ly}\alpha$ luminosity is different from the observed $\text{Ly}\alpha$ luminosity and could be retrieved by dividing the observed $\text{Ly}\alpha$ luminosity by the $\text{Ly}\alpha$ escape fraction. Likewise, we can derive intrinsic SFR using equation (5),

$$\frac{\text{SFR}_{\text{Ly}\alpha}}{M_{\odot} \text{ yr}^{-1}} = 9.1 \times 10^{-43} \frac{L_{\text{Ly}\alpha}^{\text{obs}}}{\text{ergs s}^{-1}} \times \frac{1}{f_{\text{esc}, \text{Ly}\alpha}}, \quad (5)$$

where $L_{\text{Ly}\alpha}^{\text{obs}}$ is the observed $\text{Ly}\alpha$ luminosity, and $f_{\text{esc}, \text{Ly}\alpha}$ is the corresponding $\text{Ly}\alpha$ escape fraction. The intrinsic $\text{Ly}\alpha$ luminosities and SFRs of LAEs are shown in Table 4.

The second approach assumed the current star formation episode to be essentially coeval. In this scenario we can estimate the star formation strength (SFS), which is the mass of gas converted into stars (Oti-Floranés & Mas-Hesse 2010) in the current burst. Deriving the average value of the intrinsic $\text{Ly}\alpha$ luminosity, for the 10 sources with good grade, we get $\sim 1.30 \times 10^{43} \text{ ergs s}^{-1}$. We can then use the calibration by Oti-Floranés & Mas-Hesse (2010) (available also as a Webtool²), to get the average mass ($2.52 \times 10^8 M_{\odot}$) that has been converted into stars in the current burst of star formation (assuming a coeval starburst at 4 Myr, with a Salpeter IMF from 0.1 to $100 M_{\odot}$). If we assume that these galaxies have an average mass of around $10^9 M_{\odot}$, about 25 per cent of all of the gas in these galaxies has been converted into stars in the current burst in a very short time, which is a significant fraction of their total mass.

²<http://www.laeff.cab.inta-csic.es/research/sfr/>

Table 4. The list of all LAE candidates with their parameters; Columns: (1) name (the asterisk in the first line indicates the Ouchi’s source NB92-N79144); (2) lower limit of the Ly α rest-frame equivalent width; (3) Ly α escape fraction; (4) star-formation rate estimated from $L_{\text{Ly}\alpha}^{\text{phot}}$; (5) star-formation rate estimated from $L_{\text{Ly}\alpha}^{\text{spec}}$; (6) Intrinsic Ly α Luminosity; (7) Luminosity of the continuum at 1500 Å; (8) Absolute magnitude at 1500 Å.

Object	$EW_{0,\text{Ly}\alpha}$ (Å)	$f_{\text{esc, Ly}\alpha}$	SFR^{phot} ($M_{\odot} \text{ yr}^{-1}$)	SFR^{spec} ($M_{\odot} \text{ yr}^{-1}$)	$L_{\text{Ly}\alpha, \text{int}}$ ($10^{42} \text{ ergs s}^{-1}$)	L_{1500} ($10^{42} \text{ ergs s}^{-1} \text{ Å}^{-1}$)	M_{1500}
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
LAE-C1-01*	>83	0.19 ± 0.10	53.7 ± 27.9	13.7 ± 0.5	78.9 ± 41.6	1.36	−21.69
LAE-C1-05	>7	0.20 ± 0.09	35.1 ± 19.7	1.1 ± 0.3	6.0 ± 3.1	0.10	−18.95
LAE-C1-11	>16	0.18 ± 0.09	15.2 ± 8.2	2.6 ± 0.4	15.6 ± 8.1	0.27	−19.87
LAE-C1-13	>28	0.20 ± 0.10	16.6 ± 9.2	4.7 ± 0.5	25.5 ± 13.0	0.44	−20.52
LAE-C1-15	>13	0.19 ± 0.07	23.3 ± 14.3	2.1 ± 0.3	12.1 ± 4.7	0.21	−19.66
LAE-C2-20	>8	0.18 ± 0.10	3.3 ± 12.5	1.3 ± 0.2	7.8 ± 4.5	0.13	−19.12
LAE-C2-29	>18	0.18 ± 0.08	22.3 ± 13.3	3.0 ± 0.2	18.3 ± 15.2	0.32	−20.05
LAE-C2-35	>9	0.26 ± 0.14	126.3 ± 65.5	1.5 ± 0.7	6.2 ± 4.5	0.11	−19.26
LAE-C2-40	>23	0.19 ± 0.07	18.0 ± 11.0	3.7 ± 0.4	21.6 ± 8.2	0.37	−20.28
LAE-C2-43	>6	0.19 ± 0.08	22.8 ± 13.3	0.9 ± 0.2	5.3 ± 2.5	0.09	−18.75
LAE-C2-46	>13	0.20 ± 0.07	18.5 ± 11.2	2.2 ± 0.6	12.0 ± 5.5	0.21	−19.70

