

# A sub-Neptune and a non-transiting Neptune-mass companion unveiled by ESPRESSO around the bright late-F dwarf HD 5278 (TOI-130)<sup>★</sup>

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## ABSTRACT

**Context.** Transiting sub-Neptune-type planets, with radii approximately between 2 and 4  $R_{\oplus}$ , are of particular interest as their study allows us to gain insight into the formation and evolution of a class of planets that are not found in our Solar System.

**Aims.** We exploit the extreme radial velocity (RV) precision of the ultra-stable echelle spectrograph ESPRESSO on the VLT to unveil the physical properties of the transiting sub-Neptune TOI-130 b, uncovered by the TESS mission orbiting the nearby, bright, late F-type star HD 5278 (TOI-130) with a period of  $P_b = 14.3$  days.

**Methods.** We used 43 ESPRESSO high-resolution spectra and broad-band photometry information to derive accurate stellar atmospheric and physical parameters of HD 5278. We exploited the TESS light curve and spectroscopic diagnostics to gauge the impact of stellar activity on the ESPRESSO RVs. We performed separate as well as joint analyses of the TESS photometry and the ESPRESSO RVs using fully Bayesian frameworks to determine the system parameters.

**Results.** Based on the ESPRESSO spectra, the updated stellar parameters of HD 5278 are  $T_{\text{eff}} = 6203 \pm 64$  K,  $\log g = 4.50 \pm 0.11$  dex,  $[\text{Fe}/\text{H}] = -0.12 \pm 0.04$  dex,  $M_{\star} = 1.126^{+0.036}_{-0.035} M_{\odot}$ , and  $R_{\star} = 1.194^{+0.017}_{-0.016} R_{\odot}$ . We determine HD 5278 b's mass and radius to be  $M_b = 7.8^{+1.5}_{-1.4} M_{\oplus}$  and  $R_b = 2.45 \pm 0.05 R_{\oplus}$ . The derived mean density,  $\rho_b = 2.9^{+0.6}_{-0.5} \text{ g cm}^{-3}$ , is consistent with the bulk composition of a sub-Neptune with a substantial ( $\sim 30\%$ ) water mass fraction and with a gas envelope comprising  $\sim 17\%$  of the measured radius. Given the host brightness and irradiation levels, HD 5278 b is one of the best targets orbiting G-F primaries for follow-up atmospheric characterization measurements with HST and JWST. We discover a second, non-transiting companion in the system, with a period of  $P_c = 40.87^{+0.18}_{-0.17}$  days and a minimum mass of  $M_c \sin i_c = 18.4^{+1.8}_{-1.9} M_{\oplus}$ . We study emerging trends in parameters space (e.g., mass, radius, stellar insolation, and mean density) of the growing population of transiting sub-Neptunes, and provide statistical evidence for a low occurrence of close-in, 10 – 15  $M_{\oplus}$  companions around G-F primaries with  $T_{\text{eff}} \gtrsim 5500$  K.

**Key words.** planetary systems – planets and satellites: composition – stars: individual: HD 5278 (TOI-130) – techniques: radial velocities – techniques: photometric – methods: miscellaneous

## 1. Introduction

About 27% of the current catalog of >2000 transiting planet candidates uncovered by the TESS mission (Ricker et al. 2015) is composed of objects with radii in the range of 2.0–4.0  $R_{\oplus}$ , found in both single and multiple configurations. These objects are usually referred to as sub-Neptunes or mini-Neptunes<sup>1</sup>. They populate the region at the high end of the so-called radius valley, a prominent feature of the bimodal radius distribution of small-size (1–4  $R_{\oplus}$ ), close-in ( $P \lesssim 100$  days) planets that was recently unveiled based on the analysis of Kepler mission data (Fulton

et al. 2017; Fulton & Petigura 2018). The class of sub-Neptune-type planets does not exist in our Solar System. Understanding why they seem so abundant around other stars (of varied spectral type) in terms of their formation scenarios, evolutionary paths, and range of structural properties is still an open question in exoplanetary science.

Broadly speaking, there is agreement today on the fact that close-in sub-Neptunes cannot be composed of purely rocky material (mixtures of iron and silicates), but they must retain more or less significant fractions of volatile elements (Lopez et al. 2012; Rogers 2015; Lozovsky et al. 2018; Jin & Mordasini 2018; Bitsch et al. 2019; Venturini et al. 2020). However, when it comes to details for explaining the full extent of the radius distribution of these objects, substantially different predictions from theoretical modeling arise. For instance, they could be rocky worlds with small amounts of volatiles in their interiors and substantial, thick  $\text{H}_2/\text{He}$  gaseous envelopes of primordial

<sup>★</sup> Based on Guaranteed Time Observations collected at the European Southern Observatory under ESO programme 1102.C-0744 by the ESPRESSO Consortium.

<sup>1</sup> In the remainder of the paper, we adopt the nomenclature sub-Neptunes to refer to this class of planets.

origin (e.g., Owen & Wu 2017; Van Eylen et al. 2018; Gupta & Schlichting 2019). These go sometimes by the definition of gas dwarfs (Buchhave et al. 2014). Alternatively, they might contain significant amounts of water in primarily solid (ices) form, with thin, H<sub>2</sub>/He-dominated atmospheres (e.g., Léger et al. 2004; Zeng et al. 2019; Madhusudhan et al. 2020; Venturini et al. 2020). In the literature, these are referred to as water worlds or ocean planets. However, irradiated water-rich rocky planets might also possess endogenic thick H<sub>2</sub>O-dominated, steam atmospheres, in which up to 100% of the planetary water content appears in vaporized form (Dorn et al. 2018; Zeng et al. 2019; Turbet et al. 2020; Mousis et al. 2020). If interactions between the (initially primordial, H<sub>2</sub>-dominated) atmosphere and magma oceans at the surface are considered, then close-in sub-Neptunes could have atmospheres with variable degrees of (exogenic) hydrogen and (endogenic) water (Kite et al. 2020).

In principle, a density determination for transiting planets with a measured radius and mass allows one to directly infer their bulk composition. However, mass-radius relationships for small, low-mass planets from theoretical modeling (e.g., Bitsch et al. 2019; Turbet et al. 2020, and references therein) carry intrinsic degeneracies, with planets of vastly different composition being predicted to have observationally indistinguishable bulk densities. This difficulty is also seen in the case of sub-Neptunes: discriminating between water worlds as well as ocean planets and rocky planets with a H<sub>2</sub>/He atmosphere is not possible based on a mass and radius determination alone, particularly for those objects with radii in the 2.0–3.0  $R_{\oplus}$  range (Adams et al. 2008; Miller-Ricci et al. 2009; Lozovsky et al. 2018). Follow-up atmospheric characterization measurements are therefore necessary. However, density measurements are still of critical importance, as atmospheric analyses rely on knowledge of the mass and radius. As a matter of fact, mass determination often remains the limiting factor in atmospheric characterization studies for objects with radii below that of Neptune. Recent work (Batalha et al. 2019) advocates for masses measured to better than 20% precision (i.e., at the  $>5\sigma$  level) in order for constraints on atmospheric composition to be limited solely by the quality of the transmission and emission spectroscopy datasets.

Achieving statistically significant mass determinations for sub-Neptune-type planets is not an easy task. By design, the TESS mission transit candidates are found orbiting stars significantly brighter than those observed by the Kepler mission, somewhat alleviating the problem of insufficient RV measurement precision due to high photon noise that prevented systematic RV follow-up for the vast majority of the Kepler candidates. However, ground-based follow-up efforts with precision RVs still need to deal with correlated noise sources of stellar origin, typically with amplitudes of the RV variations comparable or exceeding those of the planetary signals. Furthermore, the planetary systems often contain more than one (not necessarily transiting) planet, increasing the complexity of the Keplerian signals and boosting the demands for investment in observing time. Finally, the larger RV amplitudes of the planetary signals make high statistical confidence detections easier to attain around lower-mass primaries. For instance, the masses of sub-Neptunes orbiting stars of any spectral type are measured with median precision of  $\sim 30\%$ , and only a third of the sample has masses determined to better than 20%. However, for G-F dwarf primaries, which we operationally define as having  $5400 \lesssim T_{\text{eff}} \lesssim 7000$  K and  $\log g > 4.0$  in the remainder of the paper, the same class of planets has a median precision in mass determination of  $\sim 40\%$ , and only 12% have masses measured at the  $>5\sigma$  level, or

better<sup>2</sup>. It is therefore desirable to increase the sample of transiting sub-Neptunes with well-determined densities, particularly in the sparsely populated regime of such companions orbiting F–G-type primaries.

In this paper, we present RV measurements from the new ultra-stable spectrograph ESPRESSO (Pepe et al. 2014, 2021) that allow us to obtain a high-precision mass determination for a transiting sub-Neptune candidate with a period of 14.3 days discovered by TESS orbiting the bright ( $V = 8.0$  mag), high-proper motion late-F dwarf HD 5278 (TIC-263 003 176, TOI-130, HIP 3911). Our ESPRESSO observations also conclusively reveal the presence of an additional, non-transiting companion with a period of  $\sim 41$  days.

In Sect. 2 we present the TESS photometric time series and the spectroscopic follow-up observations. Section 3 focuses on the presentation and discussion of the properties of the host star HD 5278. In Sect. 4, we present our data analysis and results. Section 5 contains a discussion on the properties of the HD 5278 planetary system, particularly seen in the context of the sample of presently-known sub-Neptunes orbiting G-F-type primaries. We summarize our results and provide concluding remarks in Sect. 6.

## 2. Observations

### 2.1. TESS photometry

TESS observed TOI-130 (HD 5278) on its Camera 3 at 2-minute cadence in four nonconsecutive sectors: Sector 1 (UT July 25–August 22, 2018), Sector 12 (UT May 21–June 18, 2019), Sector 13 (UT June 19–July 17, 2019), and Sector 27 (UT July 4–July 30, 2020). The target will be re-observed again in Sector 39 (UT May 26–June 24, 2021). The publicly available TESS photometric data were downloaded from the Mikulski Archive for Space Telescopes (MAST) portal<sup>3</sup>. We used the light curve produced by the Presearch Data Conditioning Simple Aperture Photometry (PDCSAP; Smith et al. 2012; Stumpe et al. 2012, 2014) algorithm adopted for processing the TESS images by the NASA Ames Science Processing Operations Center (SPOC; Jenkins et al. 2016). The initial identification of TOI-130.01 as a planet candidate by SPOC was obtained in several steps, including a transit search using the Transiting Planet Search Module (TPS; Jenkins 2002; Jenkins et al. 2010), and the evaluation of a set of transiting planet model fits and internal validation tests (Twicken et al. 2018; Li et al. 2019). The reported period of the planet candidate based on the Sectors 1–13 transit search was 14.339 days, with a preliminary transit depth of  $409 \pm 17$  ppm. A total of eight transits were identified, two in each TESS sector, and the full dataset is used in the following analysis.

### 2.2. Reconnaissance spectroscopy with CORALIE

We started pursuing the confirmation of the planet candidate collecting spectroscopic observations with the CORALIE spectrograph (Queloz et al. 2000) mounted on the 1.2 m Swiss telescope at La Silla Observatory. A total of 14 spectra were obtained between UT September 7, 2018 and UT October 5, 2018, spanning approximately two orbit cycles. The exposure time was set to 900 s. Radial velocities were derived with the

<sup>2</sup> Data from the transiting exoplanet catalog TEPcat: <https://www.astro.keele.ac.uk/jkt/tepcat/>

<sup>3</sup> [mast.stsci.edu/portal/Mashup/Clients/Mast/Portal.html](https://mast.stsci.edu/portal/Mashup/Clients/Mast/Portal.html)

automated CORALIE pipeline (Queloz et al. 2000; Ségransan et al. 2010). The root mean square (rms) of the dataset is  $10.5 \text{ m s}^{-1}$ , comparing favorably to the mean internal error of the observations ( $7.5 \text{ m s}^{-1}$ ) and conclusively ruling out the possibility of an eclipsing binary, which would otherwise produce a signal with a much higher RV amplitude.

### 2.3. Spectroscopic follow-up with ESPRESSO

We carried out the RV monitoring of HD 5278 with ESPRESSO within the context of the Guaranteed Time Observations (GTO) subprogram aimed at measuring precise masses of transiting planet candidates uncovered by the TESS and K2 missions (Program ID 1102.C-744, PI: F.Pepe). HD 5278 was observed over a total time span of 403 days between October 2018 and December 2019. All observations were carried out using the Fabry Perot (FP) as a simultaneous calibration source, enabling the monitoring of instrumental drifts down to the  $10 \text{ cm s}^{-1}$  precision level for which ESPRESSO has ultimately been designed. The complete time series encompasses 43 high-resolution spectra, gathered with the I-UT (UT3) mode at  $R = 138\,000$  and acquired with a fixed exposure time of 900 s. The spectra have a median  $S/N \approx 230$  per pixel at  $\lambda = 5500 \text{ \AA}$ .

Radial velocities were extracted using version 2.0.0 of the ESPRESSO data reduction software (DRS), which is available for direct download from the ESO pipelines website<sup>4</sup>. The DRS (Lovis et al. in prep.) outputs RV measurements based on a Gaussian fit of the cross correlation function (CCF) of the spectrum using a binary mask computed from a stellar template (Baranne et al. 1996; Pepe et al. 2000). RV information is extracted from the full wavelength range covered by the instrument (3800–7880  $\text{\AA}$ ). For HD 5278, an F9V mask was utilized. The resulting RV time series has a median internal error of  $38 \text{ cm s}^{-1}$ . From the DRS-extracted ESPRESSO spectra, we also obtained (through the DACE interface<sup>5</sup>) the time series of a number of useful spectroscopic diagnostics of stellar activity, that is full-width half maximum (FWHM), line bisector span (BIS), Mount Wilson  $S$ -index ( $S_{\text{MW}}$ ),  $\log R'_{\text{HK}}$ , and  $H\alpha$  index. The RVs and activity indicators (alongside their formal uncertainties) used in this work are listed in Tables B.1 and B.2, respectively.

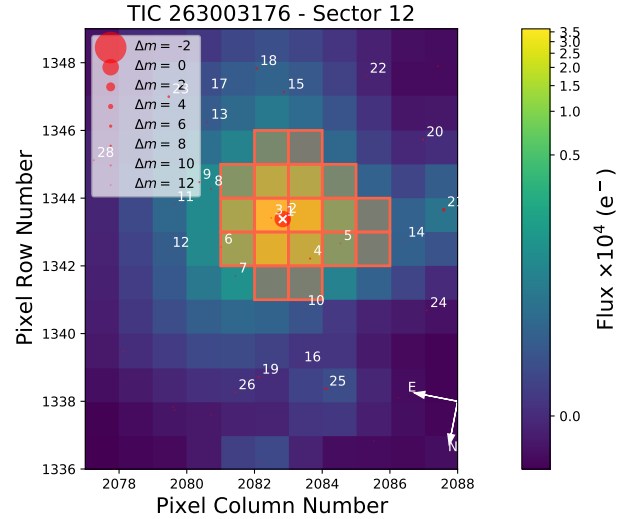
## 3. Stellar properties

HD 5278 (TOI-130, TIC 263 003 176, HIP 3911) is a high-proper motion, bright ( $V = 8.0 \text{ mag}$ ,  $G = 7.8 \text{ mag}$ ) late F-type star located at  $\sim 56 \text{ pc}$  from the Sun (Gaia Collaboration 2018; Lindegren et al. 2018). The field around HD 5278 is shown in Fig. 1, which reproduces a sample image from the TESS target pixel files of Sector 12 obtained with the publicly available `tpfplotter`<sup>6</sup> tool (Aller et al. 2020). The number of detected Gaia sources is not very high, and they are all rather faint ( $\Delta G > 5.5 \text{ mag}$ ), resulting in a relatively low degree of blending. However, the closest and brightest source to HD 5278, with  $G = 13.2 \text{ mag}$ , falls well inside the central target pixel. Gaia DR2 reports it at a separation of  $\sim 2.2 \text{ arcsec}$ , and with similar parallax and proper motion components with respect to HD 5278, albeit with a high value of the renormalized unit weight error (RUWE = 11.2) due to the presence of the nearby bright target.

<sup>4</sup> <http://www.eso.org/sci/software/pipelines/>

<sup>5</sup> The Data Analysis Center for Exoplanets (DACE) platform is available at <https://dace.unige.ch>

<sup>6</sup> <https://github.com/jlillo/tpfplotter>



**Fig. 1.** Target Pixel File (TPF) of HD 5278 from the TESS observations in Sector 12 (composed with `tpfplotter`, Aller et al. 2020). The SPOC pipeline aperture is overplotted with a red shaded region and the Gaia DR2 catalog is also overlaid with symbol sizes proportional to the magnitude contrast with the target, which is marked with a white cross.

We used standard criteria to establish their physical association, requiring small differences in parallax and proper motion ( $\Delta\varpi < \max[1.0, 3\sigma_\varpi]$ ,  $\Delta\mu < 0.1\mu$ ; see Smart et al. 2019). Both conditions are simultaneously satisfied; therefore, the two stars are recognized as a resolved double star system. The Starhorse catalog (Anders et al. 2019) reports an effective temperature of  $T_{\text{eff}} = 3341 \pm 300 \text{ K}$  and a mass of  $M = 0.30^{+0.14}_{-0.11} M_\odot$  for the secondary (Gaia DR2 Source Id 4617759518796452608).

In Table 1, we summarize the main astrometric, photometric, and physical stellar parameters for HD 5278. The stellar atmospheric parameters for the target (i.e., effective temperature  $T_{\text{eff}}$ , surface gravity  $\log g$ , microturbulence  $\xi$ , and iron abundance  $[\text{Fe}/\text{H}]$ ) were obtained using a standard technique of excitation and ionization balance (see, e.g., Sozzetti et al. 2004, 2007; Sousa et al. 2011, and references therein). To this end, we used the StarII workflow of the data analysis software (DAS) of ESPRESSO (Di Marcantonio et al. 2018) to produce a high-quality 1D ESPRESSO spectrum of HD 5278. We initially co-added and normalized, order by order, 43 blaze-corrected bi-dimensional ESPRESSO spectra at the barycentric reference frame. Then these spectra were merged and corrected for RV. The final 1D ESPRESSO spectrum with a pixel size of  $0.5 \text{ km s}^{-1}$  (see Fig. A.1) has a signal-to-noise ratio (S/N) of about 1000 and 2000 at 4200 and 5000  $\text{\AA}$ , respectively, and higher than 2000 for longer wavelengths. Next, the ARES v2 code (Sousa et al. 2007, 2015) with the Sousa et al. (2008) input linelist was used to consistently measure the equivalent widths (EWs) for each line. Metal abundances were derived under the assumption of local thermodynamic equilibrium (LTE), using the 2014 version of the spectral synthesis code MOOG<sup>7</sup> (Sneden 1973) and a grid of Kurucz ATLAS plane-parallel model stellar atmospheres (Kurucz 1993). We obtained  $T_{\text{eff}} = 6203 \pm 64 \text{ K}$ ,  $\log g = 4.50 \pm 0.11$ ,  $\xi = 1.31 \pm 0.03 \text{ km s}^{-1}$ , and  $[\text{Fe}/\text{H}] = -0.12 \pm 0.04$ . The quoted uncertainties in the atmospheric parameters include contributions (added in quadrature) from possible systematic errors, which amount to 60 K, 0.04 dex, and 0.1 dex for  $T_{\text{eff}}$ ,  $[\text{Fe}/\text{H}]$ , and  $\log g$ , respectively (Sousa et al. 2011). Using a classical curve-of-growth analysis method based

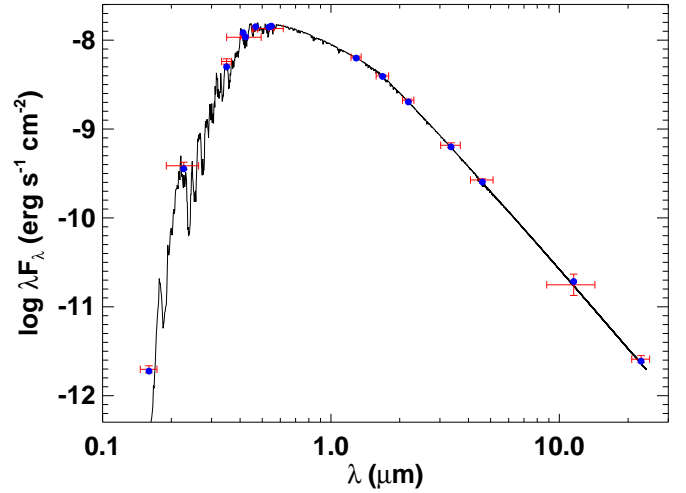
<sup>7</sup> <https://www.as.utexas.edu/~chris/moog.html>

**Table 1.** Astrometry, photometry, and spectroscopically derived stellar properties of HD 5278.

HD 5278, TOI-130, TIC 263003176, HIP 3911, TYC 9490-786-1		
Parameter	Value	Refs.
Astrometry		
$\alpha$ (J2000)	00:50:09.90	[1,2]
$\delta$ (J2000)	-83:44:38.03	[1,2]
$\mu_\alpha$ (mas yr <sup>-1</sup> )	139.42 ± 0.05	[1,2]
$\mu_\delta$ (mas yr <sup>-1</sup> )	30.47 ± 0.04	[1,2]
$\varpi$ (mas)	17.33 ± 0.03	[1,2]
$d$ (pc)	56.12 <sup>+0.83</sup> <sub>-0.81</sub>	[3]
Photometry		
FUV <sub>GALEX</sub>	18.955 ± 0.080	[4]
NUV <sub>GALEX</sub>	12.687 ± 0.002	[4]
$B_T$	8.563 ± 0.016	[5]
$V_T$	8.007 ± 0.011	[5]
$G$	7.8037 ± 0.0003	[1,6]
$J$	6.949 ± 0.027	[7]
$H$	6.673 ± 0.031	[7]
$K_s$	6.605 ± 0.027	[7]
W1	6.553 ± 0.069	[8]
W2	6.548 ± 0.022	[8]
W3	6.575 ± 0.015	[8]
W4	6.511 ± 0.048	[8]
Stellar parameters		
$T_{\text{eff}}$ (K)	6203 ± 64	[9]
log $g$ (dex)	4.50 ± 0.11	[9]
[Fe/H] (dex)	-0.12 ± 0.04	[9]
[Mg/H] (dex)	-0.13 ± 0.08	[9]
[Si/H] (dex)	-0.13 ± 0.04	[9]
$\xi$ (km s <sup>-1</sup> )	1.31 ± 0.03	[9]
$M_\star$ ( $M_\odot$ )	1.126 <sup>-0.035</sup> <sub>+0.036</sub>	[9]
$R_\star$ ( $R_\odot$ )	1.194 <sup>-0.016</sup> <sub>+0.017</sub>	[9]
$\rho_\star$ (g cm <sup>-3</sup> )	0.933 <sup>-0.053</sup> <sub>+0.055</sub>	[9]
$L_\star$ ( $L_\odot$ )	1.907 <sup>-0.052</sup> <sub>+0.065</sub>	[9]
$v \sin i$ (km s <sup>-1</sup> )	4.1 ± 0.5	[9]
$P_{\text{rot}}$ (days)	<16.8	[9]
<log $R'_{\text{HK}}$ >	-4.86 ± 0.03	[9]
$t$ (Gyr)	3.0 ± 1.1	[9]
$[U, V, W]$ (km s <sup>-1</sup> )	[-48.23 ± 0.10, 4.24 ± 0.13, 9.83 ± 0.10]	[9]

**References.** [1] Gaia Collaboration (2018); [2] Lindegren et al. (2018); [3] Bailer-Jones et al. (2018); [4] Bianchi et al. (2017); [5] Høg et al. (2000); [6] Evans et al. (2018); [7] Cutri et al. (2003); [8] Cutri et al. (2013); [9] this work.

on the same tools and model atmospheres used for the stellar parameter determination (e.g., Adibekyan et al. 2012, 2015), in Table 1, we also report our measurements of the Mg and Si abundances in HD 5278, which are input for the analysis carried out in Sect. 5.1.

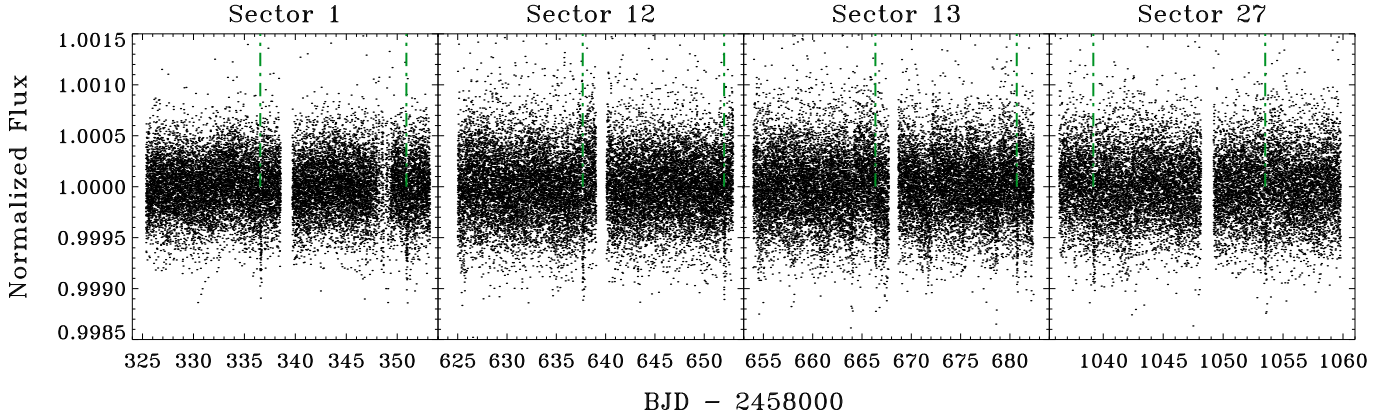


**Fig. 2.** Spectral energy distribution of HD 5278. Red markers depict the photometric measurements with vertical error bars corresponding to the reported measurement uncertainties from the catalog photometry. Horizontal error bars depict the effective width of each passband. The black curve corresponds to the most likely stellar atmosphere model. Blue circles depict the model fluxes over each passband.

We derived the stellar mass, radius, and age using a twofold approach. We first fed the  $T_{\text{eff}}$  and [Fe/H] estimates to the optimization code PARAM v1.3 (da Silva et al. 2006; Rodrigues et al. 2014, 2017), with the additional information of the Gaia DR2 parallax and  $V_T$ -band magnitude. We obtained  $M_\star = 1.103 \pm 0.033 M_\odot$ ,  $R_\star = 1.148 \pm 0.027 R_\odot$ , and  $t = 2.2 \pm 1.4$  Gyr. Then, we used the EXOFASTv2 code (see Eastman 2017; Eastman et al. 2019, for a full description) to perform a fit to the star’s broadband spectral energy distribution (SED) from the near ultraviolet (NUV) to the mid-infrared (see Fig. 2). The SED fit (see e.g., Stassun & Torres 2016) was carried out using retrieved broadband NUV photometry from GALEX, Tycho-2  $B$ - and  $V$ -band magnitudes, Strömgren  $uvby$  photometry, 2MASS JHKs near-infrared magnitudes, and WISE W1-W4 IR magnitudes and invoking within EXOFASTv2 the YY-isochrones (Yi et al. 2001) to model the star. We obtained the following:  $M_\star = 1.126<sup>-0.035</sup><sub>+0.036</sub> M_\odot$ ,  $R_\star = 1.194<sup>-0.016</sup><sub>+0.017</sub> R_\odot$ , and  $t = 3.0 \pm 1.0$  Gyr. With consistent numbers obtained by the two approaches, in Table 1, we elect to list the values from the EXOFASTv2 analysis that make use of the well-sampled stellar SED.

We computed the Galactic space velocity vector [ $U$ ,  $V$ ,  $W$ ] for HD 5278 using the radial velocity from Gaia DR2 ( $RV = -30.30 \pm 0.18$  km s<sup>-1</sup>, Gaia Collaboration 2018) and the parallax and proper motion information listed in Table 1. We calculated the heliocentric velocity components in the directions of the Galactic center, Galactic rotation, and north Galactic pole, respectively, using the formulation developed by Johnson & Soderblom (1987). The right-handed system was employed and the Solar motion was not subtracted from our calculations. The uncertainties associated with each space velocity component were obtained from the observational quantities after the prescription of Johnson & Soderblom 1987.

According to the kinematic criteria defined by Gagné et al. (2018) and Reddy et al. (2006), for example, HD 5278 is not a member of any known young stellar moving group with ages below a few hundred million years, and it has a 99.9% probability of being a member of the field. Its low  $V$  and  $W$  velocities indicate that HD 5278 is kinematically a member of the thin disk of the Galaxy for which ages typically younger than 7–9 Gyr are



**Fig. 3.** TESS light curve of HD 5278 from sectors 1, 12, 13, and 27. The eight SPOC-detected transits are highlighted with vertical green dashed-dotted lines throughout.

expected (Kilic et al. 2017). This agrees with the intermediate age of a few gigayears derived for this star using the EXOFASTv2 tool.

The average value of  $\log R'_{HK}$  ( $-4.86 \pm 0.03$ ) and the  $B-V$  color for HD 5278 imply a predicted stellar rotation period of  $P_{\text{rot}} = 9.6 \pm 1.8$  days (Noyes et al. 1984). This number is compatible with the value obtained from the Mamajek & Hillenbrand (2008) relations, which predict  $P_{\text{rot}} = 12.6 \pm 0.6$  days, and which also predict a gyrochronological age of  $4.1 \pm 0.5$  Gyr, consistent with the estimate in Table 1. We further constrained the value of  $P_{\text{rot}}$  through a direct measurement of the projected rotational velocity ( $v \sin i$ ) of HD 5278 from the ESPRESSO co-added spectrum. The  $v \sin i$  was derived based on the analysis of 16 isolated iron lines with the FASMA spectral synthesis package (Tsantaki et al. 2018), fixing all the stellar atmospheric parameters (from Table 1), the macroturbulent velocity, and the limb-darkening coefficient. The limb-darkening coefficient (0.62) was determined using the aforementioned stellar parameters as described in Espinoza & Jordán (2015), assuming a linear limb darkening law. The macroturbulent velocity ( $4.4 \text{ km s}^{-1}$ ) was determined by using the temperature- and gravity-dependent empirical formula from Doyle et al. (2014). We obtained  $v \sin i = 4.1 \pm 0.5 \text{ km s}^{-1}$ . This allows us to put a  $1 - \sigma$  upper limit on the stellar rotation period of  $P_{\text{rot}} < 16.8$  days (given the stellar radius in Table 1).

It must be noted how the  $v \sin i$  value obtained for HD 5278 is on the low side for a star of this spectral type. For instance, Winn et al. (2017) present a collection of  $v \sin i$  measurements of Kepler stars with transiting planets. There is an overall tendency for  $v \sin i$  to rise with the effective temperature, which is as expected (see their Fig. 6). Within the range of 6150–6250 K, out of a sample of 42 stars, only two have  $v \sin i$  values as low as  $4.1 \text{ km s}^{-1}$  (the value obtained for HD 5278). Thus, either HD 5278 is among the slowest 5–10% of rotators in its temperature and mass range, or it has a value of  $\sin i$  that is significantly lower than unity.

#### 4. Data analysis and system parameters

In order to maximize the robustness of the recovered system parameters, we conducted a two-step analysis of our data. In Sect. 4.1 we first model the TESS light curve separately, with the resulting planet parameters being used as priors in the subsequent analysis of the ESPRESSO RVs in Sect. 4.2. In Sect. 4.3 we describe the global analysis of the combined TESS and ESPRESSO datasets, which we ultimately adopt as fiducial.

##### 4.1. TESS transit analysis

The PDCSAP light curve for the four TESS sectors is shown in Fig. 3. No clear activity features with an amplitude larger than  $\sim 300$  ppm are seen in the TESS data, indicating that the host star shows rather low levels of magnetic activity. Indeed, the Kepler sample stars of a similar  $T_{\text{eff}}$  to that of HD 5278 have amplitudes of the detected periodic variability on the order of 1 mmag, 300 ppm, corresponding to the lowest-amplitude periodicities found in the Kepler light curves (see Fig. 3 in McQuillan et al. 2014). We performed an independent transit search using a median filter and the BLS algorithm (Kovács et al. 2002; Bonomo et al. 2012). We could recover the eight transits of TOI-130 b with a period of 14.34 days, but no other potential transit signal was identified.

For the purpose of the analysis of the TESS PDCSAP light curve, we extracted data subsamples centered around the mid-transit times and extending 1.5 times the transit duration before their ingress and after their egress, and we normalized each transit with a first-order polynomial to the out-of-transit data. The transit parameters were measured based on a Bayesian analysis of the TESS data performed using a differential evolution Markov Chain Monte Carlo (DE-MCMC) method (Ter Braak 2006; Eastman et al. 2013). The free parameters of our model are (under the assumption of a circular orbit) the transit epoch  $T_0$ , the orbital period  $P$ , the transit duration  $T_{14}$ , the ratio of the planet to stellar radii  $R_p/R_*$ , and the inclination  $i$  between the orbital plane and the plane of the sky. As can be seen in Fig. 3, the quality of the photometric data appears to degrade somewhat in Sectors 12, 13, and 27, with larger uncorrected systematics of a likely instrumental nature. We then included four scalar jitter terms  $\sigma_{S_i}$  in our global model, one for each of the four TESS sectors. The two limb-darkening coefficients (LDC)  $u_1$  and  $u_2$  of the quadratic limb-darkening law (e.g., Claret 2018) that are appropriate for the atmospheric parameters of HD 5278 reported in Table 1 were initially kept fixed. Uninformative priors were used for all model parameters. A Gaussian prior was imposed on the transit stellar density  $\rho_*$  from the EXOFASTv2 stellar parameters, that is to say  $N(0.933, 0.055) \text{ g cm}^{-3}$ . This prior indirectly affects  $R_p/R_*$ ,  $i$ , and  $T_{14}$ , given that these parameters are related to  $a/R_*$ , the semi-major axis to stellar radius ratio, through Eq. (12) in Giménez (2006), and that  $\rho_* \propto (a/R_*)^3$ .