While the derivation of SFRs based on calibrations which assume a long star formation episode in equilibrium is usual in the literature, this might not reflect properly the conditions of intense starburst in high redshift galaxies. For instance, Oti-Floranes & Mas-Hesse (2010) have shown that once this equilibrium phase has been reached, the intrinsic Ly α equivalent width can never be above around 100 Å while Sobral et al. (2018); Harikane et al. (2018) have shown, analysing a large sample of galaxies with measured values of the escape fraction, that their intrinsic equivalent widths peak at around 200 Å. Indeed, these values are only consistent with very young, almost coeval star formation episodes.

5.3 1500 Å continuum luminosities

The rest-frame UV continuum of galaxies, when detected, is commonly used to provide an additional estimate of the SFR. Most of the energy radiated from young, massive stars is emitted in the UV range (from less than 912 to around 3000 Å). While the UV continuum is potentially affected by dust extinction, an effect which should be negligible at high redshift (see for example Hayes et al. 2010), it is not affected at all by scattering or absorption processes in the neutral gas, as the Lyman α emission is. Thus, the UV luminosity serves as a reliable estimator of the overall SFR. However, the LAEs we are dealing with are quite faint, and the observed spectra were not deep enough to recover their rest-frame UV continuum.

Just for reference we have computed expected continuum luminosities at 1500 Å for the A and B-grade sources, using the models by Oti-Floranes & Mas-Hesse (2010). These calibrations are based on a self-consistent evaluation of the most used SFR tracers at different wavelengths, and for different star formation scenarios. Based on these models, the expected value of any other SFR tracer can be derived based on the observed value of any other, for a given value of the star formation parameters such as age, star formation regime, IMF, etc. ... Following this approach we have computed the expected luminosities at 1500 Å as constrained by the intrinsic Ly α luminosities. As long as the Ly α escape fractions we have used are reliable, they would have taken into account all effects related with Ly α photons scattering and absorption by the neutral interstellar medium, and should be a valid prior to provide a first estimate of the continuum luminosities. Following the above discussion, they have been computed for a coeval starburst of 4 Myr age. The estimated

1500 Å continuum luminosities are listed in Table 4. We also list the 1500 Å absolute magnitudes, which with an averaged $M_{1500} = -19.6$ these are indeed sub L* galaxies (Bouwens et al. 2006; Ouchi et al. 2009).

5.4 Mechanical energy

Studying the mechanical energy released by star formation episodes in the galaxies in the protocluster is interesting as this mechanical energy might be punching holes in the surrounding neutral gas. Indeed, this is required to produce the relatively large Ly α escape fractions that we have derived. Oti-Floranes & Mas-Hesse (2010) computed the mechanical energy yielded by stellar winds and supernovae. A small fraction of this mechanical energy ends up heating the gas by collision and is transformed into X-rays. With the help of the above mentioned Webtool, we could determine the average X-ray luminosity, corresponding to the average value of the intrinsic Ly α luminosity of the 10 good grade galaxies. This is assuming a coeval starburst of around 4 Myr age. The average X-ray luminosity for the 10 good grade sources is $L_X(0.4 - 2.4 \text{ KeV}) = 3.69 \times 10^{41} \text{ ergs s}^{-1}$. Typically, about 5 per cent of the mechanical energy yielded by stellar winds and supernovae is transformed into X-ray emission. Thus, the estimated mechanical energy input results in $7.4 \times 10^{42} \text{ ergs s}^{-1}$, which is significantly larger than the mechanical energy produced by low redshift, local starburst galaxies. For instance, Silich et al. (2009) give a value for the mechanical energy produced by a starburst galaxy, such as M82, of $2.5 \times 10^{40} \text{ ergs s}^{-1}$. This is two orders of magnitude lower. Therefore, we conclude that the typical LAEs in this group release huge quantities of mechanical energy, sufficient to pierce holes in the circumgalactic medium. That allows the ionizing photons from these starburst galaxies to escape into the IGM, eventually ionizing a large region outside the circumgalactic medium.