A DE-MCMC run with a number of chains equal to twice the number of free parameters was then carried out. After removing the “burn-in” steps and achieving convergence and good mixing of the chains following the same criteria as in

Eastman et al. (2013), the medians of the posterior distributions and their  $\pm 34.13\%$  intervals were evaluated and were considered as the final parameters and associated  $1\sigma$  uncertainties, respectively. A DE-MCMC run with the inclusion of the LDCs as free model parameters produced indistinguishable results (well within the  $1\sigma$  uncertainties on the model parameters). This is not unexpected, partly because of the small number of transit events available and partly because we are in a configuration ( $T_{\text{eff}} > 6000$  K, non-grazing transit) for which the radius ratio is not particularly affected by the choice of fixed LDCs (e.g., Csizmadia et al. 2013). The results reported in Table 2 are those obtained with fixed LDCs.

#### 4.2. ESPRESSO RV and activity analysis

The top panel of Fig. 4 shows the ESPRESSO RV time series of HD 5278. The rms of the dataset is  $3.1 \text{ m s}^{-1}$ , which is almost a factor of 10 larger than the typical internal errors of the observations. A Generalized Lomb–Scargle (GLS; Zechmeister & Kürster 2009) analysis returns a dominant peak at  $\sim 41$  days (central panel of Fig. 4), with a false alarm probability (FAP) of 0.05%, calculated via a standard bootstrap with replacement procedure. At the period of the transiting candidate, a secondary peak is visible, and this becomes the dominant periodicity (FAP = 0.66%) when GLS is run on the RV residuals after subtraction of a sinusoid with  $P = 41$  days and an amplitude of  $3.2 \text{ m s}^{-1}$  (bottom panel of Fig. 4). Some structure with a modest power at longer periods remains.

The dominant period in the RV data does not seem to be connected to the rotation period of the star, constrained to be on the order of the orbital period of the transiting companion, or shorter. Further evidence for the Keplerian origin of the signal at  $\sim 41$  days comes from investigation of the stellar activity indicators. The four panels of Fig. 5 show the GLS periodograms for the FWHM, BIS,  $S$ -index, and  $H\alpha$  index. No peak with significant power is seen in the vicinity of either  $P = 14.34$  days or  $P = 41$  days. In fact, no strong evidence of rotation is found, with all periodogram peaks in BIS, FWHM, and  $S$ -index reported with FAP  $> 10\%$ . The only significant feature (FAP  $< 0.1\%$ ) is found in correspondence of a long-term trend in the  $H\alpha$  index, peaking at around 180 days. A marginally significant Spearman's rank correlation coefficient (0.30) is only obtained between RVs and the  $H\alpha$  index. The results from this analysis reinforce the hypothesis that the signal at 41 days is produced by another, possibly non-transiting, planet in the system, and we therefore proceeded to fit a two-Keplerian model to the ESPRESSO RV data<sup>8</sup>.

The free parameters of our two-planet model are the time of transit center  $T_{c,b}$ , the time of inferior conjunction  $T_{c,c}$  the orbital periods  $P_b$  and  $P_c$ , two RV zero points  $\gamma_1$  and  $\gamma_2$  for ESPRESSO data obtained before and after the fiber-link upgrade intervention in June 2019, the RV semi-amplitudes  $K_b$ ,  $K_c$ ,  $\sqrt{e_b} \cos \omega_b$ ,  $\sqrt{e_b} \sin \omega_b$ ,  $\sqrt{e_c} \cos \omega_c$ , and  $\sqrt{e_c} \sin \omega_c$ , where  $e_b$ ,  $e_c$  are the eccentricities and  $\omega_b$ ,  $\omega_c$  are the arguments of periastron. The lack of evidence in the photometry, RVs, and spectroscopic activity indicators of any relevant modulation around the expected rotation period of the star made us opt to model any additional source of noise in the RVs in terms of two uncorrelated

jitter terms ( $\sigma_{\text{jit},1}$ ,  $\sigma_{\text{jit},2}$ ) added in quadrature to the formal uncertainties of the RVs before and after the intervention.

The ESPRESSO RV time-series analysis was carried out using the publicly available Monte Carlo sampler and Bayesian inference tool MultiNestv3.10 (e.g., Feroz & Skilling 2013), through the pyMultiNest wrapper (Buchner et al. 2014). This multimodal nested sampling algorithm was set up to run with 500 live points and a sampling efficiency of 0.3. The results of the analysis are reported in Table 2.

The full solution indicates that the possible offset between the two pre- and post-upgrade ESPRESSO datasets is at the level of  $1 \text{ m s}^{-1}$ , similar to what is shown by Suárez Mascareño et al. (2020) for a star of a later spectral type (Proxima Cen). The uncorrelated stellar jitter appears marginally larger during the second season of ESPRESSO observations, but the difference is only at the  $1.3\sigma$  level. While the lack of variability in the TESS photometry and spectroscopic activity indicators prevented us from obtaining clear information on the possible rotation period of the primary, we did attempt to employ a more sophisticated modeling framework based on Gaussian process (GP) regression to mitigate stellar activity effects. We performed a fit to the ESPRESSO RVs using a two-Keplerian + GP with a quasi-periodic kernel (for details see e.g., Damasso et al. 2018, 2020), with the upper limit on the putative rotation period of the star constrained by the value reported in Table 1. The results (not shown) were unsatisfactory (an outcome not unexpected also considering the relatively small size of the RV dataset), with the rotation parameter unconstrained and a statistically disfavored model (marginal likelihood  $\ln \mathcal{Z} = -97.1$  and  $\ln \mathcal{Z} = -98.6$  for the models without and with GP, respectively).

In order to see whether the amount of activity-induced RV variability captured in the jitter terms is expected for a star such as HD 5278, we cannot use a relation between the rms of the TESS light curve and  $v \sin i$  such as that in Mann et al. (2018), as no variability in the photometry is detected. In any case, such a relation would predict an RV jitter  $\sim 10$  times larger than the measured one. If we use the updated empirical relation between the Ca II H & K activity indicator and RV jitter presented by Hojjatpanah et al. (2020), we would infer an RV variability of  $3.5 \pm 2.4 \text{ m s}^{-1}$ . This estimate is nominally higher than, but approximately within  $1\sigma$  of, the measured values. Finally, the detailed simulations by Meunier & Lagrange (2019) indicate that amplitudes of photometric variability of  $\lesssim 300$  ppm would be compatible with an RV jitter around  $1 \text{ m s}^{-1}$  for a star with the spectral type of HD 5278. Interestingly enough, given the typical value of  $\log R'_{\text{HK}}$ , which we measured for the target, such low amplitudes of photometric modulation are expected, in a statistical sense, for quasi-pole-on configurations (see Fig. 4 of Meunier & Lagrange 2019).

No significant orbital eccentricity is detected. We attempted orbital fits with either one or both companions on circular orbits, with no significant improvements in likelihood. Tidal circularization timescales for HD 5278 b are on the order of tens of gigayears (e.g., Matsumura et al. 2008), and they are larger still for the outer companion, indicating that there is no expectation for the orbits to be fully circularized. We therefore adopted the Keplerian solution as a baseline and report the corresponding  $1\sigma$ -level upper limits on  $e$  in Table 2.

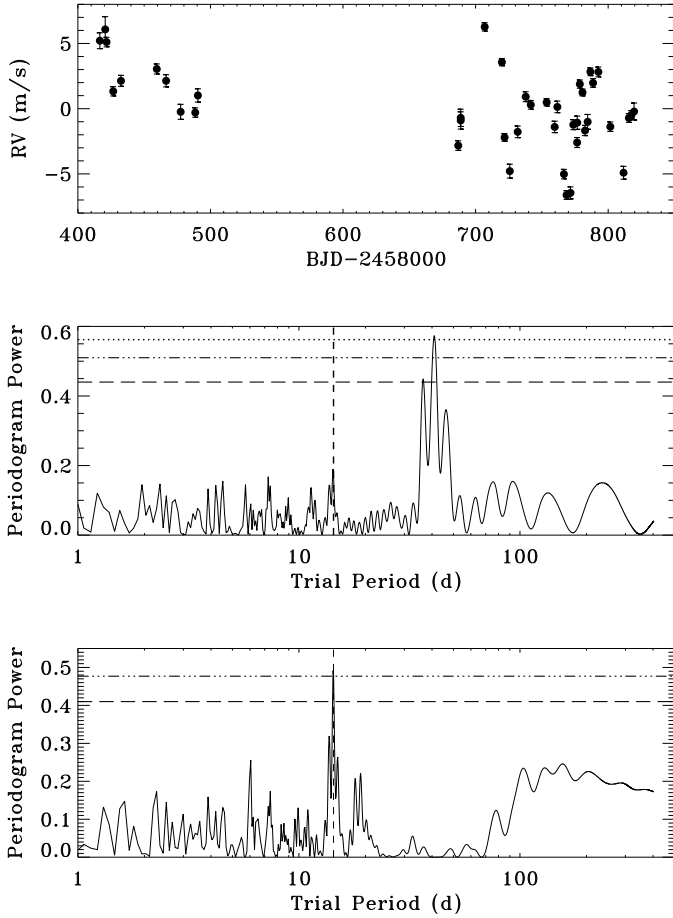
The physical companion identified in Gaia DR2 is located at a projected linear separation of 120 AU. Given the nominal masses for the primary and secondary, this corresponds to a period in the neighborhood of 1100 yr. It is then worthwhile investigating whether evidence of orbital motion from the companion is in fact already present in the high-precision

<sup>8</sup> We did check that the CORALIE RV measurements are not inconsistent with the orbit fitting results presented in this Section but, as expected, they do not have sufficient precision to add useful constraints on the model parameters.

**Table 2.** HD 5278 system parameters from separate analysis of TESS and ESPRESSO data.

Parameter	Prior	Value
Transit light curve parameters		
Orbital period $P$ (days)	$\mathcal{U}(-\text{inf}, \text{inf})$	$14.33916^{+0.00005}_{-0.00004}$
Transit epoch $T_c$ (BJD <sub>TDB</sub> - 2450000)	$\mathcal{U}(-\text{inf}, \text{inf})$	$8680.6994^{+0.008}_{-0.0007}$
Transit duration $T_{14}$ (days)	$\mathcal{U}(-\text{inf}, \text{inf})$	$0.1932^{+0.0015}_{-0.0014}$
Radius ratio $R_p/R_\star$	$\mathcal{U}(-\text{inf}, \text{inf})$	$0.0188 \pm 0.0002$
Inclination $i$ (deg)	$\mathcal{U}(0.0, \text{inf})$	$88.82^{+0.13}_{-0.12}$
Jitter Sector 1 $\sigma_{S1}$	$\mathcal{U}(0.0, \text{inf})$	$0.000051^{+0.000026}_{-0.000031}$
Jitter Sector 12 $\sigma_{S12}$	$\mathcal{U}(-\text{inf}, \text{inf})$	$0.000129^{+0.000015}_{-0.000017}$
Jitter Sector 13 $\sigma_{S13}$	$\mathcal{U}(-\text{inf}, \text{inf})$	$0.000093^{+0.000018}_{-0.000022}$
Jitter Sector 27 $\sigma_{S27}$	$\mathcal{U}(-\text{inf}, \text{inf})$	$0.000169^{+0.000012}_{-0.000013}$
$u_1$ (fixed)		0.24
$u_2$ (fixed)		0.30
Spectroscopic orbit parameters		
$K_b$ ( $\text{m s}^{-1}$ )	$\mathcal{U}(0,5)$	$1.96^{+0.38}_{-0.35}$
$P_b$ (days)	$\mathcal{N}(14.3399, 0.0032)$	$14.33902 \pm 0.00007$
$T_{c,b}$ (BJD-2 450 000)	$\mathcal{N}(8551.645659, 0.002205)$	$8551.645 \pm 0.001$
$\sqrt{e_b} \sin \omega_b$	$\mathcal{U}(-1,1)$	$0.354^{+0.205}_{-0.325}$
$\sqrt{e_b} \cos \omega_b$	$\mathcal{U}(-1,1)$	$-0.217^{+0.212}_{-0.163}$
$K_c$ ( $\text{m s}^{-1}$ )	$\mathcal{U}(0,10)$	$3.13^{+0.35}_{-0.31}$
$P_c$ (days)	$\mathcal{U}(0,45)$	$40.897^{+0.173}_{-0.175}$
$T_{c,c}$ (BJD-2 450 000)	$\mathcal{U}(8420, 8475)$	$8431.8 \pm 1.3$
$\sqrt{e_c} \sin \omega_c$	$\mathcal{U}(-1,1)$	$0.050^{+0.216}_{-0.230}$
$\sqrt{e_c} \cos \omega_c$	$\mathcal{U}(-1,1)$	$-0.010^{+0.222}_{-0.220}$
$\sigma_{\text{jit},1}$ ( $\text{m s}^{-1}$ )	$\mathcal{U}(0,10)$	$0.82^{+0.42}_{-0.29}$
$\gamma_1$ ( $\text{m s}^{-1}$ )	$\mathcal{U}(-30\,450, -30\,350)$	$-30\,395.3 \pm 0.4$
$\sigma_{\text{jit},2}$ ( $\text{m s}^{-1}$ )	$\mathcal{U}(0,10)$	$1.49^{+0.28}_{-0.30}$
$\gamma_2$ ( $\text{m s}^{-1}$ )	$\mathcal{U}(-30\,450, -30\,350)$	$-30\,396.6 \pm 0.3$
Derived planetary parameters		
Impact parameter $b_b$		$0.43 \pm 0.05$
$e_b$		$0.22^{+0.15}_{-0.13} (<0.29)$
$\omega_b$ (rad)		$1.94^{+0.57}_{-1.03}$
Orbital semi-major axis $a_b$ (au)		$0.120 \pm 0.001$
Mass $M_b$ ( $M_\oplus$ )		$7.8^{+1.4}_{-1.3}$
Radius $R_b$ ( $R_\oplus$ )		$2.45 \pm 0.05$
Density $\rho_b$ ( $\text{g cm}^{-3}$ )		$2.9 \pm 0.5$
Surface gravity $\log g_b$ ( $\text{m s}^{-2}$ )		$12.6^{+2.4}_{-2.3}$
Equilibrium temperature $T_{\text{eq},b}$ (K) <sup>(a)</sup>		$943 \pm 13$
Insolation $S_b$ ( $S_\oplus$ )		$132 \pm 5$
$e_c$		$0.07^{+0.08}_{-0.05} (<0.11)$
$\omega_c$ (rad)		$0.59^{+1.78}_{-2.42}$
Minimum mass $M_c \sin i_c$ ( $M_\oplus$ )		$18.1 \pm 2.0$
Orbital semi-major axis $a_c$ (au)		$0.242 \pm 0.003$

**Notes.** The  $1\sigma$  uncertainties and upper limits are reported. <sup>(a)</sup>Black-body equilibrium temperature assuming a null Bond albedo and uniform heat redistribution to the night side.

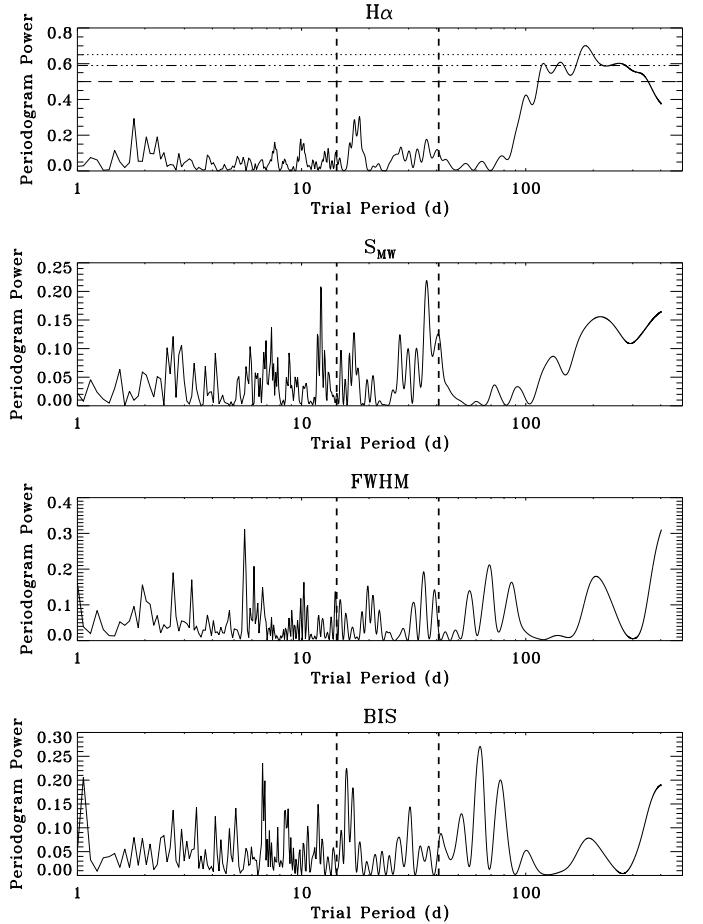


**Fig. 4.** *Top:* time series of the ESPRESSO RVs for HD 5278. *Center:* GLS periodogram of the original RV time series. *Bottom:* GLS periodogram of the residuals after removal of a sinusoid with a 41-day period. The vertical dashed line in the central and lower panels shows the period of the transiting planet candidate from TESS photometry. In the center and bottom panels, the horizontal long-dashed, dashed-dotted, and dotted lines represent 10%, 1%, and 0.1% FAP levels, respectively.

ESPRESSO RVs of HD 5278. Following [Torres \(1999\)](#), given the expected companion mass, separation in the sky, and distance, the maximum expected RV slope is  $\dot{\gamma} \approx 1.6 \text{ m s}^{-1} \text{ yr}^{-1}$ . This is in principle already detectable in the ESPRESSO data. However, the residuals to the two-planet fit show no evidence for a long-term trend. Nevertheless, we explored this scenario by adding a slope to the global model. We only derived a statistically nonsignificant  $\dot{\gamma} = 1.48 \pm 0.67 \text{ m s}^{-1} \text{ yr}^{-1}$ , with very marginal Bayesian evidence in favor of the more complex model ( $\ln \mathcal{Z} = -97.1$  and  $\ln \mathcal{Z} = -96.2$  for the models without and with the slope, respectively). Things notwithstanding, we cannot claim a detection of the binary orbital motion. Only additional, long-term RV monitoring would allow for a clear statement to be made. Table 2 reports the results of the model without the slope.

#### 4.3. Combined TESS photometry and ESPRESSO RV analysis

While the system parameters derived based on the separate analysis of the TESS light curve and ESPRESSO RVs are robust, it is desirable to perform a joint analysis of the combined datasets for two reasons: (1) it is possible to place tighter constraints on orbit eccentricity and derive self-consistent uncertainties in the



**Fig. 5.** GLS periodograms of various activity diagnostics measured in the ESPRESSO spectra of HD 5278. *From the top to bottom:*  $H\alpha$ -index, Mount Wilson  $S$ -index, FWHM, and bisector span. In each panel, the two vertical dashed lines show the position of the two periodic signals identified in the RV time series. In the top panel, the horizontal lines have the same meaning as in Fig. 4.

model parameters taking correlations into account; and (2) given the evidence for the second companion in the RV data, it is possible to derive self-consistent ephemeris and verify that HD 5278 c did not undergo an inferior conjunction during the timespan of the TESS observations.

The joint analysis of the TESS light curve and ESPRESSO RV time series was carried out with two different Bayesian techniques: i) `MultiNestv3.10`, through its `pyMultiNest` wrapper, coupled to the code `batman` ([Kreidberg 2015](#)), which was set up to run with 500 live points; ii) a DE-MCMC method as for the transit fitting, following the same implementation as in [Bonomo et al. \(2014, 2015\)](#). The model parameters in the two approaches are the same, with the only exception being that the former method fits for the transit stellar density (constrained by the value reported in Table 1) and the latter fits for the transit duration.

As a preliminary step, We first ran the `MultiNest`-based algorithm adopting a one-planet model (only the transiting planet). This allowed us to perform model selection in a straightforward manner by comparing the resulting  $\ln \mathcal{Z}$  in the joint analysis with that obtained in the case of the two-planet model. The corresponding natural logarithm of evidence ratio is  $\Delta \ln \mathcal{Z} = \ln \mathcal{Z}(\text{2planet}) - \ln \mathcal{Z}(\text{1planet}) = 29337.6 - 29324.8 = +12.8$ . We can therefore conclude that there is, as expected,



strong evidence in favor of the two-planet model (e.g., Nelson et al. 2020).

For the two-planet model, the parameter best values and uncertainties as determined by both techniques are fully consistent and, for this reason, we report the results of the analysis from the first technique only in Table 3. Figure 6 shows the phase-folded transit light curve along with the best-fit transit model. Figure 7 shows the two-planet fit superposed to the time series of the ESPRESSO RVs and the phase-folded plots of the best-fit orbits for both planets (with error bars taking the fitted values of RV jitter into account). Finally, the corner plots of Figs. B.1 and B.2 summarize the results of the posterior distributions of the model parameters.

Overall, the results are in excellent agreement with those derived with the individual analysis of ESPRESSO RVs and TESS photometry. The transit of HD 5278 b is found to be slightly more central than in the case of the separate analysis of the TESS light curve. Tighter constraints are placed on the eccentricity of HD 5278 b, which is now found to be  $e_b < 0.12$ . Based on the updated ephemeris derived for HD 5278 c, if the planet was actually transiting it should have been spotted by TESS once in each of the four sectors analyzed. We carefully inspected the TESS light curve, found no evidence of such events, and therefore conclude that HD 5278 c indeed does not transit. We elect to adopt the numbers in Table 3 as our fiducial system parameters.

## 5. Discussion

### 5.1. The sub-Neptune HD 5278 b

The RV semi-amplitude of the transiting companion ( $K_b = 1.93^{+0.34}_{-0.35} \text{ m s}^{-1}$ ) is clearly detected with ESPRESSO at the  $>5\sigma$  level. Given the stellar properties derived in Sect. 3, we infer  $M_b = 7.8^{+1.5}_{-1.4} M_\oplus$ ,  $R_b = 2.45 \pm 0.05 R_\oplus$ , and a planetary mean density of  $\rho_b = 2.9^{+0.6}_{-0.5} \text{ g cm}^{-3}$ . In the mass-radius diagram (Fig. 8) of sub-Neptunes with  $\geq 3\sigma$  mass determinations, it occupies a seemingly unremarkable location. Its density is not particularly low, compared to an object with a similar mass but much larger radius, such as Kepler-36 c (Carter et al. 2012). Its density is not particularly high either, compared to an object with an almost identical radius but much larger mass, such as K2-292 b (Luque et al. 2019). Its size makes HD 5278 b sit atop the peak of the radius distribution on the outer side of the radius valley (Fulton et al. 2017; Fulton & Petigura 2018).

As shown in Fig. 8, HD 5278 b's composition could be described to correspond to a  $\sim 100\%$  water world with a minimal  $\text{H}_2$ -rich atmosphere (Zeng et al. 2019), or a massive rocky planet surrounded by a  $\text{H}_2$ -dominated envelope of  $<1\%$  in planet mass (Lopez & Fortney 2014; Zeng et al. 2019). The planet's mass and radius also fit the definition of an ice-dominated planet with a thin or nonexistent  $\text{H}_2/\text{He}$  atmosphere (Dorn et al. 2017; Zeng et al. 2019; Venturini et al. 2020), or that of a water-rich world with a significant fraction (20-50%) of water in super-critical steam state, and thus with an  $\text{H}_2\text{O}$ -dominated atmosphere and a more or less relevant  $\text{H}_2/\text{He}$  envelope (Madhusudhan et al. 2020; Turbet et al. 2020; Mousis et al. 2020; Kite et al. 2020).

Using the measured mass, radius, and density of HD 5278 b, we performed our own internal structure retrieval calculations (see Mortier et al. 2020), assuming the Fe, Si, and Mg stellar abundance ratios from Table 1 as proxies for the disk composition at the time of planet formation, and with the constraint that the planetary bulk abundance matches the stellar one. We explored two cases: a) a fully differentiated planet that includes

a water layer and in which the gas envelope is solely composed of H and He; and b) a "dry" model, where the planet interior structure is composed of only an iron core and a silicate mantle. In the first case, we find core, mantle, and water mass fractions of  $0.13^{+0.08}_{-0.09}$ ,  $0.56^{+0.15}_{-0.14}$ , and  $0.30^{+0.15}_{-0.17}$ , respectively, where the error bars correspond to the 5% and 95% quantiles (approximately  $2\sigma$ ). The gas mass is small (upper 95% quantile equal to  $\sim 0.03 M_\oplus$ ), and the envelope contributes approximately 17% of the observed radius ( $0.42^{+0.17}_{-0.16} R_\oplus$ ). In the second case, we obtain  $0.19^{+0.11}_{-0.12}$  and  $0.81^{+0.12}_{-0.11}$  for the core and mantle mass fractions, respectively. The gas envelope corresponds to around 4% of the planet mass, and it contributes 30% of the observed planet radius ( $0.74^{+0.05}_{-0.05} R_\oplus$ ).

The corner plots with the posterior distributions of the most relevant parameters adjusted in our internal structure retrieval analysis are shown in Figs. B.3 and B.4. As expected, they show how rather different compositions reproduce the observed mass and radius of HD 5278 b exactly. However, from a theoretical viewpoint, sub-Neptunes are primarily expected to have formed beyond the water ice line (e.g., Raymond et al. 2018; Bitsch et al. 2019; Venturini et al. 2020). Therefore, model a) is expected to be a closer representation of the composition of a planet in the second peak of the radius valley, such as HD 5278 b. We return to this point in Sect. 5.3.

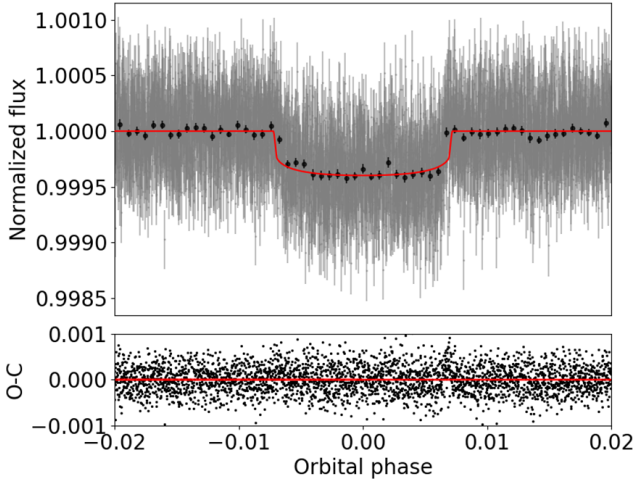
As mentioned in the Introduction, breaking the degeneracy between the core mass and atmospheric metallicity for sub-Neptunes can only be attained via atmospheric characterization measurements (particularly in the case of mostly cloud-free atmospheres). In this respect, HD 5278 b is a very interesting target. For the case of low resolution spectroscopy in space (with e.g., JWST), using simple metrics for estimating the S/N in transmission and emission (Gillon et al. 2016; Niraula et al. 2017; Kempton et al. 2018), the planet always ranks highest among sub-Neptunes with  $T_{\text{eq}} < 1000 \text{ K}$  orbiting G–F dwarfs ( $T_{\text{eff}} > 5400 \text{ K}$ ). When all regimes of equilibrium temperature are considered, HD 5278 b ranks third, after HD 39091/ $\pi$  Mensae c (Huang et al. 2018) and HD 86226 c (Teske et al. 2020). HD 5278 b is high up in the ranking even when objects around cooler primaries are included (see Fig. 9 for an example). For the case of F–G-type primaries, HD 5278 is more than 2 mag fainter than HD 39091 at the  $K$ -band, somewhat alleviating the practical difficulties in achieving photon-limited observations of very bright stars with JWST. Even in the case of very efficient production of photochemical hazes, HD 5278 b belongs to a class of sub-Neptunes (with  $T_{\text{eq}} < 1000 \text{ K}$ ) that might show detectable molecular features via transmission spectroscopy with JWST (Kawashima et al. 2019).

HST observations of a few sub-Neptunes have returned featureless transmission spectra (e.g., Kreidberg et al. 2014; Knutson et al. 2014), and although there are successful detections of molecular absorption reported in the most recent literature for such objects (e.g., Tsiaras et al. 2019; Benneke et al. 2019; Guilluy et al. 2020; Kreidberg et al. 2020), this can naturally be seen as a potential limitation. However, the sample size is still very small, and new characterization measurements are mandatory to advance the field. HD 5278 b is also an appealing target for HST, orbiting a late F-type primary (a mostly unexplored spectral type) that is apparently showing very low levels of stellar activity (thus simplifying procedures to calibrate out such contaminating effects in transmission spectra). Finally, with  $T_{\text{eq}} \lesssim 1000 \text{ K}$ , the planet's atmosphere might be at the boundary between the transition regime from  $\text{CH}_4$  to  $\text{CO}$  gas as the dominant carrier of carbon (Moses et al. 2013; Hu & Seager 2014) and the high-temperature range where relatively few cloud

**Table 3.** HD 5278 system parameters derived from a joint ESPRESSO RVs and TESS photometry analysis.

Parameter	Prior	Value
Fitted system parameters		
Radius ratio $R_p/R_\star$	$\mathcal{U}(0.017,0.020)$	$0.01874^{+0.00030}_{-0.00027}$
Inclination $i$ (deg)	$\mathcal{U}(85,90)$	$89.27^{+0.47}_{-0.48}$
Stellar density $\rho_\star$ ( $\rho_\odot$ )	$\mathcal{N}(0.66,0.04)$	$0.661^{+0.039}_{-0.37}$
Jitter Sector 1 $\sigma_{S1}$	$\mathcal{U}(0,0.003)$	$0.000052^{+0.000025}_{-0.000031}$
Jitter Sector 12 $\sigma_{S12}$	$\mathcal{U}(0,0.003)$	$0.000129^{+0.000015}_{-0.000016}$
Jitter Sector 13 $\sigma_{S13}$	$\mathcal{U}(0,0.003)$	$0.000094^{+0.000019}_{-0.000022}$
Jitter Sector 27 $\sigma_{S27}$	$\mathcal{U}(0,0.003)$	$0.000169^{+0.000012}_{-0.000013}$
$u_1$ (fixed)		0.24
$u_2$ (fixed)		0.30
$K_b$ ( $\text{m s}^{-1}$ )	$\mathcal{U}(0,5)$	$1.93^{+0.34}_{-0.35}$
$P_b$ (days)	$\mathcal{U}(14,14.5)$	$14.339156^{+0.000049}_{-0.000047}$
$T_{c,b}$ (BJD-2 450 000)	$\mathcal{U}(8681,8682)$	$8680.69932^{+0.00083}_{-0.00081}$
$\sqrt{e_b} \sin \omega_b$	$\mathcal{U}(-1,1)$	$0.09^{+0.09}_{-0.12}$
$\sqrt{e_b} \cos \omega_b$	$\mathcal{U}(-1,1)$	$-0.25^{+0.24}_{-0.15}$
$K_c$ ( $\text{m s}^{-1}$ )	$\mathcal{U}(0,6)$	$3.17^{+0.34}_{-0.32}$
$P_c$ (days)	$\mathcal{U}(0,45)$	$40.87^{+0.18}_{-0.17}$
$T_{c,c}$ (BJD-2 450 000)	$\mathcal{U}(8420,8475)$	$8431.8^{+1.2}_{-1.4}$
$\sqrt{e_c} \sin \omega_c$	$\mathcal{U}(-1,1)$	$0.01^{+0.20}_{-0.21}$
$\sqrt{e_c} \cos \omega_c$	$\mathcal{U}(-1,1)$	$-0.006^{+0.227}_{-0.217}$
$\sigma_{\text{jit},1}$ ( $\text{m s}^{-1}$ )	$\mathcal{U}(0,10)$	$0.83^{+0.47}_{-0.48}$
$\gamma_1$ ( $\text{m s}^{-1}$ )	$\mathcal{U}(-30\,450,-30\,350)$	$-30\,395.4 \pm 0.4$
$\sigma_{\text{jit},2}$ ( $\text{m s}^{-1}$ )	$\mathcal{U}(0,10)$	$1.51^{+0.25}_{-0.20}$
$\gamma_2$ ( $\text{m s}^{-1}$ )	$\mathcal{U}(-30\,450,-30\,350)$	$-30\,396.4 \pm 0.3$
Derived planetary parameters		
Impact parameter $b_b$		$0.27^{+0.17}_{-0.18}$
$a/R_\star$		$22.4^{+0.5}_{-0.9}$
Transit duration $T_{14}$ (days)		$0.200^{+0.010}_{-0.012}$
$e_b$		$0.08^{+0.09}_{-0.05}$ ( $< 0.12$ )
$\omega_b$ (rad)		$2.36^{+0.59}_{-4.88}$
Orbital semi-major axis $a_b$ (au)		$0.1202 \pm 0.0013$
Mass $M_b$ ( $M_\oplus$ )		$7.8^{+1.5}_{-1.4}$
Radius $R_b$ ( $R_\oplus$ )		$2.45 \pm 0.05$
Density $\rho_b$ ( $\text{g cm}^{-3}$ )		$2.9^{+0.6}_{-0.5}$
Surface gravity $\log g_b$ ( $\text{m s}^{-2}$ )		$12.8^{+2.4}_{-2.3}$
Equilibrium temperature $T_{\text{eq},b}$ (K)		$943 \pm 13$
Insolation $S_b$ ( $S_\oplus$ )		$132 \pm 5$
$e_c$		$0.07^{+0.08}_{-0.04}$ ( $< 0.10$ )
$\omega_c$ (rad)		$0.14^{+2.03}_{-2.14}$
Minimum mass $M_c \sin i_c$ ( $M_\oplus$ )		$18.4^{+1.8}_{-1.9}$
Orbital semi-major axis $a_c$ (au)		$0.2416 \pm 0.0027$

**Notes.** Errors and upper limits are the same as in Table 2.



**Fig. 6.** *Top:* phase-folded transit light curve of HD 5278 b from eight individual transit events. The best-fit transit model produced in the combined ESPRESSO and TESS data analysis in Sect. 4.3 is depicted by the red curve. The black dots represent data averaged over 13.8 min bins. *Bottom:* post-fit residuals.

species are expected to condense and organic hazes are unstable, so that atmospheres are more likely to have large spectral features (e.g., Fraine et al. 2014; Wakeford et al. 2017). HD 5278 b is therefore less likely to show the presence of clouds and hazes.