6 CONCLUSIONS

We have spectroscopically confirmed 10 LAEs from the SXDX-Newton field, which were previously detected by us, photometrically. From a GTC/OSIRIS mask with 17 science slits devoted to LAE candidates, we obtained a spectroscopic success rate

of $\sim 2/3$, consistent with the previously determined spuriousness value (Chanchaiworawit et al. 2017). Nevertheless, the remaining LAE candidates were also detected with lower reliability level.

We have measured their $\text{Ly}\alpha$ fluxes and associated SFRs (or star formation strengths for coeval bursts). The intrinsically intermediate to high SFRs have clearly demonstrated the signatures of high redshift, young starburst galaxies. Moreover, the lower limits of $\text{Ly}\alpha$ equivalent widths are relatively high, as expected from sources that are undergoing young starbursts. We have computed values for the intrinsic $\text{Ly}\alpha$ luminosities, and from these we have determined the expected continuum luminosities and absolute magnitudes, showing that indeed the detected sources are low luminosity sources. Finally, we have derived the mechanical energy that these sources are outputting on to the CGM, finding that it is substantially high, which suggests the formation of holes, that allow ionizing radiation to escape. The escape of ionizing photons from these young starburst galaxies may be an important driver of the Re-ionization of the Universe.

ACKNOWLEDGEMENTS

We thank the anonymous referee for his reports that helped us to improve the manuscript. RC and JMRE acknowledge support from the AEI of the Spanish Ministry of Science under grant AYA2015-70498-C2-1, and AYA2017-84061-P (both co-founded with FEDER funds). We thank the GTC support staff for performing the observations. We acknowledge very valuable comments by an anonymous referee that allowed a great improvement of the manuscript. KC recognizes the Royal Thai Government Scholarship in the section of the Ministry of Sciences & Technologies for the National Astronomical Research Institute of Thailand (NARIT) and the University of Florida's Graduate School Doctoral Dissertation Award for guidance and financial support to conduct this work and complete his thesis. ESS and AMO acknowledge funding and support from the Spanish MINECO under the projects MDM-2014-0369 of ICCUB (Unidad de Excelencia 'María de Maeztu'), AYA2015-70498-C02-2-R, and AYA2017-88085-R (both co-funded with FEDER funds), and the Catalan DEC grant 2017SGR643. JMRE also acknowledges support from the Spanish Ministry of Science through AYA2017-84061-P grant. AH, RC, and JMRE acknowledge the Spanish Ministry of Science's support through the Severo Ochoa grant SEV2015-0548. AH acknowledges support by the Spanish Ministry of Science through grant AYA2015-68012-C2-1 and by the Gobierno de Canarias through grant ProID2017010115. JG acknowledges support by the Spanish Ministry of Science through grant AYA2016-75808-R. JMMH is funded by Spanish State Research Agency grants ESP2017-87676-C5-1-R and MDM-2017-0737 (Unidad de Excelencia María de Maeztu CAB). This work was partly done using GNU Astronomy Utilities (Gnuastro, ascl.net/1801.009) version 0.3. Work on Gnuastro has been funded by a Japanese Ministry of Education, Culture, Sports, Science, and Technology (MEXT) scholarship and its Grant-in-Aid for Scientific Research (21244012, 24253003), and the European Research Council (ERC) advanced grant 339659-MUSICOS.