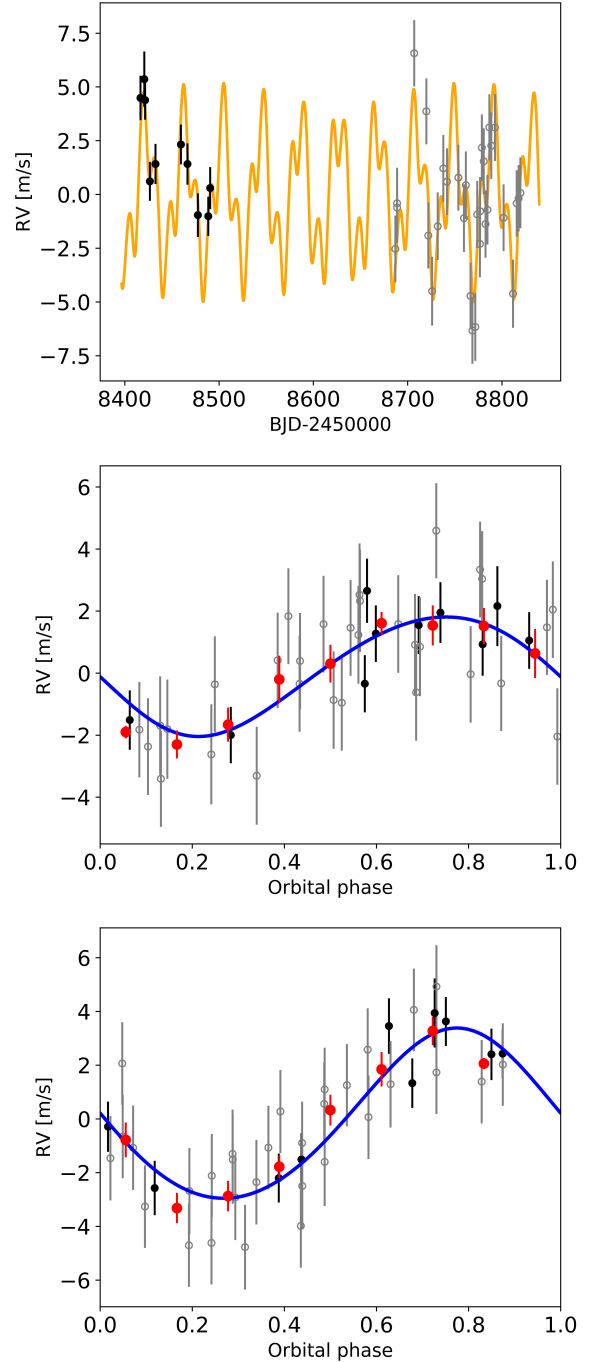
In principle, evidence of ongoing atmospheric escape in HD 5278 b’s could be searched for by probing the planet’s upper atmosphere at high spectral resolution in transmission. The observing channel of choice would be the 10 833 Å helium triplet feature, a better suited diagnostic than the hydrogen Lyman  $\alpha$  line in the UV, given the distance of the target<sup>9</sup>. However, while the escaping and extended atmospheres of several hot Jupiters have successfully been probed in this way (e.g., Allart et al. 2018; Spake et al. 2018; Nortmann et al. 2018; Guilluy et al. 2020), recent attempts at detecting the upper atmospheres in sub-Neptunes using the helium infrared triplet have shown how both observational and theoretical challenges must first be met (Kasper et al. 2020).

If the low levels of photometric variability of the primary are indeed indicative of a likely quasi-pole-on configuration (see previous discussion on the Meunier & Lagrange 2019 simulation results), which is a finding corroborated by the low value of  $v \sin i$  for a star with the temperature of HD 5278 (pointing to a possibly large obliquity), this raises the possibility of a significant spin-orbit misalignment for HD 5278 b. Given the planetary and stellar radius and  $v \sin i$  value, the expected amplitude of the Rossiter-McLaughlin effect (for a planetary orbital plane well aligned with the stellar spin axis) is on the order of  $1.5 \text{ m s}^{-1}$ , which appears within reach with ESPRESSO (aiming at a full RV sequence during a transit window), given the brightness of the host.

## 5.2. The non-transiting Neptune HD 5278 c and system evolution

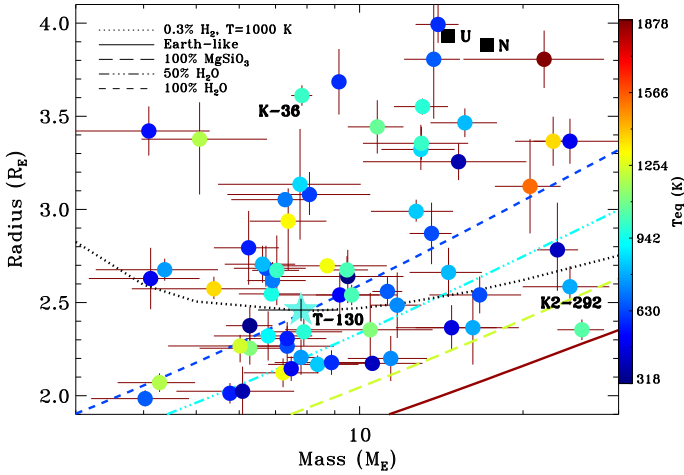
We have shown how Bayesian evidence strongly favors the two-planet model. This, alongside the lack of activity-induced RV signals, helped us build a solid case for explaining the RV

<sup>9</sup> At  $\sim 60$  pc, HD 5278 sits approximately at the distance limit for useful measurements of the stellar Lyman  $\alpha$  line flux due to its exponential decay caused by absorption by neutral hydrogen atoms in the interstellar medium.

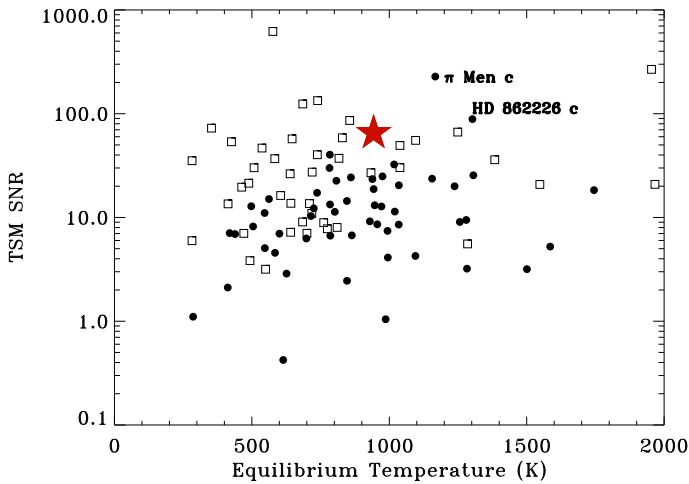


**Fig. 7.** *Top:* ESPRESSO RV times series for HD 5278 with the best-fit two-planet solution being overplotted (yellow curve) and obtained in the joint ESPRESSO RV and TESS photometry analysis. Black filled and gray open circles correspond to ESPRESSO observations obtained before and after the technical intervention. *Center:* phase-folded best-fit orbital model for the transiting companion HD 5278 b (blue curve). Individual observations are color-coded as in the top panel, while the large red filled dots show them binned in phase. *Bottom:* same for the non-transiting companion HD 5278 c. In all panels, formal uncertainties have been inflated adding, in quadrature, the RV jitter values reported in Table 3.

modulation with a 41-day period seen in the ESPRESSO data in terms of an outer, non-transiting companion. The  $10\sigma$ -level detection ( $K_c = 3.17^{+0.34}_{-0.32} \text{ m s}^{-1}$ ) of its RV semi-amplitude implies a minimum mass of  $M_c \sin i_c = 18.4^{+1.8}_{-1.9} M_{\oplus}$ , making it a Neptune-mass planet. We found no evidence of its transit in



**Fig. 8.** Mass-radius diagram of sub-Neptunes with masses detected at the  $3\sigma$  level (or better), including HD 5278 b (star). The objects are color-coded by their equilibrium temperature. The different curves depict internal structure models of a variable composition from Zeng & Sasselov (2013) and Zeng et al. (2019) (as reported in the legend): Earth-like (32.5% Fe + 67.5% MgSiO<sub>3</sub>), pure rock (100% MgSiO<sub>3</sub>), 50% Earth-like rocky core + 50% H<sub>2</sub>O layer by mass, 100% H<sub>2</sub>O mass, and Earth-like rocky core (99.7%) + 0.3% H<sub>2</sub> envelope by mass at a temperature of 1000 K. The location of Uranus and Neptune is also indicated. Data of transiting systems used for this and the following plots are taken from the TEPcAt catalog as of September 2020. Along with TOI-130 b (T-130 for simplicity), Kepler-36 c and K2-292 b (K-36 and K2-292 for simplicity), which are discussed in the text, are also indicated in the plot.



**Fig. 9.** S/N for transmission spectroscopy observations with JWST (TSM; Kempton et al. 2018) vs. equilibrium temperature for sub-Neptunes with a measured mass, including HD 5278 b (red star). Black filled dots and empty squares identify the sample of planets around stars with  $T_{\text{eff}} < 5400$  K and  $T_{\text{eff}} > 5400$  K, respectively.

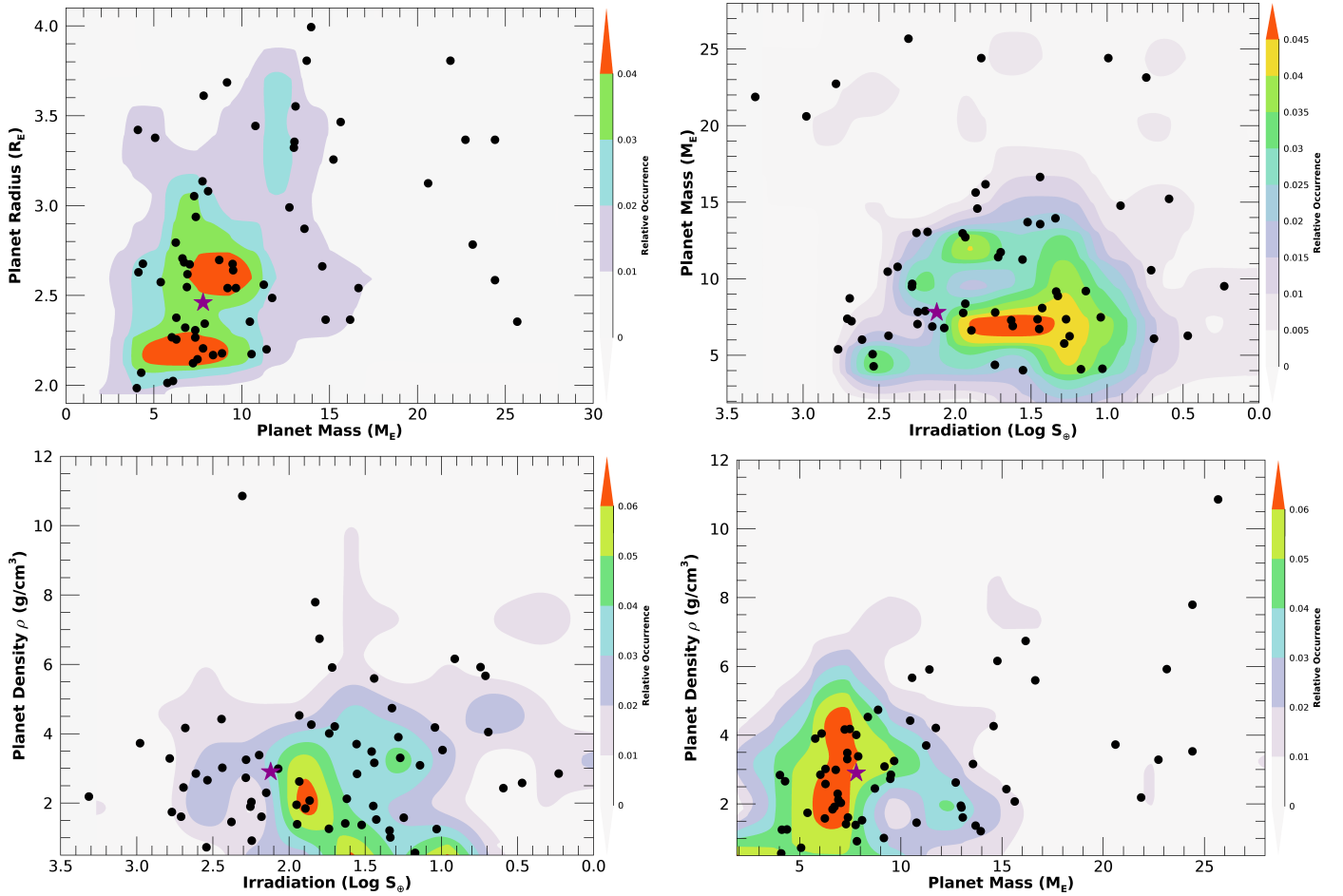
the TESS photometry, and therefore we can infer an upper limit to its orbital inclination of ( $i_c < \arccos(a/R_\star)^{-1}$ )  $i_c < 88.68$  deg. This in turn implies a lower limit to the true mass of HD 5278 c  $M_{p,c} > 18.401 M_\oplus$ .

The HD 5278 two-planet system is Hill-stable, following standard analytical criteria (e.g., Giuppone et al. 2013), even assuming the eccentricities of the two companions match the upper limits derived in Table 2. Stability is violated only in the limit of a true mass of HD 5278 c in excess of  $200 M_\oplus$ , which

would imply a true inclination angle of  $i_c \lesssim 5$  deg. Taken at face value, the nonzero eccentricity of HD 5278 b and the hint of its possibly misaligned orbit could indicate a relatively “active” evolutionary history for the HD 5278 system, in which dynamical instabilities and chaos have played an important role (e.g., Chambers 2001; Laskar & Petit 2017; Turrini et al. 2020, and references therein), a scenario that is typically favored for low multiplicity systems such as the one under investigation here. However, precisely because of the lack of a statistically significant determination of nonzero orbital eccentricity for either of the two planets (and in the absence of an actual measurement of the spin-orbit angle), the present orbital configuration of the HD 5278 system is also in line with the findings of Mills et al. (2019), who show that multiples in the Kepler field have typically  $e < 0.1$ . In this case, the evolution history of the system is expected to have been less influenced by chaos and instabilities.

The Kepler mission multi-planet sample has been shown to have a distribution of period ratios with excesses of systems (“peaks”) just wide of first-order (3:2, 2:1) and second-order (5:3, 3:1) mean motion resonances (MMR), and corresponding deficits (“troughs”) just short of these commensurabilities (e.g., Lissauer et al. 2011; Fabrycky et al. 2014; Delisle & Laskar 2014; Xu & Lai 2017; Choksi & Chiang 2020). The HD 5278 system is unremarkable in this sense, with a period ratio deviation from the closest second-order MMR (3:1) of  $-0.148$ , typically a factor of 10 larger than those corresponding to the peaks and troughs of near-resonance configurations identified in the original Kepler sample of multiples. The formation of a low-mass system such as the one we have uncovered around HD 5278 is still open for debate. As the two planets do not lie near a period commensurability and they are not piled up at very short periods, formation (mostly) in situ in gas-poor conditions is viable (e.g., Lithwick et al. 2012; Ogihara et al. 2018; Terquem & Papaloizou 2019; Choksi & Chiang 2020, and references therein). Alternatively, formation in the gas-rich regions of the protoplanetary disk followed by more or less “clean” disk-driven migration and resonance capture can also possibly describe the present architecture of the HD 5278 system, provided the two planets subsequently escaped resonance due to dynamical instability effects driven by a variety of other physical processes (e.g., Goldreich & Schlichting 2014; Izidoro et al. 2017; Lambrechts et al. 2019; Raymond & Morbidelli 2020, and references therein).

Recent studies (Bryan et al. 2019) have shown that planetary systems with inner super Earths and sub-Neptunes are often accompanied by outer companions of an unclear nature, whether they are massive planets or brown dwarfs. If confirmed by future observations, the fact that the marginal RV trend that we measured in the ESPRESSO data might be compatible with the gravitational influence of the distant binary companion to HD 5278 would rule out the existence of massive substellar objects at shorter separations. In the Kervella et al. (2019) catalog of proper motion anomalies, the sensitivity curve for HD 5278 implies that companions with masses of  $0.37 M_J \text{ au}^{-1/2}$  are ruled out based on the combination of Gaia and HIPPARCOS astrometry alone. Based on the analytical formulation in Kervella et al. (2019), at the exact separation of HD 5278 c, the detectable mass from proper motion anomaly is found to be around  $60 M_J$ , placing uninteresting constraints on the true mass of the outer planet in the system. Taking into account the loss of efficiency in companion mass determination for orbital periods vastly exceeding the HIPPARCOS-Gaia time baseline, this technique would be marginally sensitive to the low-mass binary companion at  $\sim 120$  au. Massive ( $\gtrsim 10 M_J$ ) companions at  $\sim 10$  au would, however, be clearly detectable, and the



**Fig. 10.** *Top left:* mass-radius diagram with contours built using the exoplanets sample from Fig. 8. Black dots represent planets with mass measurements at the  $>3\sigma$  level of statistical significance. HD 5278 b is indicated by a large dark magenta star. The contours are color-coded in terms of relative occurrence. *Top right:* same plot in the planetary mass–stellar irradiation plane. *Bottom left:* same plot in the planetary density vs. stellar irradiation plane. *Bottom right:* same plot in the planetary density vs. mass plane.

lack of a statistically significant HIPPARCOS-Gaia proper motion difference qualitatively points toward their absence, which is in agreement with the evidence from the ESPRESSO RVs.

### 5.3. On the physical properties of sub-Neptunes

As mentioned in the Introduction, high-precision mass determinations, at the 20% level or better, have recently been recognized as mandatory in order to carry out detailed atmospheric characterization studies (Batalha et al. 2019). Inferences on both the mass and chemical composition of the atmosphere based on transmission and emission spectra alone (de Wit & Seager 2013) might be dominated by strong degeneracies, particularly in the case of sub-Neptunes and rocky super Earths (Batalha et al. 2017). It is therefore critical to augment the number of sub-Neptunes with well-determined dynamical mass estimates (Fig. 8).

The growing sample of such objects can be investigated in a statistical sense in order to uncover patterns (e.g., overdensities) in parameter space that might represent fossil evidence of the planets’ formation and evolution history, as it has been done recently using a radius alone (Fulton et al. 2017; Fulton & Petigura 2018). Considering all sub-Neptunes with masses detected with  $\geq 3\sigma$  confidence, as we did in Fig. 8, the sample size of 62 objects is indeed not very large yet, so the findings we

present in the following are to be considered illustrative. Given the limited statistics available, the preparation of the contour and density plots shown in the remainder of this section is based on a simple binned histograms analysis rather than the adoption of more sophisticated approaches based on (weighted) kernel density estimators, for example (Morton & Swift 2014; Fulton et al. 2017).

The upper left panel of Fig. 10 shows how close-in sub-Neptunes with  $5 \lesssim M_p \lesssim 10 M_\oplus$  and  $2 \lesssim R_p \lesssim 3.0 R_\oplus$  appear intrinsically more common than planets with higher masses and radii. The possibly double peaked radius distribution in this mass regime (at  $\sim 2.1\text{--}2.3 R_\oplus$  and  $\sim 2.5\text{--}2.7 R_\oplus$ ) might well be an artifact due to the binned histogram approach utilized to derive the density plots in the face of small-number statistics. Interestingly, however, a hint of the presence of this feature is also seen in Figs. 6 and 8 of Fulton & Petigura (2018). We also note that planets in the larger radius range of  $R_p \gtrsim 3.0 R_\oplus$  appear uniformly distributed in the full mass range, and similarly planets in the higher mass range of  $10 \lesssim M_p \lesssim 15 M_\oplus$  seem to be uniformly distributed over the whole  $2 < R_p < 4 R_\oplus$  range.

The upper right panel of Fig. 10 instead shows that sub-Neptunes with  $5 < M_p < 10 M_\oplus$  are found over a large interval of irradiation levels ( $10 \lesssim S_\oplus \lesssim 250$ ), with a narrow peak in the relative occurrence of objects with  $M_p \sim 7 M_\oplus$  in the  $30 \lesssim S_\oplus \lesssim 100$  range. The more massive planets with  $10 < M_p < 15 M_\oplus$

appear to be slightly more abundant in conditions of higher irradiation ( $S_{\oplus} \gtrsim 30$ ), with a clear majority of the highest-mass sub-Neptunes ( $M_p > 20 M_{\oplus}$ ) receiving  $S_{\oplus} \gtrsim 100$ .

In the bottom left panel of Fig. 10, we notice how sub-Neptunes with densities in the range of  $\rho \sim 2.0\text{--}3.0 \text{ g cm}^{-3}$  are distributed over almost three orders of magnitude in stellar irradiation levels ( $3 \lesssim S_{\oplus} \lesssim 2000$ ), with a peak in relative occurrence in a somewhat narrow regime of insolation ( $50 \lesssim S_{\oplus} \lesssim 100$ ). The lowest-density objects instead appear to be distributed mostly over the  $S_{\oplus} \sim 10\text{--}100$  range. The highest-density objects ( $\rho \gtrsim 6.0 \text{ g cm}^{-3}$ ) mostly reside at  $S_{\oplus} \gtrsim 50$ .

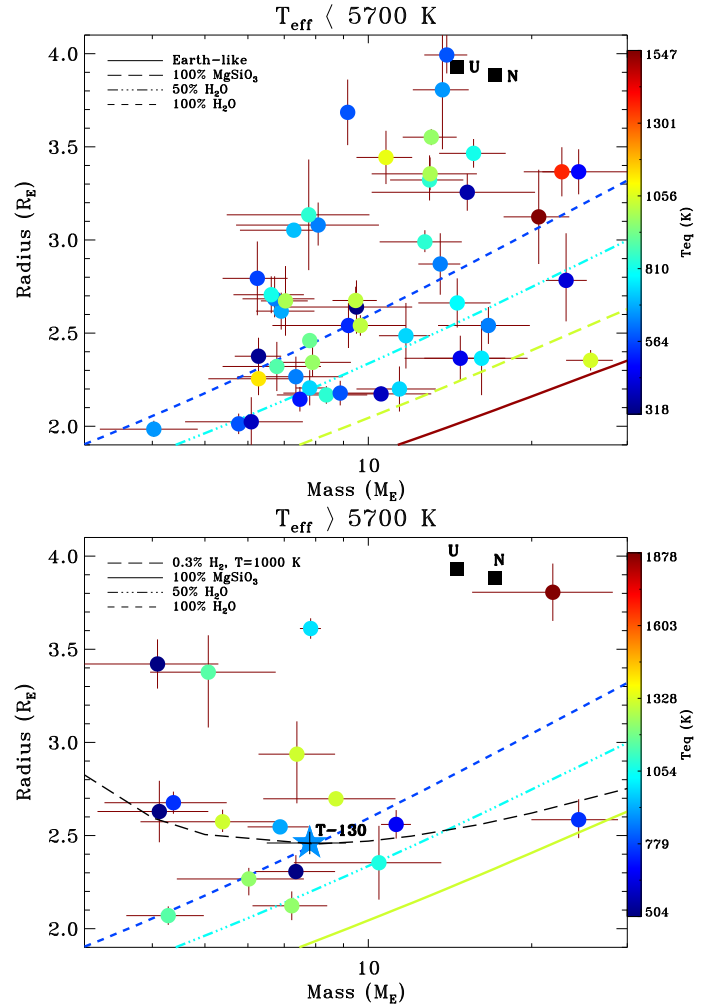
Finally, in the bottom right panel of Fig. 10, it appears that sub-Neptunes with  $5 < M_p < 10 M_{\oplus}$  can span an important range of densities ( $1 \lesssim \rho \lesssim 5 \text{ g cm}^{-3}$ ), the peak in relative occurrence encompassing objects with  $6 \lesssim M_p \lesssim 8 M_{\oplus}$  and  $2 \lesssim \rho \lesssim 4$ . Higher-mass ( $10 < M_p < 15 M_{\oplus}$ ) sub-Neptunes with  $\rho \lesssim 3 \text{ g cm}^{-3}$  intrinsically appear to be slightly more common, although the highest-mass sub-Neptunes also populate the tail of the densest systems.

While one should exert caution not to overinterpret the patterns of variations in relative occurrence in parameter space presented in Fig. 10; given the still relatively small-number statistics we are dealing with, it is certainly possible to provide a few (more or less speculative) comments in connection to planet formation and evolution scenarios. For example, the overdensity in the upper left panel of Fig. 10 is not unexpected, given the known behavior of planet frequency as a function of the radius and mass for small planets (Mayor et al. 2011; Howard et al. 2012). It is tempting to try to explain the observed double peak in the radius and mass ranges of  $\sim 2.1\text{--}2.3 R_{\oplus}$  -  $\sim 5.0\text{--}8.0 M_{\oplus}$  and  $\sim 2.5\text{--}2.7 R_{\oplus}$ ,  $\sim 7.0\text{--}10.0 M_{\oplus}$ , respectively, as evidence of intrinsic compositional differences: the former peak could correspond to the high-mass tail of a population of truly rocky planets (or evaporated cores), while the latter peak could be made up of objects with icy cores and/or small gaseous envelopes (e.g., Venturini et al. 2020; Neil & Rogers 2020).

Interestingly, objects with  $\sim 7 M_{\oplus}$  appear intrinsically more common over an important range of irradiation levels and densities (top right and bottom right panels of Fig. 10, respectively). The shape of the distribution of measured masses for this sample of sub-Neptunes closely resembles that realized by planet formation and evolution simulations in the recent work of Venturini et al. (2020) that produce icy cores ( $\sim 50\%$  in mass), although the peak in the distribution in their analysis is shifted to slightly higher masses ( $9\text{--}10 M_{\oplus}$ ). This might further corroborate the notion that an important fraction of sub-Neptunes populating the second peak in the radius valley might be composed of water-rich planets with thin  $\text{H}_2/\text{He}$  atmospheres, rather than truly rocky planets surrounded by  $\text{H}_2/\text{He}$  envelopes with mass fractions of a few percent, as predicted by pure photoevaporation models (Owen & Wu 2017) and core-powered mass-loss models (Ginzburg et al. 2018).

The mild trend of more massive and higher density sub-Neptunes being more common in conditions of higher incident fluxes seen in the upper right and bottom left panels of Fig. 10 can be qualitatively understood within the known paradigms of planet formation and migration when effects due to evaporation are included. In particular, for low-mass sub-Neptunes, the dominant effect of intense irradiation is atmospheric escape, which increases the planetary density such that  $\rho$  and  $S_{\oplus}$  are anti-correlated (e.g., Jin & Mordasini 2018).

High-mass ( $M_p > 15 M_{\oplus}$ ), high-density sub-Neptunes are rare (see bottom left and right panels of Fig. 10). They typically

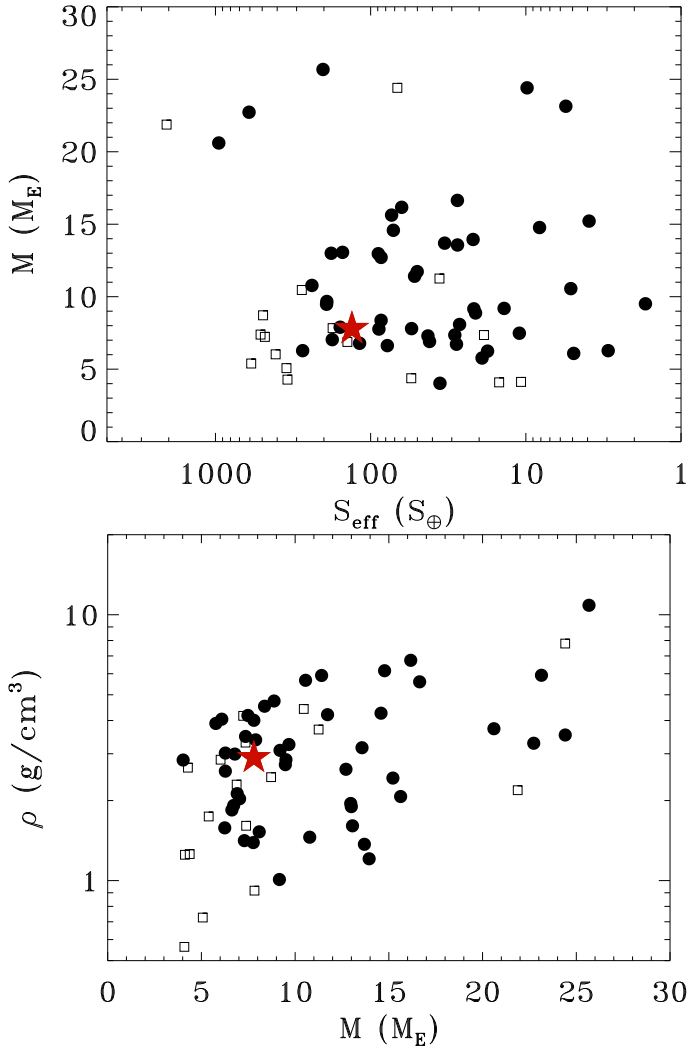


**Fig. 11.** *Top:* mass-radius diagram of sub-Neptunes with masses determined at the  $3\sigma$  level (or better), and with  $T_{\text{eff}} < 5700 \text{ K}$ . The color-code and curves are from Fig. 8. *Bottom:* same plot for the sample with  $T_{\text{eff}} > 5700 \text{ K}$ , including HD 5278 b (star).

receive irradiation levels significantly lower than those characterizing the evaporation desert (as defined by Lundkvist et al. 2016); therefore, they are not likely to have been stripped of their envelopes. This sparse population likely carries the imprint of evolutionary pathways that might include original high-density formation and subsequent inward migration via mechanisms involving multiple companions (e.g., Kozai–Lidov oscillations. See for example Dawson & Chiang 2014; Mustill et al. 2017), processes inhibiting gas accretion or promoting gas removal after formation (e.g., Ormel et al. 2015; Guilera et al. 2020), or a possible history of impacts in the early stages of the formation process (Ogihara & Hori 2020; Venturini et al. 2020), for which only recently direct observational evidence has been produced (Santerne et al. 2018; Bonomo et al. 2019).

The mass-radius diagram of sub-Neptunes shows another intriguing feature. The two panels of Fig. 11 highlight a dearth of  $M_p > 10 M_{\oplus}$  companions around G- and F-type stars with  $T_{\text{eff}} > 5700 \text{ K}$ , particularly for objects with  $R_p \gtrsim 2.5 R_{\oplus}$ <sup>10</sup>. A

<sup>10</sup> Interestingly, the only companion with  $M_p > 10 M_{\oplus}$  and a radius comparable to that of Uranus and Neptune is K2-100b, orbiting a young late-F-type star on a very short period. Its radius is expected to shrink even below  $3 R_{\oplus}$  over the main-sequence lifetime of the star (Barragán et al. 2019)



**Fig. 12.** *Top:* planet mass vs. stellar irradiation for the sample defined in Fig. 11, including HD 5278 b (red star). Filled dots and open squares indicate objects around primaries with  $T_{\text{eff}} < 5700$  K and  $T_{\text{eff}} > 5700$  K, respectively. *Bottom:* same as the top panel, this time in the planet density vs. planet mass diagram.

Kolmogorov–Smirnov (K–S) test returned a 2.4% probability that the mass distributions of sub-Neptunes around primaries with  $T_{\text{eff}} < 5700$  K and  $T_{\text{eff}} > 5700$  K are the same. This feature is seen across a wide range of insolation levels (see top panel of Fig. 12). The two populations are also quite clearly identified in a  $\rho$ – $M_p$  diagram (bottom panel of Fig. 12). The feature does not seem to be produced by an observational bias, since for a given value for an orbital period it is the less massive companion that is the one that is harder to detect around an increasingly more massive primary. The difference in mass distribution vanishes if a cutoff at slightly cooler temperatures is applied ( $T_{\text{eff}} > 5400$  K). A summary of K–S test results is provided in Table 4, in which we do not investigate the differences in the subsets of the distributions with cutoffs at  $T_{\text{eff}} > 5900$  K as the sample size of the distribution for hotter stellar hosts drops below the number ( $\sim 10$ ) for which the K–S test provides reliable results.

The hint of a lack of close-in, higher-mass ( $M \gtrsim 10 M_{\oplus}$ ) sub-Neptunes around G–F primaries can be interpreted as fossil evidence of planet formation around stars of a varied mass. More massive primaries typically have higher-mass disks. Upon reaching the critical mass ( $10 M_{\oplus}$  or so), newly formed cores have a

**Table 4.** Results of the K–S on different subsets of the mass distribution of transiting sub-Neptunes: cut-offs at  $T_{\text{eff}} = 5400$  K (1),  $T_{\text{eff}} = 5500$  K (2),  $T_{\text{eff}} = 5600$  K (3),  $T_{\text{eff}} = 5700$  K (4),  $T_{\text{eff}} = 5800$  K (5), and  $T_{\text{eff}} = 5900$  K (6).