REFERENCES

- Akhlaghi M., Ichikawa T., 2015, *ApJS*, 220, 1
- Azzalini A., 2013, *The Skew-Normal and Related Families*. Institute of Mathematical Statistics Monographs, Cambridge Univ. Press, Cambridge
- Bouwens R. J., Illingworth G. D., Blakeslee J. P., Franx M., 2006, *ApJ*, 653, 53
- Bouwens R. J. et al., 2010, *ApJ*, 725, 1587
- Carilli C. L., Röttgering H. J. A., van Ojik R., Miley G. K., van Breugel W. J. M., 1997, *ApJS*, 109, 1
- Cepa J. et al., 2003, in Iye M., Moorwood A. F. M., eds, *Proc. SPIE Vol. 4841, Instrument Design and Performance for Optical/Infrared Ground-based Telescopes*. SPIE, Bellingham, p. 1739
- Chanchaiworawit K. et al., 2017, *MNRAS*, 469, 2646
- Chanchaiworawit K. et al., 2019, *ApJ*, 877, 51
- De Breuck C., van Breugel W., Stanford S. A., Röttgering H., Miley G., Stern D., 2002, *AJ*, 123, 637
- Fan X. et al., 2006, *AJ*, 132, 117
- Gómez-González V. M. A., Mayya Y. D., Rosa-González D., 2016, *MNRAS*, 460, 1555
- Harikane Y. et al., 2018, *ApJ*, 859, 84
- Hayes M. et al., 2010, *Nature*, 464, 562
- Higuchi R. et al., 2018, *ApJ*, 879, 28
- Higuchi R. et al., 2019, *ApJ*, 879, 28
- Inoue A. K. et al., 2018, *PASJ*, 70, 55
- Kakiichi K. et al., 2018, *MNRAS*, 479, 43
- Kennicutt Robert C. J., 1998, *ApJ*, 498, 541
- Konno A. et al., 2018, *PASJ*, 70, S16
- Le Fevre O., Deltorn J. M., Crampton D., Dickinson M., 1996, *ApJ*, 471, L11
- Mas-Hesse J. M., Kunth D., Tenorio-Tagle G., Leitherer C., Terlevich R. J., Terlevich E., 2003, *ApJ*, 598, 858
- Monet D. G. et al., 2003, *AJ*, 125, 984
- Mudholkar G. S., Hutson A. D., 2000, *J. Stat. Plan. Inference*, 83, 291
- Naidu R. P., Forrest B., Oesch P. A., Tran K.-V. H., Holden B. P., 2018, *MNRAS*, 478, 791
- O'Hagan A., Leonard T., 1976, *Biometrika*, 63, 201
- Oke J. B., 1990, *AJ*, 99, 1621
- Oke J. B., Gunn J. E., 1983, *ApJ*, 266, 713
- Otf-Floranés H., Mas-Hesse J. M., 2010, *A&A*, 511, A61
- Ouchi M. et al., 2009, *ApJ*, 706, 1136
- Ouchi M. et al., 2010, *ApJ*, 723, 869
- Overzier R. A. et al., 2006, *ApJ*, 637, 58
- Pentericci L., Röttgering H. J. A., Miley G. K., Carilli C. L., McCarthy P., 1997, *A&A*, 326, 580
- Pérez-González P. G. et al., 2013, *ApJ*, 762, 46
- Robertson B. E., Ellis R. S., Furlanetto S. R., Dunlop J. S., 2015, *ApJ*, 802, L19
- Silich S., Tenorio-Tagle G., Torres-Campos A., Muñoz-Tuñón C., Monreal-Ibero A., Melo V., 2009, *ApJ*, 700, 931
- Sobral D., Santos S., Mathee J., Paulino-Afonso A., Ribeiro B., Calhau J., Khostovan A. A., 2018, *MNRAS*, 476, 4725
- Tody D., 1986, in Crawford D. L., ed., *Proc. SPIE Vol. 627, Instrumentation in Astronomy VI*. SPIE, Bellingham, p. 733
- Venemans B. P. et al., 2004, *A&A*, 424, L17
- Verhamme A., Schaerer D., Maselli A., 2006, *A&A*, 460, 397

This paper has been typeset from a $\text{\TeX}/\text{\LaTeX}$ file prepared by the author.