Subsamples	$Pr(D)$	$N_{\text{low}}$	$N_{\text{high}}$
1	0.38	37	25
2	0.11	40	22
3	0.02	43	19
4	0.02	47	15
5	0.02	50	12
6	0.04	52	10

higher chance of quickly accreting a large amount of gas, thus ending their formation process as giant planets. The threshold appears to be for planets around primaries with  $T_{\text{eff}} \gtrsim 5500$  K.

## 6. Summary and conclusions

We performed high-precision RV follow-up with ESPRESSO for mass confirmation of the transiting sub-Neptune candidate TOI-130 b, uncovered by TESS orbiting the bright, nearby, late-F dwarf HD 5278 with a period of  $P_b = 14.34$  days. Our main findings can be summarized as follows:

(a) The combination of TESS photometry and ESPRESSO RVs allowed us to determine a planetary mass and radius of  $M_b = 7.8^{+1.5}_{-1.4} M_{\oplus}$  and  $R_b = 2.45 \pm 0.05 R_{\oplus}$ , respectively. The resulting planetary bulk density is  $\rho_b = 2.9^{+0.6}_{-0.5} \text{ g cm}^{-3}$ . Our ESPRESSO RVs unveiled the presence of a second, non-transiting companion in the system, for which we measured a period of  $P_c = 40.87^{+0.18}_{-0.17}$  days and determined a minimum mass of  $M_c \sin i_c = 18.4^{+1.8}_{-1.9} M_{\oplus}$ .

(b) HD 5278 b can be described as a water-rich ( $\sim 30\%$  in mass) sub-Neptune, with a gaseous envelope that comprises  $\sim 0.4\%$  and  $\sim 17\%$  of its total mass and radius, respectively. A “dry” model with a small rocky core, a large silicate mantle, and a sizable  $\text{H}_2/\text{He}$  envelope is also compatible with the measured physical properties of the planet. Given the system parameters, HD 5278 b represents one of the best targets orbiting G–F primaries for follow-up atmospheric characterization measurements with HST and JWST, which could be instrumental in resolving compositional degeneracies.

(c) The HD 5278 b,c planetary system, with a period ratio that is not particularly close to a resonant configuration and low eccentricities, is Hill-stable even in the case of highly noncoplanar orbits. On the one hand, such a scenario is possible in light of the very low levels of stellar activity recorded, the lack of detectable rotation in both RVs and photometry, and a low  $v \sin i$  value, conditions that, given the spectral type of the primary, are statistically more likely if the star is viewed not far from pole-on. On the other hand, the lack of detectable eccentricity for both planets hints at the fact that their orbits might not be particularly misaligned. Measurements of the spin-orbit (mis)alignment for HD 5278 b would be telling.

(d) We placed the properties of HD 5278 b in the context of the (still small) presently-known sample of sub-Neptunes with a measured mass and radius. We have shown that the lower-mass, smaller-radius component of the population is intrinsically more common, with a possibly double-peak radius distribution bracketing  $2.5 R_{\oplus}$  and a mass distribution clearly peaking at  $\sim 7 M_{\oplus}$ . We highlighted a possible excess of higher-mass, higher-density

sub-Neptunes in conditions of stronger irradiation. We provided evidence that very high-density, higher-mass sub-Neptunes are intrinsically rare. These features can be understood in broad terms within the context of modeling efforts of planetary systems formation processes and the subsequent orbital evolution.

(e) We identified a marginally significant lack of more massive sub-Neptunes around G–F primaries with  $T_{\text{eff}} \geq 5500$  K, when compared to the mass distribution of these objects around cooler primaries (probability that the two distributions are the same of 2%). This is the likely imprint of a disk mass dependence in the outcome of sub-Neptune planet formation that we capture today in terms of a different mass distribution of close-in sub-Neptunes around stars of a varied spectral type.

In conclusion, the results of our combined ESPRESSO and TESS analysis of the HD 5278 planetary system reinforce the importance of the detailed, high-precision determination of the fundamental physical parameters of transiting sub-Neptunes, particularly those orbiting solar-type primaries. With increasing sample sizes of the population with well-determined properties, the preliminary evidence for trends in parameter space (planet mass and radius, bulk density, stellar insolation, and effective temperature) reported here will be put on firmer statistical grounds. It will then become possible to compare not only qualitatively but also quantitatively theoretical predictions of planet formation and evolution models with the observed population of sub-Neptunes, performing, at higher resolution, key diagnostic studies of, for example, the detailed shape of the combined mass and radius distribution, or trends of occurrence rates and bulk composition with a spectral type of the primary as well as irradiation levels and orbital separation.

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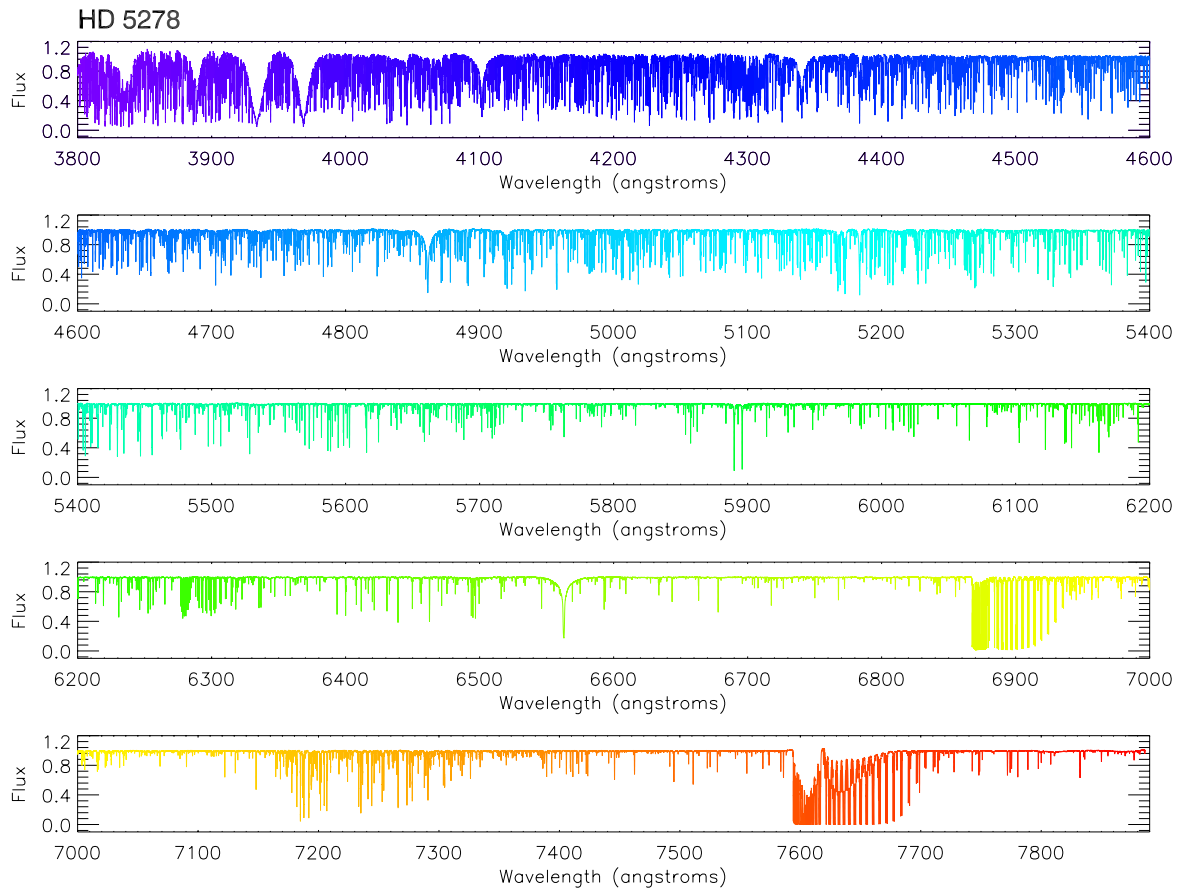


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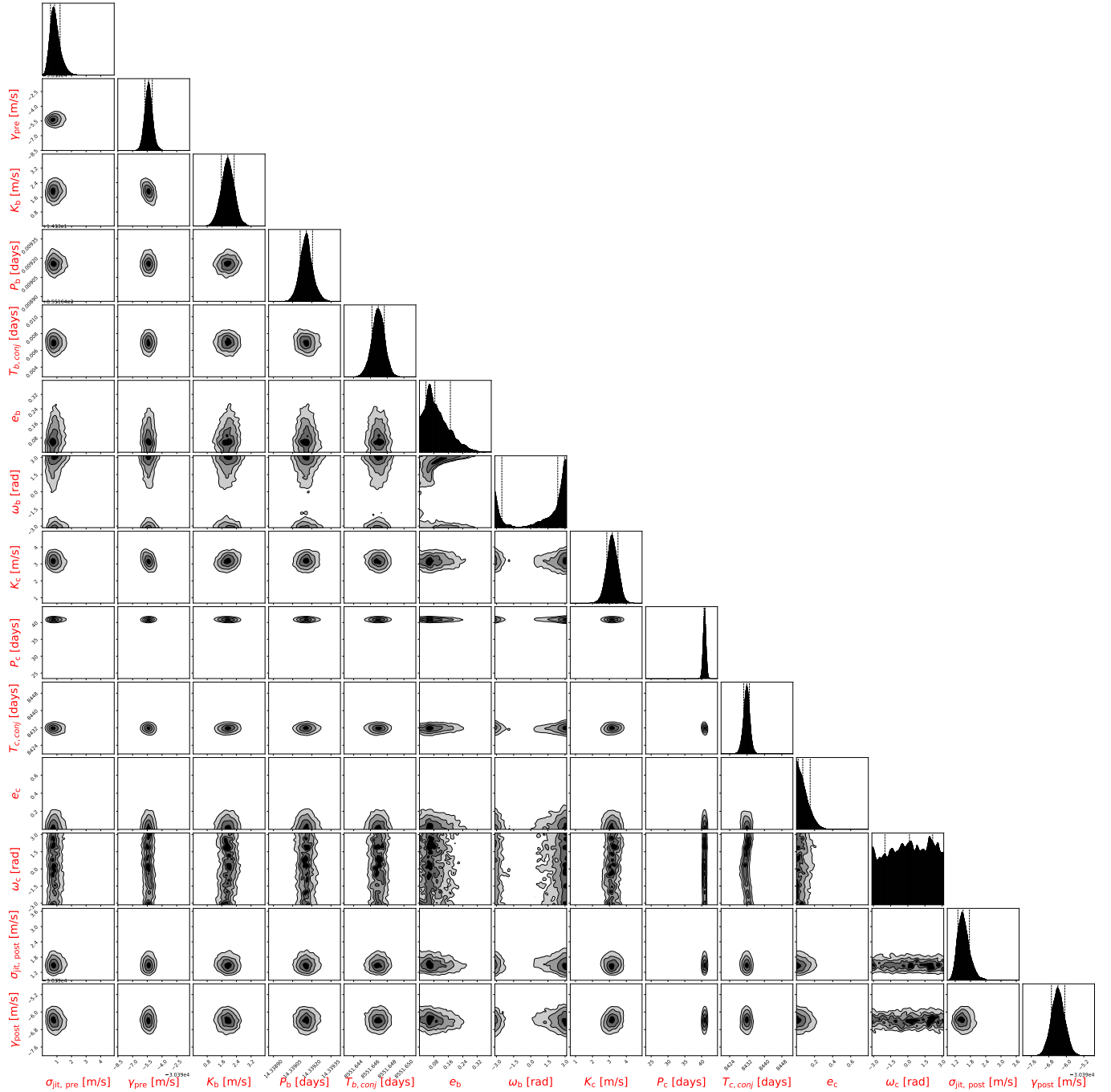
### Appendix A: 1D Coadded ESPRESSO spectrum of HD 5278



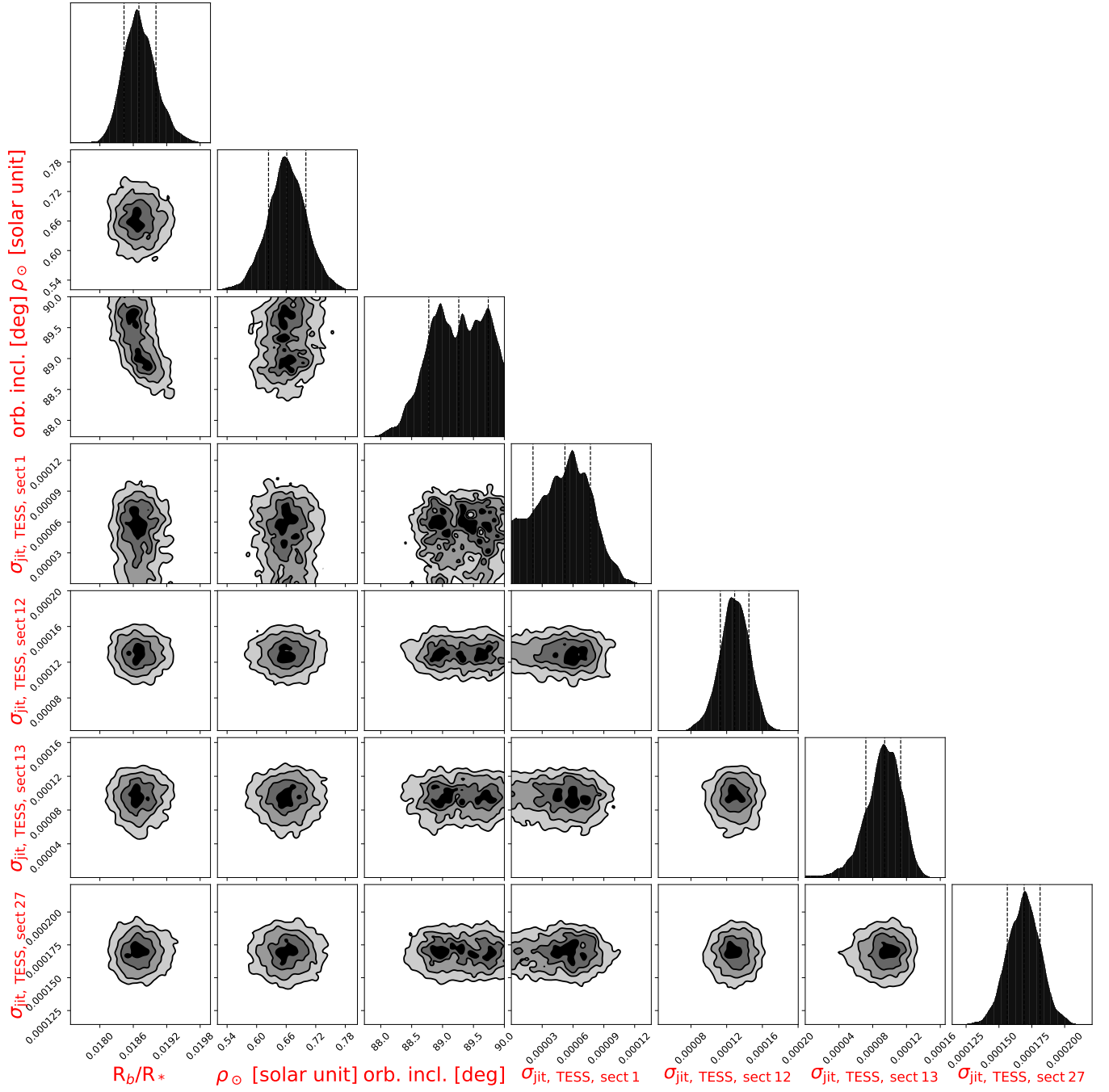
**Fig. A.1.** Co-added, normalized, merged, and RV-corrected ESPRESSO spectrum of HD 5278 produced using the StarII DAS workflow on the available set of 43 2D ESPRESSO spectra of the target.

## Appendix B: Radial velocities, activity indicators, and posterior distributions

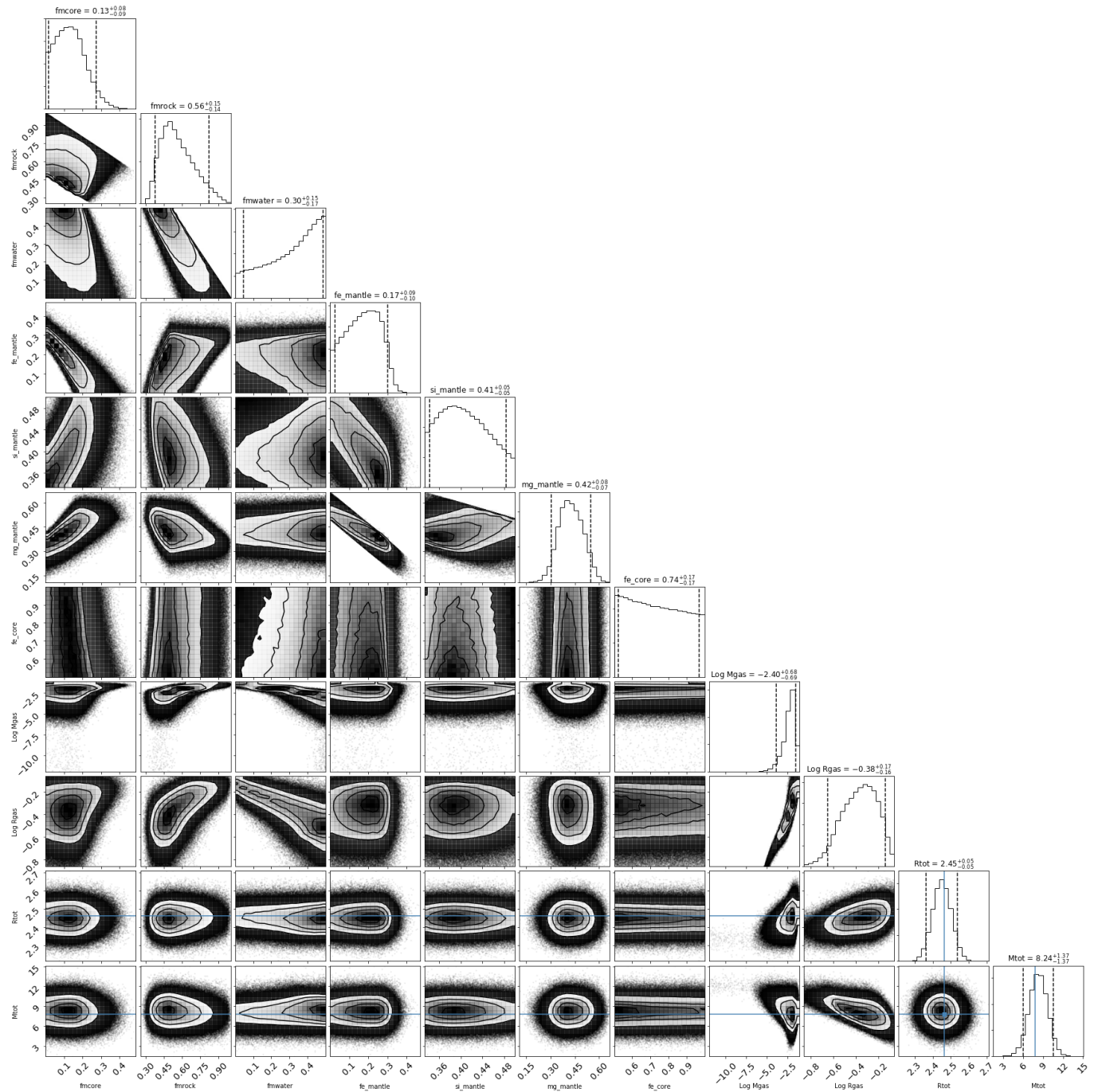
Table B.1 contains the ESPRESSO RV data and their uncertainties, and Table B.2 lists the time series of spectroscopic activity indicators. Figures B.1 and B.2 show the posterior distributions of all the model parameters in the joint fit to the ESPRESSO RV data and TESS light curve. Figures B.3 and B.4 show corner plots of the posterior distributions of the relevant parameters of the two interior structure models.



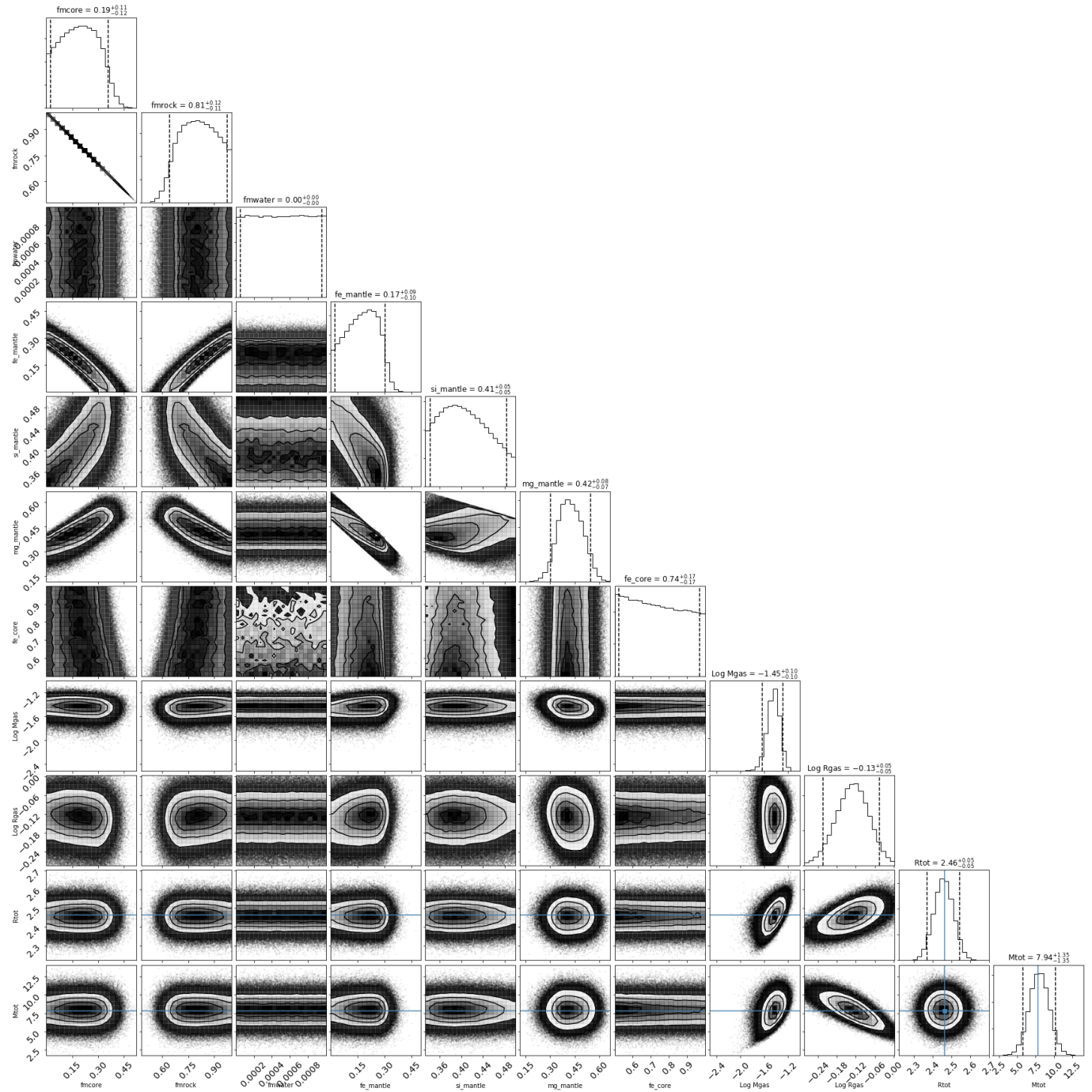
**Fig. B.1.** Posterior distributions of the photometry-related system parameters in the joint ESPRESSO RV and TESS photometry analysis.



**Fig. B.2.** Posterior distributions of the spectroscopy-related system parameters in the joint ESPRESSO RV and TESS photometry analysis.



**Fig. B.3.** Corner plot showing the results of the internal structure retrieval for HD 5278 b in the case of a fully differentiated planet model. The different columns refer to the core (iron + sulfur) mass fraction, the silicate mantle mass fraction, the water mass fraction, the molar fraction of Fe, Si, and Mg in the mantle, the molar fraction of Fe in the core, the log of the gas mass (in Earth masses), the log of the atmospheric thickness (Earth radii), and the total radius and mass, respectively. In the two last columns, the blue lines show the observed values. For each column, the mean of the distribution as well as the 5% and 95% quantile are indicated on the top, and the 5% and 95% quantiles are also indicated with dashed vertical lines.



**Fig. B.4.** Same as Fig. B.3, but for the “dry” model.

**Table B.1.** ESPRESSO radial velocities of HD 5278.

BJD <sub>UTC</sub> -2 400 000	RV (m s <sup>-1</sup> )	$\pm 1 \sigma$ (m s <sup>-1</sup> )	Case
58 416.564987	-30 390.87	0.61	PRE
58 420.623837	-30 390.01	0.98	PRE
58 421.615745	-30 390.98	0.37	PRE
58 426.662776	-30 394.76	0.36	PRE
58 432.512969	-30 393.95	0.42	PRE
58 459.511927	-30 393.04	0.39	PRE
58 466.533613	-30 393.95	0.47	PRE
58 477.521619	-30 396.33	0.58	PRE
58 488.535606	-30 396.38	0.36	PRE
58 490.544982	-30 395.07	0.51	PRE
58 686.921063	-30 398.91	0.37	POST
58 688.776700	-30 396.79	0.66	POST
58 688.788018	-30 396.99	0.66	POST
58 706.867055	-30 389.82	0.32	POST
58 719.844569	-30 392.52	0.28	POST
58 721.863285	-30 398.29	0.30	POST
58 725.809120	-30 400.87	0.53	POST
58 731.758304	-30 397.86	0.45	POST
58 737.814370	-30 395.17	0.38	POST
58 741.624983	-30 395.79	0.33	POST
58 753.617398	-30 395.60	0.28	POST
58 759.665785	-30 397.48	0.44	POST
58 761.674737	-30 395.95	0.44	POST
58 766.630575	-30 401.10	0.36	POST
58 768.626690	-30 402.71	0.33	POST
58 771.609041	-30 402.54	0.46	POST
58 773.688306	-30 397.31	0.38	POST
58 776.572183	-30 398.68	0.38	POST
58 776.694342	-30 397.16	0.51	POST
58 778.631061	-30 394.20	0.32	POST
58 780.645934	-30 394.84	0.26	POST
58 782.560951	-30 397.76	0.39	POST
58 784.531509	-30 397.10	0.57	POST
58 786.600937	-30 393.26	0.30	POST
58 788.597405	-30 394.11	0.34	POST
58 792.609247	-30 393.27	0.38	POST
58 801.619078	-30 397.47	0.36	POST
58 811.617055	-30 401.00	0.49	POST
58 815.611406	-30 396.80	0.33	POST
58 817.547039	-30 396.56	0.33	POST
58 819.554583	-30 396.31	0.65	POST

**Notes.** In the last column, PRE and POST indicate spectra gathered before and after the intervention (see text).



**Table B.2.** Spectroscopic activity indicators for HD 5278 (see main text).

$\text{BJD}_{\text{UTC}}$ -2 400 000	$\text{FWHM}$ ( $\text{m s}^{-1}$ )	$\pm 1 \sigma$ ( $\text{m s}^{-1}$ )	$\text{BIS}$ ( $\text{m s}^{-1}$ )	$\pm 1 \sigma$ ( $\text{m s}^{-1}$ )	$S_{\text{MW}}$	$\pm 1 \sigma$	$H\alpha$	$\pm 1 \sigma$	$\log R'_{\text{HK}}$	$\pm 1 \sigma$	Case
58 416.564987	9217.38	1.22	-24.72	1.22	0.15276	0.00007	0.19409	0.00003	-4.8780	0.0005	PRE
58 420.623837	9224.85	1.96	-25.61	1.96	0.14156	0.00015	0.19536	0.00005	-4.9609	0.0012	PRE
58 421.615745	9227.77	0.73	-22.07	0.73	0.15821	0.00003	0.19463	0.00002	-4.8428	0.0002	PRE
58 426.662776	9227.79	0.71	-22.60	0.71	0.15796	0.00003	0.19356	0.00002	-4.8443	0.0002	PRE
58 432.512969	9228.28	0.84	-22.20	0.84	0.15594	0.00004	0.19424	0.00002	-4.8571	0.0003	PRE
58 459.511927	9223.40	0.77	-22.31	0.77	0.15720	0.00003	0.19401	0.00002	-4.8491	0.0002	PRE
58 466.533613	9229.44	0.94	-21.07	0.94	0.15445	0.00005	0.19307	0.00002	-4.8668	0.0003	PRE
58 477.521619	9221.53	1.15	-22.32	1.15	0.15084	0.00006	0.19498	0.00003	-4.8912	0.0004	PRE
58 488.535606	9224.84	0.72	-23.49	0.72	0.15771	0.00003	0.19420	0.00002	-4.8459	0.0002	PRE
58 490.544982	9226.34	1.02	-26.12	1.02	0.15389	0.00005	0.19423	0.00002	-4.8705	0.0003	PRE
58 686.921063	9230.05	0.73	-20.53	0.73	0.15562	0.00003	0.19371	0.00001	-4.8592	0.0002	POST
58 688.776700	9229.43	1.32	-20.95	1.32	0.14594	0.00009	0.19404	0.00003	-4.9266	0.0006	POST
58 688.788018	9226.33	1.33	-20.75	1.33	0.14713	0.00009	0.19414	0.00003	-4.9177	0.0006	POST
58 706.867055	9230.44	0.64	-20.97	0.64	0.15624	0.00003	0.19359	0.00001	-4.8552	0.0002	POST
58 719.844569	9233.17	0.56	-20.03	0.56	0.15645	0.00002	0.19260	0.00001	-4.8539	0.0001	POST
58 721.863285	9230.29	0.59	-21.67	0.59	0.15586	0.00003	0.19183	0.00001	-4.8576	0.0002	POST
58 725.809120	9233.34	1.07	-25.90	1.07	0.15119	0.00006	0.19240	0.00002	-4.8887	0.0004	POST
58 731.758304	9227.36	0.89	-22.07	0.89	0.15447	0.00005	0.19196	0.00002	-4.8667	0.0003	POST
58 737.814370	9229.18	0.76	-21.81	0.76	0.15532	0.00004	0.19181	0.00002	-4.8611	0.0002	POST
58 741.624983	9230.72	0.67	-22.56	0.67	0.15650	0.00003	0.19167	0.00001	-4.8535	0.0002	POST
58 753.617398	9229.38	0.57	-20.43	0.57	0.15774	0.00002	0.19201	0.00001	-4.8457	0.0001	POST
58 759.665785	9226.86	0.87	-21.22	0.87	0.15529	0.00005	0.19140	0.00002	-4.8613	0.0003	POST
58 761.674737	9231.98	0.89	-22.14	0.89	0.15507	0.00005	0.19134	0.00002	-4.8627	0.0003	POST
58 766.630575	9227.68	0.73	-21.08	0.73	0.15627	0.00003	0.19349	0.00001	-4.8550	0.0002	POST
58 768.626690	9230.88	0.67	-20.20	0.67	0.15632	0.00003	0.19225	0.00001	-4.8547	0.0002	POST
58 771.609041	9225.63	0.92	-20.52	0.92	0.15308	0.00005	0.19140	0.00002	-4.8759	0.0003	POST
58 773.688306	9229.26	0.76	-19.84	0.76	0.15537	0.00004	0.19123	0.00002	-4.8608	0.0002	POST
58 776.572183	9229.53	0.77	-23.01	0.77	0.15689	0.00004	0.19341	0.00002	-4.8510	0.0002	POST
58 776.694342	9226.76	1.02	-21.14	1.02	0.15571	0.00006	0.19374	0.00002	-4.8586	0.0004	POST
58 778.631061	9228.84	0.63	-21.75	0.63	0.15701	0.00003	0.19376	0.00001	-4.8503	0.0002	POST
58 780.645934	9232.92	0.53	-20.98	0.53	0.15806	0.00002	0.19356	0.00001	-4.8437	0.0001	POST
58 782.560951	9230.00	0.78	-22.72	0.78	0.15610	0.00004	0.19400	0.00002	-4.8561	0.0003	POST
58 784.531509	9231.58	1.13	-23.46	1.13	0.15248	0.00007	0.19473	0.00003	-4.8799	0.0005	POST
58 786.600937	9230.66	0.60	-22.13	0.60	0.15859	0.00003	0.19447	0.00001	-4.8404	0.0002	POST
58 788.597405	9230.31	0.67	-21.94	0.67	0.15782	0.00003	0.19385	0.00001	-4.8452	0.0002	POST
58 792.609247	9232.60	0.75	-23.67	0.75	0.15683	0.00004	0.19428	0.00002	-4.8514	0.0002	POST
58 801.619078	9231.18	0.72	-21.68	0.72	0.15837	0.00003	0.19497	0.00001	-4.8418	0.0002	POST
58 811.617055	9226.77	0.98	-23.98	0.98	0.15492	0.00006	0.19406	0.00002	-4.8638	0.0004	POST
58 815.611406	9228.68	0.67	-20.22	0.67	0.15829	0.00003	0.19547	0.00001	-4.8423	0.0002	POST
58 817.547039	9228.72	0.66	-22.56	0.66	0.15879	0.00003	0.19577	0.00001	-4.8392	0.0002	POST
58 819.554583	9235.31	1.30	-21.66	1.30	0.15002	0.00009	0.19524	0.00003	-4.8969	0.0006	POST